

Mechanical Restraint and Seclusion Waiver Request Matrix

Student: _____ Program: _____ Date Reviewed: _____
 Reviewers: _____

Consideration Scoring Criteria

- 3 = Ideal
- 2 = Acceptable
- 1 = Less than Acceptable
- 0 = Inadequate

Recommendation: _____ Approved ($\geq 83\%$) (_____ with conditions)
 _____ Provisional Approval ($\geq 75\%$)
 _____ Not approved ($< 75\%$)

Duration of approval _____

Consideration 1: Does the waiver request provide data that show the requested action is necessary to maintain safety of the student and others?			
<i>If the score for Consideration 1 is less than 2, the waiver request will not be further considered.</i>			
3	2	1	0
<p>It provides clear and compelling data and /or supplemental information that clearly show that the requested action is necessary to keep the student and others safe and has been used correctly. <i>Data and/or supplemental information clearly evidence 100% of the following:</i></p> <ul style="list-style-type: none"> • Non-physical or other interventions have not and/or will not be effective • Student’s behavior for which the action is requested poses a threat of imminent bodily harm to self or others. 	<p>It provides adequate data and/or supplemental information that show the requested action is necessary to keep the student and others safe and has been used correctly. <i>Data and/or supplemental information evidence at least 50% (60%, if renewal) of the following:</i></p> <ul style="list-style-type: none"> • Non-physical or other interventions have not and/or will not be effective. • Student’s behavior for which the action is requested poses a threat of imminent bodily harm to self or others. • Action was NOT used as a punishment procedure in response to property destruction, disruption of school order, student’s refusal to comply with school rule(s) or staff directive (s); or verbal threats that do not constitute a threat of imminent and serious, physical harm. 	<p>It provides some data and/or some supplemental information about the necessity and use of the requested action but the <i>data evidence less than 50% of the following:</i></p> <ul style="list-style-type: none"> • Non-physical or other interventions have not and/or will not be effective. • Student’s behavior for which the action is requested poses a threat of imminent bodily harm to self or others. • Action was NOT used as a punishment procedure in response to property destruction, disruption of school order, student’s refusal to comply with school rule(s) or staff directive (s); or verbal threats that do not constitute a threat of imminent and serious, physical harm. • Crisis/Implementation fidelity data are present and reflect need for requested action. 	<p>It fails to provide any data and/or supplemental information that evidence the requested action is necessary and has been used correctly.</p>

<ul style="list-style-type: none"> • Action was NOT used as a punishment procedure in response to property destruction, disruption of school order, student's refusal to comply with school rule(s) or staff directive (s); or verbal threats that do not constitute a threat of imminent and serious, physical harm. • Crisis/Implementation fidelity data are present and reflect need for requested action. • If renewal request, data reflects requested action has been used correctly. 	<ul style="list-style-type: none"> • Crisis/Implementation fidelity data are present and reflect need for requested action • If renewal request, data reflects requested action has been used correctly. 		
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Consideration 1 Evidence Comments:

Consideration 2. Does the waiver request provide data and/or supplemental information that evidence (if present and applicable) other factors that may contribute to the problem behavior for which action is requested were considered and addressed?

3	2	1	0
<p>It provides clear and compelling data and/or supplemental information that other factors contributing to the problem behavior for which action is being requested were considered and, if present, addressed. The data and/or supplemental information provided evidence a concerted effort to identify possible contributors and if found, sincere attempts to address and resolve the factors. <i>Data and/or supplemental information provided show clear evidence of 100% of the following:</i></p> <ul style="list-style-type: none"> • It identified or ruled out potential factors that could contribute to the behavior. • Assessments (including social histories, interviews, etc., and extensive record reviews were conducted to determine the presence of other factors (e.g., medical, social, mental health). 	<p>It provides adequate data and/or supplemental information that show other factors contributing to the problem behavior were considered and, if present, addressed. The data and/or supplemental information provided evidence a reasonable attempt to discover factors that may be contributing to the problem behavior and make reasonable efforts to address the factors. <i>Data and/or supplemental information provided show evidence of at least 50% of the following:</i></p> <ul style="list-style-type: none"> • It identified or ruled out potential factors that could contribute to the behavior. • Assessments (including social histories, interviews, etc., and extensive record reviews were conducted to determine the presence of other factors (e.g., medical, social, mental health). 	<p>It provides some data and/or supplemental information that show other factors contributing to the problem behavior were considered but were not addressed adequately. The data and/or supplemental information provided evidence less than reasonable attempts were made to identify/confirm other factors that may be contributing and minimal attempts were described to address the factors that may be contributing to problem behavior. <i>Data and/or supplemental information provided show evidence of less than 50% of the following:</i></p> <ul style="list-style-type: none"> • It identified or ruled out potential factors that could contribute to the behavior. • Assessments (including social histories, interviews, etc., and extensive record reviews were conducted to determine the presence of other factors (e.g., medical, social, mental health). 	<p>It fails to provide data and/or information that evidence other factors contributing to the problem behavior were considered, or, if identified, no data and/or supplemental information were provided to show any attempts were made to address the factors.</p>

<ul style="list-style-type: none"> • Thorough plans for addressing other factors were described and the results of efforts reported (e.g., if a seizure disorder was identified as a possible contributor to the problem behavior, plans for obtaining medical records/treatment and/or plans for supporting the student/family (e.g., referrals, assignment to case manager, providing assistance in obtaining medical examination). • Waiver request provides medical documentation establishing need for requested action and medical clearance as required. 	<ul style="list-style-type: none"> • Plans for addressing other factors were described and results of efforts reported but may lack some detail (e.g., if a seizure disorder was identified as a possible contributor of the problem behavior, plans for obtaining medical records/treatment and/or plans for supporting the student /family (e.g., referrals, assignment to case manager, providing assistance in obtaining medical examination). • Waiver request provides medical documentation establishing need for requested action and medical clearance as required. 	<ul style="list-style-type: none"> • Plans for addressing other factors were described and results of efforts reported but lack detail (e.g., if a seizure disorder was identified as a possible contributor of the problem behavior, plans for obtaining medical records/treatment and/or plans for supporting the student /family (e.g., referrals, assignment to case manager, providing assistance in obtaining medical examination). • Waiver request describes a plan for obtaining releases from caregivers to talk to physicians but does not report any further actions after obtaining the consent to exchange information. 	
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Consideration 2 Evidence Comments:

Consideration 3: Does the waiver request provide data and supplemental information showing that the FBA in place for the student is current and technically adequate?

3	2	1	0
<p>It provides clear and compelling data and/or supplemental information that indicate the FBA developed for the student is technically adequate. The waiver request provides clear data and/or supplemental information that evidence the action requested has not and does not inadvertently reinforce the problem behavior by providing the functional outcome. If the FBA is updated, the waiver request provides clear information showing how data were used to adjust the plan, including modifying the interventions to make them more effective and/or collecting additional FBA information or conducting a functional analysis to confirm or change the hypothesis. For any modifications made to the FBA, the waiver request provides additional data that clearly show the outcomes of the modifications. <i>The FBA consists of 100% of the following components:</i></p> <ul style="list-style-type: none"> • FBA was conducted and/or updated recently Date of FBA _____ 	<p>It provides adequate data and/or supplemental information that indicate the FBA developed for the student is technically adequate. If there are flaws, they are minor and do not significantly impact the implementation of the plan. The waiver request provides some data and/or supplemental information that evidence the action requested has not and does not inadvertently reinforce the problem behavior by providing the functional outcome. If the FBA is updated, the waiver request provides some information showing that data were used to adjust the plan by modifying the interventions, collecting additional FBA information or conducting a functional analysis to confirm or change the hypothesis. If the FBA was modified, the waiver request provides some data/ supplemental information that show outcomes after modifications. <i>The FBA includes at least 50% of the following components:</i></p> <ul style="list-style-type: none"> • FBA was conducted and/or updated (within previous 90 days). Date of FBA _____ 	<p>Data and/or supplemental information provided by the waiver request indicate the FBA developed has flaws that impact the technical adequacy. The waiver request provides scant or ambiguous data that evidence the action requested has not and does not inadvertently reinforce the problem behavior by providing the functional outcome. It is unclear if the FBA has been updated and/or if data show that the FBA has been updated, minimal data/information was provided that indicate how the plan was adjusted and how the team used the data after adjustment to evaluate the effectiveness of changes. <i>The FBA is missing more than 50% of the following components:</i></p> <ul style="list-style-type: none"> • FBA was conducted and/or updated recently. Date of FBA _____ • The behavior for which the FBA was conducted is the same behavior for which the action is being requested. • Setting events (if present) and antecedent events are identified and described in relation to prediction of the occurrence of the target behavior. 	<p>It fails to provide data and/or information that show the FBA developed is technically adequate.</p>

<ul style="list-style-type: none"> • The behavior for which the FBA was conducted is the same behavior for which the action is being requested • Setting events (if present) and antecedent events are identified and described in relation to prediction of the occurrence of the target behavior. • Responses of others following the target behavior are described in a way that the hypothesized function is confirmed. • A hypothesis statement is present and is linked to the FBA information. 	<ul style="list-style-type: none"> • The behavior for which the FBA was conducted is the same behavior for which the action is being requested. • Setting events (if present) and antecedent events are identified and described in relation to prediction of the occurrence of the target behavior. • Responses of others following the target behavior are described in a way that the hypothesized function is confirmed. • A hypothesis statement is present and is linked to the FBA information. 	<ul style="list-style-type: none"> • Responses of others following the target behavior are described in a way that the hypothesized function is confirmed. • A hypothesis statement is present and is linked to the FBA information. 	
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Consideration 3 Evidence Comments:

Consideration 4: Does the waiver request provide information showing that the BIP in place for the student is technically adequate?

3	2	1	0
<p>It provides clear and compelling data and/or supplemental information that evidence the BIP developed for the student is technically adequate. The waiver request provides clear data and/or supplemental information that show the action requested has not and does not inadvertently reinforce the problem behavior by providing the functional outcome. If the BIP is updated, the waiver request provides clear information showing how data were used to adjust the plan, including modifying the interventions to make them more effective and/or collecting additional FBA information or conducting a functional analysis to confirm or change the hypothesis. For any modifications made to the BIP, the waiver request provides additional data that clearly show the outcomes of the modifications. <i>The BIP consists of 100% of the following components:</i></p> <ul style="list-style-type: none"> • The plan is linked to the hypothesis, and the plan includes at a minimum: 	<p>It provides adequate data and/or supplemental information that evidence the BIP developed for the student is technically adequate. If there are flaws, they are minor and do not significantly impact the implementation of the plan. The waiver request provides some data and/or information that show the action requested has not and does not inadvertently reinforce the problem behavior by providing the functional outcome. If the BIP is updated, the waiver request provides some information showing that data were used to adjust the plan by modifying the interventions, collecting additional FBA information, conducting a functional analysis to confirm or change the hypothesis. If the BIP was modified, the waiver request provides some data information that show outcomes after modifications. <i>The BIP includes at least 50% of the following components:</i></p> <ul style="list-style-type: none"> • The plan is linked to the hypothesis, and the plan includes at a minimum: <ul style="list-style-type: none"> ○ one strategy to prevent the problem behavior (modifying the antecedent(s)) ○ one strategy to teach a socially valid replacement behavior 	<p>Data and/or supplemental information provided by the waiver request indicate the BIP developed has flaws that impact the technical adequacy. The waiver request provides scant or ambiguous data showing the action requested has not and does not inadvertently reinforce the problem behavior by providing the functional outcome. It is unclear if the BIP has been updated and/or if data show that the BIP has been updated, minimal data / supplemental information was provided that indicate the plan was adjusted and how the team used the data after adjustment to evaluate the effectiveness of the changes. <i>The /BIP is missing more than 50% of the following components:</i></p> <ul style="list-style-type: none"> • The plan is linked to the hypothesis, and the plan includes at a minimum: <ul style="list-style-type: none"> ○ one strategy to prevent the problem behavior (modifying the antecedent(s)) ○ one strategy to teach a socially valid replacement behavior ○ one intervention to reinforce the replacement behavior 	<p>It fails to provide data and/or supplemental information that show the BIP developed is technically adequate.</p>

<ul style="list-style-type: none"> ○ one strategy to prevent the problem behavior (modifying the antecedent(s)) ○ one strategy to teach a socially valid replacement behavior ○ one intervention to reinforce the replacement behavior ○ one intervention that changes the responses to problem behavior ● The interventions comprising the plan are described in enough detail that a person unfamiliar with the plan would implement it with fidelity. ● A plan for monitoring fidelity is provided and includes details (method of obtaining fidelity, frequency of fidelity measurement, who will be measuring fidelity) 	<ul style="list-style-type: none"> ○ one intervention to reinforce the replacement behavior ○ one intervention that changes the responses to problem behavior ● The interventions comprising the plan are described in enough detail that a person unfamiliar with the plan would implement it with fidelity. ● A plan for monitoring fidelity is provided and includes details (method of obtaining fidelity, frequency of fidelity measurement, who will be measuring fidelity). ● A progress monitoring plan providing method for collecting data, frequency, people responsible, and follow-up date for data review. 	<ul style="list-style-type: none"> ○ one intervention that changes the responses to problem behavior ● The interventions comprising the plan are described in enough detail that a person unfamiliar with the plan would implement it with fidelity. ● A plan for monitoring fidelity is provided and includes details (method of obtaining fidelity, frequency of fidelity measurement, who will be measuring fidelity). ● A progress monitoring plan providing method for collecting data, frequency, people responsible, and follow-up date for data review. ● Data and/or a summary showing that current behavior plan in place has been reviewed and adjusted/modified to increase effectiveness and reduce use of the action requested. Date of review_____ 	
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<ul style="list-style-type: none"> • A progress monitoring plan providing method for collecting data, frequency, people responsible, and a follow-up date for data review. • Data and/or a summary showing that current behavior plan in place has been reviewed and adjusted/modified to increase effectiveness and reduce use of the action requested. Date of review _____ 	<ul style="list-style-type: none"> • Data and/or a summary showing that current behavior plan in place has been reviewed and adjusted/modified to increase effectiveness and reduce use of the action requested. Date of review _____ 		
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Consideration 4 Evidence Comments: