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I. INTRODUCTION to DPAS II

Purpose of the Delaware Performance Appraisal System II (DPAS II)

DPAS II is Delaware’s statewide educator evaluation system. As a statewide system, DPAS II establishes consistent educator and student performance expectations and outcomes across all schools. There are three versions of DPAS II:

1. DPAS II for Teachers
2. DPAS II for Specialists
3. DPAS II for Administrators

The three main purposes of DPAS II are to assure and support:

- Educators’ professional growth
- Continuous improvement of student outcomes
- Quality educators in every school building and classroom

Role of DPAS II for Teachers

DPAS II for Teachers supports professional growth by helping evaluators and teachers identify areas for growth and opportunities to enhance teachers’ skills and knowledge through:

- Self-assessment and reflection
- Working collaboratively with colleagues to improve curriculum, assessment, instruction, and other classroom practices
- Conducting action research
- Designing and piloting new instructional programs or techniques
- Analyzing student and school data to shape the school program and classroom instruction
- Other learning opportunities

DPAS II for Teachers supports continuous improvement of instructional practice and student outcomes by helping evaluators and teachers monitor professional growth and student improvement. Teaching is a complex and ever-changing profession requiring a teacher’s commitment to continuously improve his or her practice and, in turn, student performance. Teachers need opportunities to try new tools, methods, and approaches for instruction. At the same time, these opportunities must be monitored to ensure that students are reaping the intended benefits.

DPAS II for Teachers assures quality teachers in every classroom by helping evaluators and teachers select credible evidence about teacher performance. Evaluators use this evidence to make important decisions such as:

- Recognizing and rewarding effective practice
- Recommending continued employment and/or career growth opportunities
- Recommending strategies and/or activities that will enhance teacher effectiveness
- Developing a plan to improve teacher performance
- Beginning dismissal proceedings
Who is evaluated through DPAS II for Teachers?

For the purposes of DPAS II, a Teacher is defined as an educator who
- holds a Delaware teaching license (either initial, continuing, or advanced);
- holds a Delaware certificate in a particular content area, such as English or mathematics or in a category e.g., elementary or special education; and
- is employed as either a part-time or a full-time teacher in a Delaware public school.

All educators who meet these criteria will follow the evaluation procedures outlined in DPAS II for Teachers. Educator categories include, but are not limited to, teachers of art, music, physical education, vocational/trade and industry, world languages, bilingual education, health education, English as a second language, driver education, computer science/technology, gifted and talented, reading specialists, math specialists, and science coalition specialists.

Specialists in the Classroom

In addition, any specialist, as defined in the specialist DPAS II regulation, who teaches in a classroom setting part of the instructional day may be evaluated using the teacher process for one announced formative observation.

Who evaluates teachers through DPAS II for Teachers?

Delaware Administrative Code, §106A requires all school districts and charter schools to evaluate teachers using the DPAS II Guide Revised for Teachers. This regulation also requires all Evaluators to complete DPAS II training, as developed by the Delaware Department of Education, and to be credentialed by the Delaware Department of Education.

“Evaluator” shall mean a Credentialed Observer who is responsible for a teacher’s Summative Evaluation. The assigned Evaluator shall generally conduct a teacher’s required observations as part of the appraisal cycle; however, the assigned Evaluator may designate another person who is also a Credentialed Observer to conduct the required observations.

Design of DPAS II: Delaware’s Professional Teaching Standards and Framework for Teaching

The Delaware Framework for Teaching, the basis for DPAS II, is aligned to the Delaware Professional Teaching Standards and is based on Charlotte Danielson’s book, Enhancing Professional Practice: A Framework for Teaching (2nd Edition).

The Delaware Professional Teaching Standards establish a common set of knowledge, skills, and attributes expected of Delaware’s teachers. These standards are outlined in regulation. The Delaware framework and DPAS II are a modified version of Danielson’s influential work with student improvement added as one of five components for teacher evaluation.

Delaware’s framework for teaching defines professional practice and outlines essential criterion and elements of practice among five separate components of teaching. DPAS II is used to assess and support student improvement by evaluating a teacher’s current practice, identifying ways to support that teacher’s professional growth, and measuring student growth for each teacher.
The Five Components of Delaware’s Framework and DPAS II for Teachers

1. Planning and Preparation
2. Classroom Environment
3. Instruction
4. Professional Responsibilities
5. Student Improvement

The five components of DPAS II identify five separate areas of teacher practice and responsibility. Effective practice within a component is characterized by evidence tied to several criteria that highlight the essential knowledge and skills particular to each component.

In turn, evidence of criterion performance can be broken out into several specific observable elements. Each element is a specific and observable area of knowledge and skills that is directly related to specific component criterion.

Using DPAS II Rubrics to Evaluate Teacher Performance

Rubrics exist for each of the first four components, with ratings as follows:

**Highly Effective** - Evidence of exceptional performance; outstanding knowledge, implementation, and integration of teaching standards along with evidence of leadership initiative and willingness to model and/or serve as a mentor for colleagues.

**Effective** - Evidence of solid performance; strong knowledge, implementation, and integration of teaching standards; clear evidence of proficiency and skill in the component/criterion.

**Needs Improvement** - Evidence of mediocre or developing performance; fundamental knowledge and implementation of teaching standards is uneven or rudimentary. Integration of teaching standards is inconsistent. Teacher is making progress towards proficiency.

**Ineffective** - Little or no knowledge and minimal implementation of teaching standards. Does not meet minimal teaching standards and needs substantial improvement.

Evaluators and teachers are expected to use the component rubrics, included in Section II of this guide, to focus pre-observation, post-observation, and summative conference discussions around levels of performance, commendations, recommendations, and expectations. In addition, evaluators use the rubrics to assign ratings for each criterion in each observation. Using DPAS II rubrics allows the teacher and evaluator to develop a common understanding of the teacher’s strengths and areas for improvement.

Use of rubrics also helps ensure evaluator consistency when documenting teacher performance. During a formative observation Credentialed Observers are required to document a level of performance for every criteria observed. It is not necessary to observe/rate each criterion in every observation. All criteria must be observed and rated during the appraisal cycle and rated on the Summative Evaluation.

All written evaluation documents must include specific evidence collected during the teacher’s evaluation process.
Additional criteria rubrics are included in the appendices to this guide. These detailed criteria rubrics may be used to provide additional feedback when performance for a component is deemed unsatisfactory, resulting in an improvement plan and/or to enhance the overall quality and rigor of the appraisal process.

Documentation of the appraisal process should be completed using a state-approved online platform which adheres to the minimum requirements of the DPAS-II appraisal cycle as outlined in Regulation 106A.
Definitions

"Announced Observation" means an observation form and conference with the Credentialed Observer, and an observation by the Credentialed Observer at an agreed upon date and time, using the associated formative conferences and reports. The observation shall be of sufficient length, at least thirty (30) minutes, to analyze the lesson and assess teacher performance.

“Commendations” must be reserved for teachers with high levels of performance. Teachers who perform above expectations and/or who clearly excel in any component, criterion, or element are eligible for a commendation. Commendations are not intended for teachers showing “expected” levels of performance.

“Component” means one of the five specific areas of teacher practice and responsibility.

“Credentialed Observer” means an individual, not always the supervisor of the teacher, who has successfully completed DPAS II credentialing in accordance with Regulation 106A, Section 10.0. Credentialed Observer denotes any individual who may conduct observations as part of a teacher’s appraisal process. The term Credentialed Observer encompasses those administrators who are Evaluators.

“Criterion” means a broad area of knowledge and skills related to a specific component.

“Documentation” means the appropriate capturing of the necessary information outlined in Regulation 106A in either an approved on-line platform or hard copy form.

“Element” means an observable and specific area of knowledge and/or skill directly related to a component criterion.

“Evaluator” means a Credentialed Observer who is responsible for a teacher’s Summative Evaluation. A teacher’s required observations as part of the appraisal cycle shall generally be conducted by the assigned Evaluator; however, the assigned Evaluator may designate a school administrator who is also a Credentialed Observer to conduct the required observations.

“Expectations” are specific performances that must be carried out. If expectations for improvement are included at any point in the appraisal cycle, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the expectation.

"Experienced Teacher” means a teacher who holds a valid and current Continuing or Advanced License, issued pursuant to Chapter 12 of Title 14 of the Delaware Code; or Standard or Professional Status Certificate issued prior to August 1, 2003.

"Improvement Plan” means the plan that a teacher and Evaluator mutually develop for a teacher who receives an overall rating of "Needs Improvement" or "Ineffective" on the Summative, a rating of Unsatisfactory on the Student Improvement Component (Component Five) on a Summative, and may be developed if a teacher’s overall performance is unsatisfactory during an observed lesson.
“Measure” A Measure is defined as an instrument used to assess student and/or professional growth.

"Novice Teacher" means a teacher who holds a valid and current Initial License issued by the Delaware Department of Education.

“Recommendations” are specifically designed to help the teacher improve his or her performance. Because DPAS II is designed to promote continuous improvement, recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations are not binding. They are a suggested course of action that the teacher can consider.

"Satisfactory Evaluation" is equivalent to the overall "Highly Effective" or "Effective" rating on the Summative Evaluation and shall be used to qualify for a continuing license.

“Short Observation” shall consist of an observation by a Credentialed Observer, using the associated conferences and forms, at a date and time that has not been previously arranged. The observation shall be no less than ten (10) minutes, and be limited to specified criteria. Such observations shall not substitute for required observations under Section 3.0.

“Sign” shall mean an individual hand writing or typing their signature, initials, or declaring their consent on any documentation in paper copy or electronic form.

"Student Achievement" means:

(a) For tested grades and subjects:

(1) Student scores on the state assessment system; and, as appropriate,

(2) Other measures of student learning, such as those described in paragraph (b) of this definition, provided they are rigorous and comparable across classrooms.

(b) For non-tested grades and subjects: Alternative measures of student learning and performance such as student scores on pre-tests and end-of-course tests; student performance on English language proficiency assessments; and other measures of student achievement that are rigorous and comparable across classrooms. Such alternative measures must be approved by the Department and developed in partnership with DSEA and DASA.

"Student Growth" means the change in Student Achievement data for an individual student between two points in time. Growth may also include other measures that are rigorous and comparable across classrooms.

"Summative Evaluation" means the comprehensive, end-of-cycle appraisal and shall incorporate the results of the minimum required observations, any additional observations, and required component-level data. At the discretion of the Evaluator, it may also include additional Announced, Unannounced, or Short observation data beyond the required observation data provided by other Credentialed Observers.
“Teacher of Record” The Teacher of Record is a full-time teacher who has been assigned the primary responsibility for a student's learning in a course/class, provided the student has been in attendance at least 85% of the time that the class is in session.

"Unannounced Observation" shall consist of an observation by a Credentialed Observer at a date and time that has not been previously arranged using the associated formative conferences and reports, and which may include the use of an observation form. The observation shall be of sufficient length, at least thirty (30) minutes, to analyze the lesson and assess teacher performance.

"Unsatisfactory Evaluation" is the equivalent to the overall “Needs Improvement” or "Ineffective" rating on the Summative Evaluation as it pertains to educators seeking a continuing license.

"Working Day" means a day when the employee would normally be working in that district or charter school.
II. DPAS II and the Delaware Framework for Teachers

The following five (5) Appraisal Components, including any Appraisal Criteria specified for each, shall be the basis upon which performance of a teacher shall be evaluated by the assigned Evaluator.

In each academic year, for each of the first four (4) Appraisal Components, a school district or charter school may waive one (1) criterion identified as optional below. Notification of any such waiver shall be provided to all teachers in a school district or charter school and the Department of Education by the last day in August of each year.

Component One: Planning and Preparation

Component One defines how a teacher selects and organizes the content and skills to be taught. Teachers command a deep understanding of both the content and pedagogy related to the subject matter. In planning, teachers are expected to consider and understand the skills and knowledge that students bring to a lesson and build upon that understanding.

Knowledge of content alone is not enough to move students toward meeting Delaware Content Standards or teacher-defined standards. All elements of instruction—activities, strategies, and materials—should be appropriate to both the content and students. As it is designed, content is broken into sequences of activities and experiences aligned to the standards that enable students to learn.

Further, the teachers select or design and implement assessment techniques, both formative and summative, to document student progress throughout the learning experience, to inform future instruction, to guide student improvement, and to use technology when and where appropriate.

The following criteria and elements are evaluated under Component 1

1a. Selecting Instructional Goals (Optional)
- Value, sequence, and alignment
- Clarity
- Balance
- Suitability for diverse learners

1b. Designing Coherent Instruction
- Learning activities
- Instructional materials and resources
- Instructional groups
- Lesson and unit structure

1c. Demonstrating Knowledge of Content and Pedagogy (Optional)
- Knowledge of content and the structure of the discipline
- Knowledge of prerequisite relationships
- Knowledge of content-related pedagogy

1d. Demonstrating Knowledge of Students
- Knowledge of child and adolescent development
- Knowledge of the learning process
- Knowledge of students’ skills, knowledge, and language proficiency
- Knowledge of students’ interests and cultural heritage
- Knowledge of students’ special needs
II. DPAS II and the Delaware Framework for Teachers

1e. Designing Student Assessments
   - Congruence with instructional outcomes
   - Criteria and standards
   - Design of formative assessments
   - Use for planning

Potential Evidence That Can Be Applied To Component One:

- Detailed lesson and unit plans
- Goals for the unit
- Interest surveys for students
- Learning style or Multiple Intelligence survey results
- Parent survey results regarding the interests and strengths of their child
- Examples or explanations of resources to be used for the unit or lesson
- Descriptions of activities to be used and how they align to instruction
- Description of strategies to be used to construct the classroom environment
- Descriptions of strategies to be used to instruct students with diverse needs
- Formative and summative assessments to be used
- Professional Learning Community documents, data analysis, and artifacts
## II. DPAS II and the Delaware Framework for Teachers

**Component 1: Planning and Preparation**

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a: Selecting Instructional Goals</td>
<td>Teacher’s goals represent trivial learning, are unsuitable for students, or are stated only as instructional activities, and they do not permit viable methods of assessment.</td>
<td>Teacher’s goals are of moderate value or suitability for students in the class consisting of a combination of goals and activities, some of which permit viable methods of assessment.</td>
<td>Teacher’s goals represent valuable learning and are suitable for most students in the class; they reflect opportunities for integration and permit viable methods of assessment.</td>
<td>Teacher’s goals reflect high-level learning relating to curriculum frameworks and standards; they are adapted, where necessary, to the needs of individual students and permit viable methods of assessment.</td>
</tr>
<tr>
<td>1b: Designing Coherent Instruction</td>
<td>The various elements of the instructional design do not support the stated instructional goals or engage students in meaningful learning and the lesson or unit has no defined structure.</td>
<td>Some of the elements of the instructional design support the stated instructional goals and engage students in meaningful learning, while others do not. Teacher’s lesson or unit has a recognizable structure.</td>
<td>Most of the elements of the instructional design support the stated instructional goals and engage students in meaningful learning and the lesson or unit has a clearly defined structure.</td>
<td>All of the elements of the instructional design support the stated instructional goals, engage students in meaningful learning, and show evidence of student input. Teacher’s lesson or unit is highly coherent and has a clear structure.</td>
</tr>
<tr>
<td>1c: Demonstrating Knowledge of Content and Pedagogy</td>
<td>Teacher displays little understanding of the subject, or of content-related pedagogy.</td>
<td>Teacher’s content and pedagogical knowledge represents basic understanding but does not extend to connections with other disciplines or to possible student misconceptions.</td>
<td>Teacher demonstrates solid understanding of the content and its prerequisite relationships and connections with other disciplines. Teacher’s instructional practices reflect current pedagogical knowledge.</td>
<td>Teacher’s knowledge of the content and pedagogy is extensive, showing evidence of a continuing search for improved practice. Teacher actively builds on knowledge of prerequisites and misconceptions when describing instruction or seeking causes for student misunderstanding.</td>
</tr>
<tr>
<td>1d: Demonstrating Knowledge of Students</td>
<td>Teacher makes little or no attempt to acquire knowledge of students’ backgrounds, skills, or interests and does not use such information in planning.</td>
<td>Teacher demonstrates partial knowledge of students’ backgrounds, skills, and interests and attempts to use this knowledge in planning for the class as a whole.</td>
<td>Teacher demonstrates thorough knowledge of students’ backgrounds, skills, and interests and uses this knowledge to plan for groups of students.</td>
<td>Teacher demonstrates thorough knowledge of students’ backgrounds, skills, and interests and uses this knowledge to plan for individual student learning.</td>
</tr>
<tr>
<td>1e: Designing Student Assessments</td>
<td>Teacher’s plan for assessing student learning contains no clear criteria or standards, is poorly aligned with the instructional outcomes, or is inappropriate to many students. The results of assessment have minimal impact on the design of future instruction.</td>
<td>Teacher’s plan for student assessment is partially aligned with the instructional outcomes, without clear criteria, and inappropriate for at least some students. Teacher intends to use assessment results to plan for future instruction for the class as a whole.</td>
<td>Teacher’s plan for student assessment is aligned with the instructional outcomes, uses clear criteria, and is appropriate to the needs of the students. Teacher intends to use assessment results to plan for future instruction for groups of students.</td>
<td>Teacher’s plan for student assessment is fully aligned with the instructional outcomes and uses clear criteria and standards that show evidence of student contribution to their development. Assessment methodologies may have been adapted for individuals, and the teacher intends to use assessment results to plan future instruction for individual students.</td>
</tr>
</tbody>
</table>

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II. DPAS II and the Delaware Framework for Teachers

Criterion 1a - Selecting Instructional Goals
The teacher selects instructional goals that are aligned with the Delaware content standards and the district or charter school’s curricula. Goals must be appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

Criterion 1a Elements and Indicators of Performance

Value, Sequence, and Alignment
- The teacher selects goals that are aligned with the Delaware content standards.
- Goals are appropriate for the learners and represent high expectations and rigor for the students.
- Goals reflect important learning in the discipline.
- The teacher communicates how and why the goals are important in building a strong understanding of the content.
- Goals are congruent with the sequence of learning being taught.
  - The sequence moves seamlessly from simple to more complex expectations; the teacher adjusts the expectations for learning as the students acquire the knowledge.
- Goals promote learning connections within the discipline and in other related disciplines.

Clarity
- Goals are clearly presented in written plans.
- Goals define and describe what students will know and be able to do as a result of the teaching.
- Each of the goals can be readily assessed through both formative and summative assessments.

Balance
- Students demonstrate their understanding and knowledge in multiple formats.
- Where appropriate, goals reflect several different learning styles.
- When possible, goals include coordination and integration both within the content area and in other related content areas.

Suitability for Diverse Learners
- Goals are based on a comprehensive assessment of student learning.
- The teacher collects and analyzes both group and individual student data.
- The teacher identifies prerequisite skills and knowledge of the students and uses the information to plan to meet the individual needs of the students.
- The teacher uses a variety of information to plan to meet the individual needs of the students.
II. DPAS II and the Delaware Framework for Teachers

Criterion 1b - Designing Coherent Instruction
The teacher plans learning activities that align with instructional goals and support student learning. Instructional planning must show a structure. Selection of materials and activities must support student learning relative to the district or charter school's curricula.

Criterion 1b Elements and Indicators of Performance

Learning Activities
- Learning activities designed and/or selected are suitable for diverse learners and support the instructional goals.
- Activities reflect a common target for students to master but are adapted to meet the needs of the individual students.
- Activities and tasks are designed to engage all students in cognitive activity.
- Activities require students to think and problem solve, provide choice and initiative in how the student might demonstrate knowledge, and provide for depth rather than breadth.

Instructional Materials and Resources
- All materials and resources are suitable for the students and support the stated instructional goals of the lesson.
- Materials and resources support cognitive engagement.
- The teacher assures that activities and tasks support meaningful learning.
- When appropriate and possible, the teacher uses technology to enhance the learning experience.
- When students are working independently or in groups, they may select and/or adapt materials to be used during learning.

Instructional Grouping
- The teacher creates instructional groups that are varied, appropriate to student learning, and meet instructional goals.
- When appropriate, students are encouraged to have input into constructing the groups.

Lesson and Unit Sequence
- The lesson structure is coherent, meaning that it moves from easy to hard and/or simple to complex over the course of the learning sequence.
- The teacher plans time allocations that are reasonable, including planning to accommodate the diverse student needs in the class.
- The lesson has a beginning, middle, and end.
- Time and opportunities for closure and reflection are included.
II. DPAS II and the Delaware Framework for Teachers

Criterion 1c - Demonstrating Knowledge of Content and Pedagogy
This criterion focuses on the teacher’s ability to show his or her knowledge of content and how to teach it to a variety of learners. The teacher’s plans should include natural connections among content areas that deepen student learning. The content that he or she teaches must be aligned to the district or charter school’s curricula.

Criterion 1c Elements and Indicators of Performance

Knowledge of Content and the Structure of the Discipline
- The teacher uses their deep knowledge of the content while planning.
- The teacher identifies the important concepts in the discipline and organizes concepts to make them suitable for the learners in the class.
- The teacher considers intra-disciplinary connections and plans how they will help students see the connections between what they learned previously and what they will be learning.
- The teacher identifies how the content in this discipline is related to and supports the content in other disciplines.
- The teacher assists students in understanding the importance of the discipline and how it may be used in the “real world.”

Knowledge of Prerequisite Relationships
- The teacher’s plans reflect the ability to identify the prerequisite relationships between topics and concepts necessary for student success in the lesson and throughout the sequence of learning.
- The teacher determines the students’ current skill levels and uses that information in planning the lesson.
- Plans reflect understanding of the relationships among topics and concepts and a link to necessary cognitive structures of students to ensure learning.

Knowledge of Content-Related Pedagogy
- The teacher selects a wide range effective pedagogical approaches that may be used during the sequence of learning.
- The teacher selects specific strategies for each lesson and can discuss why these strategies are appropriate for the students in the class at this time.
- The teacher anticipates student misconceptions related to learning and plans for how to address those misconceptions during instruction.
II. DPAS II and the Delaware Framework for Teachers

Criterion 1d - Demonstrating Knowledge of Students
This criterion focuses on the teacher’s ability to show his or her knowledge of student developmental characteristics and approaches to learning, knowledge, skills, interests, cultural heritage, and, where applicable, State Assessment performance levels.

Criterion 1d Elements and Indicators of Performance

Knowledge of Child and Adolescent Development
- The teacher displays current and accurate knowledge of child and adolescent development.
- The teacher uses his/her knowledge of child and adolescent development to plan lessons.
  - The plans indicate how the teacher meets the needs of the general population of students.
  - The plans include adaptations or modifications to meet the needs of individual learners in the class.

Knowledge of the Learning Process
- The teacher uses knowledge of how students learn when crafting plans.
- Plans reflect a variety of approaches to learning to assure all students have access to learning.

Knowledge of Students’ Skills, Knowledge, and Language Proficiency
- The teacher displays understanding of individual students’ skills, knowledge, and language proficiency.
  - Relevant student data are considered when planning instruction and/or communication with the students.

Knowledge of Students’ Interests and Cultural Heritage
- The teacher values student interests and cultural heritage.
- The teacher considers student interests and heritage when planning lessons.
  - The teacher encourages students to share their expertise related to their cultural heritage and/or interests.
  - The teacher actively engages students by tapping into student interests in the lesson.

Knowledge of Students’ Special Needs
- The teacher collects information about each student’s learning and medical needs.
  - The teacher collects student information from a variety of sources.
  - When planning, the teacher considers the IEP of the students and/or any other special needs.
  - The teacher refers to student information when considering adaptations or accommodations for instruction and assessment.
  - The teacher uses student information when creating groups or considering seating arrangements.
Criterion 1e - Designing Student Assessments
This criterion focuses on the teacher’s ability to create and/or select assessments that are congruent with instructional goals, criteria, and standards and to plan for the use of formative and summative assessments of their students.

Criterion 1e Elements and Indicators of Performance

Congruence with Instructional Goals
- The teacher creates and/or selects assessments that are fully aligned with the instructional goals in both the content and process.
- The teacher ensures assessment methods have been adapted for the individual needs of the students.
  - This may include identified accommodations and/or allowing students to demonstrate their knowledge through an alternate measure.

Criteria and standards
- Assessment criteria and standards are clear to the teachers and the students.
  - This may include rubrics that will be used to evaluate work.
  - Students may have been involved in the development of the criteria and standards.
  - Students use formative assessment data to evaluate their own work and guide their learning.

Design of Formative Assessments
- The teacher uses formative assessment data to inform future instruction.
  - The teacher aligns formative assessments to instructional goals including content and process goals.
  - The teacher uses formative assessments as student practice and allows the assessments to be revised.
  - Such information may be collected on checklists during observations and may or may not be shared with students.

Use for planning
- The teacher plans for the use of formative and summative assessments to closely monitor student learning.
- The teacher is familiar with a broad array of assessment data related to the students in their class.
  - The teacher uses information such as state assessment data, district assessment data, and classroom data when planning.
  - The teacher uses the collective data to design instruction for both the class and for individual students within the class.
Component Two: Classroom Environment

Creating an environment in which learning takes place is critical. Component Two includes management of student behavior and the expectation that classroom procedures are public knowledge. The aspects of this component establish the parameters for interactions, create the atmosphere for learning, and define routines and procedures.

All teacher-to-student and student-to-student interactions should elicit respect and rapport. The classroom culture should reflect a climate where students feel safe and supported. Students recognize that the teacher is in charge and has high expectations for their learning and behavior. Students see their teacher as fair and interested in them as individuals and learners.

The following criteria and elements are evaluated under Component 2.

2a. Managing Classroom Procedures
   - Management of instructional groups
   - Management of transitions
   - Management of materials and supplies
   - Performance of non-instructional duties

2b. Managing Student Behavior
   - Expectations
   - Monitoring of student behavior
   - Response to student misbehavior

2c. Creating an Environment to Support Learning (Optional)
   - Teacher interaction with students
   - Student interaction with other students
   - Importance of the content
   - Expectations for learning and achievement
   - Student pride in work

2d. Organizing Physical Space (Optional)
   - Safety and accessibility
   - Arrangement of furniture and use of physical resources

Potential Evidence That Can Be Applied To Component Two:
   - Copies of documents used to establish management procedures
   - Diagrams and photographs of the classroom(s)
   - Rules directed at keeping students safe during instruction
   - Documents indicating the rules and consequences for behavior
   - Documents sent home to parents about rules or procedures
   - Seating charts
   - Class schedules
## Component 2: The Classroom Environment

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHER EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a: Managing Classroom Procedures</td>
<td>Classroom routines and procedures are either nonexistent or inefficient, resulting in the loss of much instruction time.</td>
<td>Classroom routines and procedures have been established but function unevenly or inconsistently with some loss of instruction time.</td>
<td>Classroom routines and procedures have been established and function smoothly for the most part, with little loss of instruction time.</td>
<td>Classroom routines and procedures are seamless in their operation, and students assume considerable responsibility for their smooth functioning.</td>
</tr>
<tr>
<td>2b: Managing Student Behavior</td>
<td>Student behavior is poor, with no clear expectations, no monitoring of student behavior, and inappropriate responses to student misbehavior.</td>
<td>Teacher makes an effort to establish standards of conduct for students, monitor student behavior, and respond to student misbehavior, but these efforts are not always successful.</td>
<td>Teacher is aware of student behavior, has established clear standards of conduct, and responds to student misbehavior in ways that are appropriate and respectful of the students.</td>
<td>Student behavior is entirely appropriate, with evidence of student participation in setting expectations and monitoring behavior. Teacher’s monitoring of student behavior is subtle and preventive, and teacher’s response to student misbehavior is sensitive to individual student needs.</td>
</tr>
<tr>
<td>2c: Creating an Environment to Support Learning</td>
<td>The classroom does not represent a culture for learning and is characterized by low teacher commitment to the subject, low expectations for student achievement, and little student pride in work.</td>
<td>The classroom environment reflects only a minimal culture for learning, with only modest or inconsistent expectations for student achievement, little teacher commitment to the subject, and little student pride in work. Both teacher and students are performing at the minimal level to “get by.”</td>
<td>The classroom environment represents a genuine culture for learning, with commitment to the subject on the part of the teacher and students, high expectations for student achievement, and student pride in work.</td>
<td>Students assume much of the responsibility for establishing a culture for learning in the classroom by taking pride in their work, initiating improvements to their products, and holding the work to the highest standard. Teacher demonstrates a passionate commitment to the subject.</td>
</tr>
<tr>
<td>2d: Organizing Physical Space</td>
<td>Teacher makes poor use of the physical environment, resulting in unsafe or inaccessible conditions for some students or a serious mismatch between the furniture arrangement and the lesson activities.</td>
<td>Teacher’s classroom is safe and essential learning is accessible to all students, but the furniture arrangement only partially supports the learning activities.</td>
<td>Teacher’s classroom is safe and learning is accessible to all students; teacher uses physical resources well and ensures that the arrangement of furniture supports the learning activities.</td>
<td>Teacher’s classroom is safe and students contribute to ensuring that the physical environment supports the learning of all students.</td>
</tr>
</tbody>
</table>

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**Criterion 2a - Managing Classroom Procedures**

The teacher has clearly defined procedures for managing learning time, transitions between learning events, and routine procedures that maximize learning time.

**Criterion 2a Elements and Indicators of Performance**

**Management of Instructional Groups**
- Student grouping is well organized and constructed to maximize learning.
  - Students interact well with one another.
  - The teacher assists students in developing the skills to effectively work in a group setting.
  - The teacher sets and models student group behavior expectations.
  - The teacher orchestrates all aspects of the grouping process.
  - The teacher relinquishes control as students become more effective in assuming responsibility for their work within the group.

**Management of Transitions**
- Transitions are seamless and result in little loss of instructional time.
  - The teacher plans and monitors changes in activities and/or grouping.
  - The teacher anticipates the time needed to shift focus from one activity to another.
  - Students are partners in assuring that no time for learning is lost.
  - The teacher has clear and overt signals for students indicating when the students are to be in a location and ready to work.

**Management of Materials and Supplies**
- Students assume routine classroom duties and activities.
  - Classroom procedures allow for students to hand out and/or collect the materials.
  - Supplies and materials are set up in an area of the classroom where the “supply managers” can find them for distribution.
  - Supplies and resources are at the group location before the students arrive.
  - Students understand how to receive and hand in work.
- The teacher prepares resources ahead of time to facilitate the smooth operation of the classroom.

**Performance of Non-Instructional Duties**
- Students are engaged in learning tasks directly related to the lesson while their teacher is performing necessary non-instructional duties.
- The teacher establishes and monitors ways to have non-instructional activities conducted while learning continues.
Criterion 2b - Managing Student Behaviors

The teacher establishes behavioral expectations and consequences and monitors student conduct. The teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b Elements and Indicators of Performance

Expectations

- The teacher has clearly established standards of conduct and students can articulate what is expected of them both academically and behaviorally.
- Actions and reactions of the students clearly demonstrate understanding of the expectations.

Monitoring of Student Behavior

- Students monitor their own personal and group behaviors in the classroom.
- When students monitor and correct each other, it is done in a respectful manner.
- The teacher uses preventive strategies to monitor student behavior.
- The teacher intervenes before student behaviors impact student learning.

Response to Student Behavior

- The teacher responds to misbehavior effectively.
- Teacher interactions with the student(s) are sensitive to the student’s individual needs.
- Teacher reactions to student misbehavior are entirely appropriate in tone, wording, and action.
Criterion 2c - Creating an Environment to Support Learning
The teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c Elements and Indicators of Performance

Teacher Interaction with Students
- The teacher’s interactions with students reflect genuine respect and caring for individual students and groups of students.
- The teacher establishes a climate in which students are willing to take risks.
- The teacher’s interactions are appropriate for the age and culture of the students.
- The teacher deals with sensitive interactions with students appropriately.
- The teacher models and sets expectations for student interactions.

Students Interactions with Others
- Students display respect and caring for their teachers.
- Students demonstrate genuine caring and respect for one another.
- Students may monitor the interactions of others in the class or in a group.
- The teacher monitors interactions between the students and intervenes when interactions are inappropriate.
- Corrective interactions exhibit respect for the individual.

Importance of the Content
- Students participate in class activities and demonstrate their understanding of the content within and outside of the discipline.
- The teacher discusses and models the importance of content and connections.
- Students understand that the content is important for them to acquire.
  - The teacher uses student interest to build upon that understanding.

Expectations for Learning and Achievement
- Students are actively involved during class, have internalized expectations, work hard, and persist to accomplish the goals.
- Students understand the teachers are there to support them.
- Instructional goals, activities, and assignments convey high expectation for student learning.
- Activities are carefully crafted and/or selected to engage the students in learning.

Pride in Student Work
- Students demonstrate attention to detail and take obvious pride in their work.
- Students initiate improvements on individual or group assignments.
  - This is sometimes prompted by teacher feedback and at other times prompted by the students themselves.
**Criterion 2d - Organizing Physical Space**

The teacher organizes, allocates, and manages physical space to create a safe learning environment. The teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

**Criterion 2d Elements and Indicators of Performance**

**Safety and Accessibility**

- The classroom is safe and students assist in monitoring for safety.
- Aisles/passage areas are clear to ensure the safe movement of the students.
- The room arrangement assures that all students have access to the learning.
- The teacher models and sets expectations for appropriate equipment use and safety procedures.
- The teacher monitors and corrects any safety infractions.
- The teacher ensures all students have the needed materials to be successful in learning.

**Arrangement of Furniture and Use of Physical Resources**

- Students know where the needed materials are located and acquire the resources in a manner that does not disrupt the learning for others.
- The teacher arranges the setting to maximize learning.
- The teacher implements different grouping strategies during the class period.
Component Three: Instruction

This component depends on Components One and Two for success. Without a structure for instruction and a productive learning environment, content delivery will be affected and student learning will be diminished.

Component Three is observed in the classroom. As teachers deliver content, they engage students in the process of learning and involve them in decisions when possible. Teachers instruct students in the content and help students see its value by making connections to other disciplines. This is accomplished through clear and accurate communication with students about their individual work and progress toward the standard(s).

Teachers understand the need to be flexible and responsive to the needs of the class, as a whole, as well as individual students. They adjust lessons and assignments to meet student needs. Teachers understand the value of formative and summative assessment data and employ that information as they plan for future instruction.

The following are the basis upon which the performance of a teacher shall be evaluated.

3a. Engaging Students in Learning
   - Activities and assignments
   - Grouping of students
   - Instructional materials and resources
   - Structure and pacing of the lesson

3b. Demonstrating Flexibility and Responsiveness (Optional)
   - Lesson adjustment
   - Response to students
   - Persistence

3c. Communicating Clearly and Accurately (Optional)
   - Expectations for learning
   - Directions and procedures
   - Explanation of content

3d. Using Questioning and Discussion Techniques
   - Quality of questions
   - Discussion techniques
   - Student participation

3e. Using Assessment in Instruction
   - Assessment criteria
   - Monitoring of student learning
   - Feedback to students
   - Student self-assessment and monitoring of progress
Potential Evidence That Can Be Applied To Component Three:

- Examples of materials used during instruction
- Examples of student work
- Examples of written feedback provided to students during instruction
- Copies of assessments used during the instructional period
- Scoring guides used to assess student work
- Examples of homework assignments
- Video and audio of student performances
- Photographs of student-generated work
## Component 3: Instruction

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>3a: Engaging Students in Learning</td>
<td>Students are not at all intellectually engaged in significant learning as a result of inappropriate activities or materials, poor representations of content, or lack of lesson structure.</td>
<td>Students are intellectually engaged only partially, resulting from activities or materials of uneven quality, inconsistent representations of content, or uneven structure or pacing.</td>
<td>Students are intellectually engaged throughout the lesson with appropriate activities and materials, instructive representations of content and suitable structure, and pacing of the lesson.</td>
<td>Students are highly engaged throughout the lesson and make material contributions to the representation of content, the activities, and the materials. The structure and pacing of the lesson allow for student reflection and closure.</td>
</tr>
<tr>
<td>3b: Demonstrating Flexibility and Responsiveness</td>
<td>Teacher adheres to the instruction plan in spite of evidence of poor student understanding or of students’ lack of interest and fails to respond to students’ questions; teacher assumes no responsibility for students’ failure to understand.</td>
<td>Teacher demonstrates moderate flexibility and responsiveness to students’ needs and interests during a lesson and seeks to ensure the success of all students.</td>
<td>Teacher seeks ways to ensure successful learning for all students, making adjustments as needed to instruction plans and responding to student interests and questions.</td>
<td>Teacher is highly responsive to students’ interests and questions, making major lesson adjustments if necessary, and persists in ensuring the success of all students.</td>
</tr>
<tr>
<td>3c: Communicating Clearly and Accurately</td>
<td>Teacher’s oral and written communication contains errors or is unclear or inappropriate to students.</td>
<td>Teacher’s oral and written communication contains no errors but may not be completely appropriate or may require further explanations to avoid confusion.</td>
<td>Teacher communicates clearly and accurately to students, both orally and in writing.</td>
<td>Teacher’s oral and written communication is clear and expressive, anticipating possible student misconceptions.</td>
</tr>
<tr>
<td>3d: Using Questioning and Discussion Techniques</td>
<td>Teacher makes poor use of questioning and discussion techniques with low-level questions, limited student participation, and little true discussion.</td>
<td>Teacher’s use of questioning and discussion techniques is uneven with some high-level questions, attempts at true discussion, and moderate student participation.</td>
<td>Teacher’s use of questioning and discussion techniques reflects high-level questions, true discussion, and full participation by most students.</td>
<td>Students formulate many of the high-level questions and assume responsibility for the participation of all students in the discussion. Teacher employs cognitive coaching in questioning.</td>
</tr>
<tr>
<td>3e: Using Assessment in Instruction</td>
<td>Assessment is used for the purpose of grading rather than informing instruction. Students are not aware of the assessment criteria; the teacher does not monitor progress of students, nor provide feedback to them. Students are not engaged in self-assessment.</td>
<td>Assessment is occasionally used to support instruction through some monitoring of progress of learning by teacher and/or students. Feedback to students is uneven, and students are aware of only some of the assessment criteria used to evaluate their work. Assessment is primarily summative, although formative and informal assessments are used occasionally.</td>
<td>Assessment is regularly used during instruction through monitoring of progress of learning by teacher and/or students and through high quality feedback to students. Occasional formative assessment is used and students are aware of most summative assessment criteria.</td>
<td>Assessment is used in a sophisticated manner in instruction through student involvement in establishing the assessment criteria, self-assessment by students and monitoring of progress by both students and teachers, and high quality feedback to students from a variety of sources. Formative assessment is used regularly and students are aware of summative assessment criteria.</td>
</tr>
</tbody>
</table>

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Criterion 3a - Engaging Students in Learning
Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school's curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

Criterion 3a Elements and Indicators of Performance

Activities and assignments
- Students demonstrate their knowledge and understanding of the content in a variety of ways.
- Activities require students to think and problem solve.
- Activities promote cognitive engagement which promotes students’ exploration of the content.
- Tasks provide for some student choice and initiative.
- Students are encouraged to initiate or adapt activities and projects to enhance their understanding.

Grouping of Students
- Students are actively engaged in group work.
- Student groups are structured to promote a productive learning experience.
- Student groups are appropriate to the purpose of the lesson.

Instructional Materials and Resources
- Materials and resources are suitable to the instructional purposes of the lesson.
- Materials and resources promote the cognitive engagement of students.
- Materials and resources are aligned to the goals of the lesson and sequence of learning.

Structure and Pacing of the Lesson
- Students are actively engaged in learning throughout the period.
- The lesson has a logical and well-structured sequence of learning experiences.
- Lesson pacing is neither belabored nor rushed.
- Lesson pacing is appropriate for all students within the class.
- Time for reflection and closure is built into the lesson.
Criterion 3b - Demonstrating Flexibility and Responsiveness

The teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. The teacher differentiates instruction based on learner characteristics and achievement data.

Criterion 3b Elements and Indicators of Performance

Lesson Adjustment

- The teacher accurately observes the actions and reactions of the students as the lesson is being implemented.
- The teacher adjusts his or her plans and pacing based on students’ needs and progress through the lesson.
- Adjustments to the lesson occur smoothly

Response to Students

- The teacher enhances learning by building on a student’s interests and/or talents.
- Spontaneous events within a class are used to enhance understanding of the content.

Persistence

- The teacher seeks effective approaches for students who need help in their classes.
- The teacher uses his or her repertoire of strategies to assist the students in learning.
- The teacher is knowledgeable of and solicits resources from the school and/or community.
Criterion 3c - Communicating Clearly and Accurately
Verbal and written communication is clear and appropriate to students’ age, background, and level of understanding.

Criterion 3c Elements and Indicators of Performance

Expectations for Learning
- Students understand how the current lesson fits into the broader sequence of learning.
- The teacher communicates the purpose of the lesson or unit (sequence of learning) clearly.
- The teacher links the purpose of the learning to the interests of students.
- The teacher helps students make connections between lesson content and the “real world.”

Directions and Procedures
- Directions are presented to students in clear and “student friendly” terms.
- Classroom procedures are clear and understood by the students.
- The teacher helps students work through misconceptions.
- The teacher intervenes and assists students when appropriate.

Explanation of Content
- Students accurately explain lesson concepts to their peers.
- The teacher’s explanation of content is accurate and appropriate.
- The teacher helps students connect previous experience to current learning.
**Criterion 3d - Questioning and Discussion Techniques**

Questions are appropriate to the content and level of students’ understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student-led discussions.

**Criterion 3d Elements and Indicators of Performance**

**Quality of Questions**
- Students deepen their understanding as the lesson evolves.
- The teacher asks questions that are appropriate (depth and breadth) to the sequence of learning.
- The teacher applies appropriate questioning techniques to deepen student understanding and the quality student responses.
- The teacher provides adequate wait time for students to process responses.
- The teacher supports and encourages students to pose their own questions.

**Discussion Techniques**
- Students engage in discussions related to the lesson.
- Students initiate discussion topics related to the lesson.
- Students working in groups actively listen, accept multiple perspectives, and respectfully address others during conversation.
- The teacher facilitates student learning rather than directing it.

**Student Participation**
- Students are prepared to contribute to the conversation.
- Class “experts” are permitted to share their skills and knowledge with their peers.
- The teacher ensures all voices are heard in discussion.
- The teacher sets expectations for all students to participate.
- The teacher draws members of the group into the discussion.
- The teacher uses cues to indicate when a particular student is expected to speak and/or when all other students are expected to be active listeners.
**Criterion 3e - Using Assessment in Instruction**

The teacher makes criteria of the assessment know to students, monitors student progress, provides descriptive feedback, and promotes student self-assessment. The teacher uses student assessment data to plan future instruction.

**Criterion 3e Elements and Indicators of Performance**

**Assessment Criteria**
- Students are fully aware of the criteria and performance standards to be used in assessments.
- In some cases, the students contribute to the development of the criteria.

**Monitoring of Student Learning**
- The teacher actively and systemically designs assessments to collect useful information about student learning.
- The teacher uses varied types of formal and informal assessments to collect student learning data.
- The teacher analyzes assessment responses and student behaviors to assess student learning.
- The teacher uses assessment data to inform future instruction.

**Feedback to Students**
- Students effectively use feedback to correct and/or revise their work.
- Students find teacher comments valuable.
- The teacher provides accurate, constructive, substantive, specific, and timely feedback to students.
- The teacher provides sufficient time and support for students to improve.

**Student Self-Assessment and Monitoring of Progress**
- Students are part of the assessment process and accept responsibility for their learning.
- Students are able to accurately assess and monitor the quality of their own work against established criteria and performance standards.
- Students use self-assessment information to set goals for improvement.
- The teacher provides students with examples of different levels of performance against which the student can evaluate their own work.
Component Four: Professional Responsibilities

Teachers engage in many professional activities as they develop teaching skills. For Component Four, teachers and administrators gather evidence of such activities, but the document is not expected to be inclusive of all professional growth activities. It is intended to focus on professional growth activities within the context of school, district, and student.

Note: A school district or charter school may submit an application for a locally determined alternative Component IV to the Department of Education, which may substitute for this component if approved. The application format and process will be provided by the Department. Applications must be received and approved no later than the last day of July for the upcoming school year.

The following are the basis upon which the performance of a teacher shall be evaluated.

4a. Communicating with Family *(Optional)*
   - Information about the instructional program
   - Information about individual students
   - Engagement of families in the instructional program

4b. Recording Data in a Student Record System
   - Student completion of assignments
   - Student progress in learning
   - Non-instructional records

4c. Growing and Developing Professionally *(Optional)*
   - Enhancement of content knowledge and pedagogical skills
   - Receptivity to feedback from colleagues
   - Service to the profession

4d. Reflecting on Professional Practice
   - Accuracy
   - Use in future teaching

Potential Evidence That Can Be Applied To Component Four:

- Logs of communication with families
- Documents sent home to families
- Samples of e-mail communications with families
- Interactive websites that provide information about events in the classroom or homework
- Documents that demonstrate that parents are encouraged to actively assist in their child’s education
- Classroom volunteer logs
- Professional Responsibilities Form
## Component 4: Professional Responsibilities

<table>
<thead>
<tr>
<th>CRITERION</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
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<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>4a: Communicating with Family</td>
<td>The teacher provides little or no information to families and makes no effort to engage families in the instructional program.</td>
<td>The teacher complies with school procedures/policies for providing information to families and makes an effort to engage families in the instructional program.</td>
<td>The teacher communicates frequently with families and successfully engages families in the instructional program.</td>
<td>The teacher communicates frequently with families; communication is sensitive to families’ cultures and values. The teacher successfully engages families in the instructional program. Students participate in communication with families.</td>
</tr>
<tr>
<td>4b: Recording Data in a Student Record System</td>
<td>The teacher does not maintain and record accurate data which results in errors and confusion.</td>
<td>The teacher maintains accurate data, but the teacher officially records data in a rudimentary and ineffective manner.</td>
<td>The teacher maintains and records accurate data in an efficient and effective manner.</td>
<td>The teacher maintains and records accurate data in an efficient and effective manner. Data are always recorded in a timely manner and readily accessible for those who have permission to access them.</td>
</tr>
<tr>
<td>4c: Growing and Developing Professionally</td>
<td>The teacher does not participate in professional development activities even when such activities are clearly needed for the development of teaching skills.</td>
<td>The teacher has limited participation or involvement in professional development activities.</td>
<td>The teacher actively participates in professional development activities and contributes to the profession.</td>
<td>The teacher makes a substantial contribution to the profession through activities such as action research and mentoring new teachers and actively pursues professional development.</td>
</tr>
<tr>
<td>4d: Reflecting on Professional Practice</td>
<td>The teacher does not accurately reflect on the lesson or propose ideas on how the lesson could be improved.</td>
<td>The teacher’s reflection on the lesson is generally accurate and the teacher makes global suggestions about how the lesson may be improved.</td>
<td>The teacher’s reflection on the lesson is accurate, citing general characteristics of the lesson, and the teacher provides specific suggestions about how the lesson may be improved.</td>
<td>The teacher’s reflections on the lesson are accurate and perceptive, citing specific examples within the lesson and specific suggestions for improvement. The teacher draws on an extensive repertoire to support suggestions for alternative strategies.</td>
</tr>
</tbody>
</table>

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Criterion 4a - Communicating with Family
The teacher shares information about the school’s educational program and expectations for student performance. The teacher develops a mechanism for two-way communication with families about student progress, behavior, and personal needs or concerns.

Criterion 4a Elements and Indicators of Performance

Information about the instructional program
- The teacher provides frequent information about the instructional program to families.
- The teacher provides information in a format(s) accessible to all parents.
- The teacher provides information using understandable language and terms.

Information about individual students
- The teacher communicates with families about the academic, developmental, and behavioral progress of the student.
- Communication between the teacher and family is two-way and on-going.
- When appropriate, the teacher involves the student in the conversations.

Engagement of families in the instructional program
- Families are actively engaged in the instructional program.
- The teacher makes frequent attempts to engage families in instruction.
Criterion 4b – Recording Data in a Student Record System
The teacher keeps records of attendance, disciplinary actions, emergency contact information, and personal information. The teacher shares relevant information with appropriate school personnel.

Criterion 4b Elements and Indicators of Performance

Student completion of assignments
- The teacher records data on student assignment completion and grades.
- Records are entered in a timely fashion.
- Records are accurate.

Student progress in learning
- The teacher maintains formative assessment and other relevant data for use in instructional planning.
- The teacher shares data appropriately in his or her Professional Learning Community(s).
- Records are organized and accurate.

Non-instructional records
- The teacher maintains and records all required and relevant data including, but not limited to, attendance, disciplinary actions, and contact information.
- Records are organized and accurate.
Criterion 4c - Growing and Developing Professionally
The teacher chooses and participates in professional growth that is aligned with his or her professional needs and aligned with the needs of the students, school, or district.

Criterion 4c Elements and Indicators of Performance

Enhancement of content knowledge and pedagogical skills
- The teacher seeks out opportunities to enhance his or her content knowledge and/or pedagogical skills.
- The teacher seeks out/participates in opportunities to stay current in the field.
- The teacher selects professional goals directly related to teaching standards, improving student learning, and school improvement.
- The teacher actively participates in activities that will enhance their professional practice and improve student learning.

Receptivity to feedback from colleagues
- The teacher welcomes feedback from colleagues and supervisors.
- The teacher effectively and actively participates in his or her Professional Learning Community(s).
- The teacher uses feedback and collegial sharing to improve his or her own practice.

Service to the profession
- The teacher welcomes the opportunity to help his or her colleagues.
- The teacher participates in school, district, and/or state committees and/or councils, as appropriate.
Criterion 4d - Reflecting on Professional Practice
The teacher engages in reflective thinking as an individual, as a team participant, or as a school community member with the goal of improving instruction and learning for all students.

Criterion 4d Elements and Indicators of Performance
Accuracy
- The teacher accurately assesses his or her effectiveness among the various components of teaching.
- The teacher assesses his or her own performance against standards.
- The teacher accurately attributes student performance to various inputs and/or conditions (student, teacher, environment, et cetera).
- The teacher accurately assesses his or her ability to add value.

Use in future teaching
- The teacher regularly uses reflection to improve future instruction.
- The teacher sets goals to improve his or her personal practice.
- The teacher documents his or her progress toward goals.
- The teacher asks for assistance to improve future teaching, when appropriate.
Component Five: Student Improvement

Teachers understand that improvement of student learning is their primary responsibility. Further, they recognize that students come to them at different places along the continuum of learning. They understand that in a standards-based environment, the ultimate goal is to move all students toward the standard. In addition, they recognize that student improvement rates will vary during the year. Through careful planning and evaluation of data, teachers modify their instruction for both the class and individual students.

The following structure is the basis upon which the performance of a teacher shall be evaluated.

There are three (3) different measures that determine the Component V rating for teachers: Measure A, Measure B and Measure C.

Measure A: State Assessment Scores
- Measure A is based upon student scores of the state assessment for reading and/or mathematics for grades four (4) through eight (8).

Measure B: Content Assessments
- Measure B can be comprised of two types of content measures:
  1. Internal assessments that are educator-developed and DDOE-approved specific to subjects and grade levels.
  2. External measures that are DDOE-approved and can be used at the discretion of each district.

Measure C: Growth Goals
- Growth goals are educator-developed and DDOE-approved. Goals are specific to content areas and job assignments.
EDUCATOR GROUPS

The following structure will determine educator groups and applicable measures.

- **Group 1**: Includes any educator who instructs reading and/or mathematics for at least 10 students in grades four (4) through eight (8).

- **Group 2**: Includes any educator who generally reports student grades for at least 10 students in any subject or grade where state assessments in reading and mathematics are not administered and/or a Measure B assessment is available.

- **Group 3**: Includes any educator who generally does NOT report student grades and any educator who cannot otherwise be categorized into Groups 1 or 2.

To determine the educator group and measures that are applicable to you, see the chart below.

- **Definition of Teacher of Record**: The teacher of record is a full-time teacher who has been assigned the primary responsibility for a student’s learning in a course/class, provided the student has been in attendance at least 85% of the time that the class is in session.

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**Educator Group and Measure Selection**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are you the reading and/or math Teacher of Record and give grades for at least 10 students in grades 4-8?</td>
<td>Yes ☐</td>
<td>No ☐</td>
</tr>
<tr>
<td><strong>If yes...</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If no... go to next question</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Group 1 Educator</strong></td>
<td>Measure A 50%</td>
<td>Measure B or C 50%</td>
</tr>
<tr>
<td>Component V calculated using Measure A and B/C</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Are you the Teacher of Record and give grades for at least 10 students at any grade or subject other than 4-8 reading and/or math?</td>
<td>Yes ☐</td>
<td>No ☐</td>
</tr>
<tr>
<td><strong>If yes...</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If no, you will be in Group 3</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Group 2 Educator</strong></td>
<td>Measure B 50%</td>
<td>Measure B or C 50%</td>
</tr>
<tr>
<td>Component V calculated using Measure B/C</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Any educator who does not meet the criteria for Group 1 or Group 2 will defer to Group 3.</td>
<td><strong>Group 3 Educator</strong> 100%</td>
<td>Measure C only.</td>
</tr>
<tr>
<td>Component V calculated using Measure C only.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Educators who have unique circumstances should work with their administrator to determine the most appropriate educator group for them to participate in for Component V.*
MEASURES FOR COMPONENT V
The following provides guidance as to the application of Measure A, Measure B and Measure C.

Group 1 Educators:
- **MUST** use two (2) measures for all students assessed in reading and/or math for grades four (4) through eight (8)
- **MUST** use one (1) Measure A AND one (1) Measure B OR one (1) Measure C
- Each data point weighted 50%

Group 2 Educators:
- **MUST** use two (2) measures (“data points”)
- **MUST** use at least one (1) Measure B AND one (1) Measure B OR one (1) Measure C
- Measure B and Measure B/C weighted 50% each

Group 3 Educators:
- **MUST** use two (2) Measure C’s
- Measure C weighted 100%

*In rare cases, educators along with their evaluators may determine that four “data points” are beneficial in capturing their impact on student outcomes. LEAs shall notify DDOE as to how many educators are evaluated as such.*

While an assessment must be administered to all students within a class, a teacher may, in some cases, set a target for a cohort of a minimum of ten (10) students within that class. The assessment may be used for different classes and can be utilized as another data point.

The following structure will determine how Measures will be calculated for Component V.

**Measure A** will be based on student scores from the state assessment in ELA and Math. Growth targets are based on the state’s student growth model and are established by the Department of Education.

**Measure B and Measure C calculations** are based on professional conversations between the administrator and educator during the fall and spring conferences. Administrators and educators will agree upon the measures used, the targets set on those measures, and Component V ratings based on actual versus target data. If agreement cannot be reached, administrators have final approval.

**How are student growth measures rated?**

<table>
<thead>
<tr>
<th></th>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

**Measure A:** Targets will be determined as follows:
Targets will be determined based on the state’s student growth model, which shall be established by the Department of Education.

**Measure B:** Growth targets shall be determined as follows:

Growth targets will generally be determined after the fall administration of the pre-test measure(s). However, prior to administration of any Measure B, the administrator must approve the selected Measure(s). Based on the results of the pre-test, the educator will use the Component Five Form/Online Tool and set growth targets. Then the educator and administrator should meet (fall conference) to develop “Satisfactory” and “Exceeds” targets based upon the identified area(s) of need and goals for their students.

**Measure C:** Growth Targets shall be determined as follows:

Growth Targets will be determined during the fall conference between the educator and administrator. The educator will be responsible for selecting (with administrator approval) from a menu of growth goals applicable to their job assignment. Using a Component Five Form/Online Tool, the educator will set growth targets. The educator and administrator (during the fall conference) will then develop “Satisfactory” and “Exceeds” targets based upon the identified area(s) of need for their class or cohort of students.
COMPONENT V RATINGS

The following structure shall determine the overall Component V Rating:

<table>
<thead>
<tr>
<th>Possible Rating Combinations</th>
<th>Overall Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds</td>
<td>Exceeds</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>

In rare cases, educators and evaluators may determine that four “data points” are beneficial in capturing their impact on student outcomes. LEAs shall notify DDOE as to how many educators are evaluated as such.

If an educator and evaluator disagree about the educator’s performance rating(s), the evaluator makes the final determination. The educator may address any differences through the Challenge Process (see Section III: Process for Teachers).
III. DPAS II Process

DPAS II: Process At-A-Glance

The two major features of DPAS II are its conceptual framework and its activities. The conceptual framework consists of elements derived from Charlotte Danielson's *Enhancing Professional Practice: A Framework for Teaching (2nd Edition)*. The activities generate the data used in the appraisal.

DPAS II is a continuous process of professional improvement, which may entail a one- or two-year cycle, depending on an educator’s status and length of service and the LEA’s determination regarding annual cycles.

To the extent that the DPAS II Guide for Teachers provides a time period within which any part of the process must be completed, the guide shall prevail unless the controlling collective bargaining agreement provides a different timeline that does not interfere with the spirit of the DPAS-II process. In such case, the collective bargaining agreement prevails.

DPAS II’s components and activities are listed below:

**Conceptual Framework**

- **Components:**
  1) Planning and Preparation
  2) Classroom Environment
  3) Instruction
  4) Professional Responsibilities
  5) Student Improvement

- **Activities:**
  - **Documentation/Forms:**
    - Component Five
    - Professional Responsibilities
    - Component One
    - Formative Feedback
    - Summative Evaluation
    - Improvement Plan

**Types of Conferences:**
- Roster Identification/Measures Selection (brief)
- Fall Conference
- Pre-Observation Conference
- Post-Observation Conference
- Roster Verification/Spring Conference
- Summative Evaluation Conference
- Improvement Plan Conference

**Types of Observations:**
- Observation #1 Announced/Unannounced
- Observation #2 (in some cases)
- Observation #3 (in some cases)
- Short Observation(s) (in some cases)
III. DPAS II Process

Evaluation Timeline
Observations shall not begin until students have been in attendance for five (5) full school days, unless an Improvement Plan calls for such an observation. Observations shall be completed before the last five (5) school days with full day student attendance.

To the extent that the DPAS II Guide for Teachers suggests a time period within which any part of the process will be completed, the Guide shall prevail unless the controlling bargaining agreement requires activities to be completed on a different timeline that does not interfere with the spirit of the DPAS-II process.

The following are suggested target dates by which each should be completed:

<table>
<thead>
<tr>
<th>Novice Teachers</th>
<th>Experienced Teachers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fall Conference – October 31 (recommended date)</td>
<td>Observation – January 31</td>
</tr>
<tr>
<td>Observation 1 – October 31</td>
<td>Observation 2 &amp; 3 – March 31</td>
</tr>
<tr>
<td>Observations 2 &amp; 3 – March 31</td>
<td>Summative Evaluation – May 31</td>
</tr>
<tr>
<td>Summative Evaluation – April 30</td>
<td>Spring Conference – May 6</td>
</tr>
<tr>
<td>Spring Conference – May 6 (recommended date)</td>
<td></td>
</tr>
</tbody>
</table>
III. DPAS II Process

Step 1: Measures/Target Selection and Professional Responsibilities Conferences
Component Four (Professional Responsibilities)
Component Five (Student Improvement)

Component Five Form/Online Tool and Conference

The Component Five Form/Online Tool/online tool and conference provide the teacher and evaluator with information about how the teacher's Component Five rating will be determined. For further detail on Measures and Target requirements, see Section II of this Guide.

It is recommended that this conference be completed by October 31 each year. The administrator may choose to meet with groups of teachers with common measures or the administrator may schedule individual conferences to address both Measures/Target Selection (Component V) and Professional Responsibilities.

Possible Prompts/Questions for the Measures/Target Selection Conference:

1. What are the identified areas of need on which you will focus with your students this school year?
2. Which data points (Measures A,B,C) will you use to show student progress in the identified areas?

Professional Responsibilities Form and Conference

The Professional Responsibilities form and conference allow the teacher and evaluator to plan appropriate and relevant professional growth activities. Professional growth opportunities within this plan should be designed to improve the teacher’s practice in relation to the four criteria within Component Four. This conference may be held at the same time as the Fall Conference or it may be held during the first Post-observation Conference.

Possible Prompts/Questions for the Professional Responsibilities Conference:

- Outline your goals for professional growth. How do your goals help you improve your current practice?
- What supports or professional learning opportunities do you need in order to meet your goals?
- Describe your PLC(s) participation. How does it enhance your professional practice?
- What strategies do you use to communicate with families? How often do you communicate with families?
III. DPAS II Process

The tables on this page outline teacher and evaluator responsibilities during the Measures/Target Selection and Professional Responsibilities Conferences phase of DPAS II. These conferences are required for teachers. However, if the district allows and both the administrator and teacher agree, then the Professional Responsibilities form may be optional for Experienced Teachers. The Professional Responsibilities form may not be waived for Novice Teachers. The Component Five Form/Online Tool may not be waived for Novice or Experienced Teachers.

Fall Conference Event Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prior to Fall Conference</td>
<td>Prior to Fall Conference</td>
</tr>
<tr>
<td>Obtain evaluator approval for selected measures.</td>
<td>Communicate to staff artifacts to be presented at Fall Conference.</td>
</tr>
<tr>
<td>Identify rosters.</td>
<td>Review the Component Five Form/Online Tool and be prepared to discuss.</td>
</tr>
<tr>
<td>Administer selected measures to obtain baseline data.</td>
<td></td>
</tr>
<tr>
<td>Complete draft of the Component Five Form/Online Tool and be prepared to discuss.</td>
<td></td>
</tr>
<tr>
<td>During Fall Conference</td>
<td>During Fall Conference</td>
</tr>
<tr>
<td>Take an active part in the conference.</td>
<td>Hold the conference with the teacher.</td>
</tr>
<tr>
<td>Present baseline data and proposed targets.</td>
<td>Discuss Measure A, B, or C targets and rationale for their selection.</td>
</tr>
<tr>
<td>Be prepared to discuss Measure A, B, or C targets and rationale.</td>
<td>Finalize Fall section of Component Five Form/Online Tool and sign.</td>
</tr>
<tr>
<td>Sign Fall section of Component Five Form/Online Tool.</td>
<td></td>
</tr>
</tbody>
</table>
### III. DPAS II Process

#### Professional Responsibilities Conference Event Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Prior to Professional Responsibilities Conference</strong></td>
<td><strong>Prior to Professional Responsibilities Conference</strong></td>
</tr>
<tr>
<td>Complete the Professional Responsibilities Form (may be optional for Experienced) and be prepared to discuss.</td>
<td>Review the Professional Responsibilities Form and be prepared to discuss.</td>
</tr>
<tr>
<td>The teacher may wish to complete the Professional Responsibilities Reflection Template, but this is optional.</td>
<td></td>
</tr>
<tr>
<td><strong>During Professional Responsibilities Conference</strong></td>
<td><strong>During Professional Responsibilities Conference</strong></td>
</tr>
<tr>
<td>Take an active part in the conference.</td>
<td>Hold the conference with the teacher.</td>
</tr>
<tr>
<td>Be prepared to discuss Component Four - <em>Professional Responsibilities</em>.</td>
<td>Be prepared to seek evidence of and provide initial feedback related to Component Four - <em>Professional Responsibilities</em>.</td>
</tr>
</tbody>
</table>
III. DPAS II Process

Step 2: Pre-observation
Component One (Planning and Preparation)
Component Two (Classroom Environment)
Component Three (Instruction)
Component Four (Professional Responsibilities)

The Component One Form and Pre-observation Conference provide the evaluator with information about the upcoming observation and criteria of the components that may not be directly observable. Information from self-assessment and the formal process of sharing the evidence collected helps teachers clarify strengths and identify areas for growth.

The table on the next page outlines teacher and evaluator responsibilities during the Pre-observation Conference phase of DPAS II. The Pre-observation Conference is required for all announced observations. However, if the district allows and both the administrator and teacher agree, then the Component One Form may be optional for announced observations of Experienced Teachers. The Component One Form may not be waived for Novice Teachers.

The Pre-observation Conference is applicable to an “Announced” observation. If the evaluator and Experienced Teacher mutually decide a Component One Form is not necessary, the Component One evidence/narrative will be completed using the lesson plan and discussion points from the Pre and Post-observation Conferences. However, the Component One Form may be requested by the evaluator at any point in the formative process (either before the Pre-observation Conference or immediately following an observation. If requested after an observation, the evaluator should make the request on the same day as the observation occurred). The form may also be requested via a school-wide routine established by an administrator. The documentation of performance for Components Two and Three will be collected when the actual observation is conducted.

Whenever possible the Pre-observation Conference should be held in the teacher’s classroom. This allows the teacher easy access to materials and/or evidence that may help strengthen the discussion.

Component Four may be discussed during this conference or the Post-observation Conference or both. The evaluator is expected to provide relevant initial feedback to the teacher concerning Component One and Four during the Pre-observation Conference.
Possible Prompts/Questions for the Pre-observation Conference:

- Outline your goals for this unit. How does this lesson fit into the unit?
- Describe the standards this lesson and unit address.
- How will the lesson prepare students to demonstrate their mastery of the standards?
- Describe the students in this class.
- How did you use your knowledge of these students as you selected strategies, activities, and materials?
- What formative assessments have you administered as a part of this unit? What have the results indicated?
- Describe methods you use to provide information about the instructional program to families.
- In which professional development opportunities are you participating? Describe your PLC(s) participation. How does it enhance your professional practice?
III. DPAS II Process

Pre-observation Conference Responsibilities

The Pre-observation Conference is required for all announced observations. However, if the district allows and both the administrator and teacher agree, then the Component One Form may be optional for announced observations of Experienced Teachers. The Component One Form may not be waived for Novice Teachers. The Pre-observation Conference is applicable to an “announced” observation. If the observation is unannounced, the criteria discussed during the pre-observation conference may be discussed during the post-observation conference. The evaluator may request the Component One Form immediately following the observation (or via a school-wide routine established by an administrator) and thus prior to the Post-observation Conference in order to better inform the teacher’s appraisal.

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Prior to Pre-observation Conference</strong></td>
<td><strong>Prior to Pre-observation Conference</strong></td>
</tr>
<tr>
<td>Complete a Component One Form with a detailed lesson plan and submit these documents to the administrator. The lesson plan should address each of the five criteria in Component One.</td>
<td>Review the Component One Form and the teacher’s lesson plan. The lesson plan should address each of the five criteria in Component One as listed below.</td>
</tr>
<tr>
<td><strong>During Pre-observation Conference</strong></td>
<td><strong>During Pre-observation Conference</strong></td>
</tr>
<tr>
<td>Take an active part in the conference.</td>
<td>Hold the conference with the teacher.</td>
</tr>
<tr>
<td>Be prepared to discuss Component One - Planning and Preparation.</td>
<td>Be prepared to seek evidence of and provide initial feedback related to Component One - Planning and Preparation.</td>
</tr>
<tr>
<td>Submit Professional Responsibilities Form (if the teacher has not done so already).</td>
<td>Be prepared to seek evidence of and provide initial feedback related to Component Four - Professional Responsibilities.*</td>
</tr>
<tr>
<td>Be prepared to discuss Component Four – Professional Responsibilities. *</td>
<td>Be prepared to review artifacts presented.</td>
</tr>
<tr>
<td>Be prepared to present and discuss artifacts.</td>
<td></td>
</tr>
</tbody>
</table>

* Discussions about Component Four progress may occur at any point during the appraisal cycle.*
III. DPAS II Process

Step 3: Observation
Component One (Planning and Preparation)
Component Two (Classroom Environment)
Component Three (Instruction)
Component Four (Professional Responsibilities)

Observation provides a view of teacher practice and the opportunity to collect information to assess performance. The purpose of the observation is to record observed evidence of Components One, Two, and Three. (The observation may provide you with evidence that Component 4 goals are being met. Additional evidence will come from the teacher in the post observation conference). This process is the same for both novice and experienced teachers.

Observation serves as a snapshot of practice captured through watching teaching and providing feedback on what is observed. In some cases, one observation is sufficient to clearly see the teacher’s practice, but sometimes multiple snapshots are necessary.

Frequency of Observations

- Novice teachers shall receive a minimum of one (1) Announced observation and two (2) Unannounced observations with a Summative Evaluation every year. Novice teachers who have earned a rating of “Needs Improvement” or “Ineffective” on their most recent Summative Evaluation must have an Improvement Plan which may require additional observations and other types of monitoring.

- Experienced teachers who have earned a rating of “Highly Effective” on their most recent Summative Evaluation shall receive a minimum of one (1) Announced or Unannounced observation each year with a summative evaluation at least once every two (2) years. The Student Improvement Component for “Highly Effective” teachers must be evaluated each year, regardless of whether a summative evaluation is conducted.

- Experienced teachers who have earned a summative rating of “Effective” on their most recent Summative Evaluation shall receive a minimum of one (1) Announced or Unannounced observation each year with a Summative Evaluation at least once every two (2) years. The Student Improvement Component for “Effective” teachers must be evaluated each year, regardless of whether a summative evaluation is conducted.

- Experienced teachers who have earned a summative rating of “Needs Improvement” or “Ineffective” shall receive a minimum of one (1) announced observation and one (1) unannounced observation, with a Summative Evaluation at the end of the one (1) year period. These teachers shall also have an Improvement Plan, which may require additional observations and other types of monitoring.
III. DPAS II Process

Short Observation

A Short Observation allows an evaluator to collect additional targeted evidence to better inform teacher practice and assess performance. A Short Observation shall focus on Components II and III only, and cannot include the use of the Component One Form. A Short Observation must be followed by a brief conference within 10 days of the observation. Furthermore, a Short Observation shall not be conducted until at least one full observation (no less than 30 minutes) has occurred during the teacher’s appraisal cycle. Evidence collected from a “Short” should be included in the overall Summative Evaluation, similar to how evidence from formative observations is integrated.

- A “Short” observation is a formal observation. It is not a walkthrough.
- “Short” observations are used to evaluate teachers, not specialists.
- Once a full observation has occurred during an educator’s cycle, the evaluator may conduct “Short” observations as appropriate or needed, keeping in mind that the minimum number of full observations must be completed within the cycle.
- One “Short Observation” taken alone cannot lead to a mid-year Improvement Plan.
III. DPAS II Process

Key Concepts – Observations

- In some cases observations are Announced. The teacher receives advanced notification of the observation. In other cases, the observation is Unannounced and there is no advance notification.

- An Announced observation provides a forum for the teacher and evaluator to discuss the context and plans for the lesson to be observed prior to its implementation. An Unannounced observation is an opportunity for an evaluator to watch a teacher in action without providing prior notice. The evaluator relies upon direct observation of the lesson to examine the teacher’s practice during the observation period.

- Observations should be of sufficient length, at least thirty (30) minutes, so that the evaluator can analyze the lesson and accurately assess performance. “Short Observations” may also be appropriate. A short observation of at least 10 minutes allows the evaluator to provide timely feedback that targets specific areas for educator growth/development in Components II & III.

- There must be a reasonable amount of time between observations. Time between observations must be sufficient for teachers to improve their performance. If the teacher needs support(s) to improve their performance (i.e. coaching and professional development), then the time between observations must be sufficient for the teacher to have had the opportunity to access appropriate supports.

- Observations may not begin until students have been in attendance for five (5) full days, unless an Improvement Plan calls for such an observation.

- Observations must be completed before the last five (5) days during which students are in attendance for the entire day.

- Under Regulation 106A, non-administrators may become Credentialed Observers. When this is the case, a Credentialed Observer may conduct observations above and beyond the minimum number of required observations performed by the Evaluator.
III. DPAS II Process

Observation Event Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Demonstrate evidence of:</td>
<td>Collect evidence of:</td>
</tr>
<tr>
<td>Component One – <em>Planning and Preparation</em> **</td>
<td>Component One – <em>Planning and Preparation</em> **</td>
</tr>
<tr>
<td>Component Two – <em>Classroom Environment</em> **</td>
<td>Component Two – <em>Classroom Environment</em> **</td>
</tr>
<tr>
<td>Component Three – <em>Instruction</em> **</td>
<td>Component Three – <em>Instruction</em> **</td>
</tr>
<tr>
<td>Component Four – <em>Professional Responsibilities</em> **</td>
<td>Component Four – <em>Professional Responsibilities</em> **</td>
</tr>
</tbody>
</table>

**Not all elements of Components One, Two, Three, and Four may be demonstrated, depending on the lesson that is delivered during the observation. Note: Short Observations may only collect evidence and provide ratings on Components Two & Three.**
### III. DPAS II Process

#### Step 4: Post-observation Conference

**Component One (Planning and Preparation)**
**Component Two (Classroom Environment)**
**Component Three (Instruction)**
**Component Four (Professional Responsibilities)**

During the Post-observation Conference, the teacher and evaluator discuss evidence collected during the observation. This conference includes discussion about evidence of the teacher’s performance on elements from components one through three. The evaluator may request that the Component One Form be completed prior to the conference to provide the teacher the opportunity to present additional evidence and allow for richer discussion. Component and/or criterion rubrics are used to focus discussion around levels of performance, commendations, and recommendations.

The Post-observation Conference is also an appropriate time to discuss the teacher’s progress related to Component Four. Any updates to the Professional Responsibilities Form should be discussed during the Post-observation Conference.

Teachers are expected to come to the conference prepared to discuss:
1. their reflections on their performance during the lesson observed
2. any special circumstances or events that impacted the lesson
3. adjustments made to the planned lesson and the rationale for these adjustments
4. ways to improve their future practice

The Lesson Reflection Template included in Section IV of this guide is a valuable tool for teachers to reflect on their performance during the observed lesson. This form is optional. The teacher may choose to complete this form and bring it to the Post-observation Conference. The teacher has full discretion as to whether this form is completed and/or shared with the evaluator.

The evaluator is expected to come to the conference prepared to discuss:
1. specific evidence collected during the observation
2. clarifications about evidence collected (pose relevant questions)
3. the teacher’s self-reflection and thoughts on performance
4. his or her assessment of the teacher’s level of performance during the observation
5. areas for commendation (as appropriate)
6. expectations or recommendations for improvement

Whenever possible, this conference should be held in the teacher’s classroom. Holding the conference in the teacher’s classroom allows the teacher and evaluator quick access to materials and/or evidence that may help strengthen discussion.

The Post-observation Conference should be held as soon as reasonable after the observation to ensure timely feedback to the teacher. The conference must be held within ten (10) working days of the observation.
III. DPAS II Process

Possible Prompts/Questions for Post-observation Conference

- How did you establish and communicate your expectations, rules, and procedures to your students?
- How did you create a climate in your classroom in which students were engaged in learning and treated each other with respect?
- How did you ensure that all students had access to the resources needed during the course of the lesson?
- Describe any adjustments you made to the lesson during instruction.
- Briefly describe what came before the observed lesson and what took place during the next lesson or lessons.
- How did you know that all students understood what you taught in this lesson? How did you assess the students' understanding of the lesson?
### Post-observation Conference Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Prior to Post-observation Conference</strong></td>
<td><strong>Prior to Post-observation Conference</strong></td>
</tr>
<tr>
<td>The teacher is expected to reflect on their performance using the rubrics for Components One, Two, Three, and Four and organize any additional evidence the teacher wishes to bring to the Post-observation Conference. Reflection helps the teacher self-assess their performance, anticipate evaluator clarifying questions, and prepare for the Post-observation Conference discussion. The teacher may be required to complete the Component One Form upon request if the evaluator makes the request within the same day as the observation.</td>
<td>The evaluator is expected to organize evidence collected during the observation, prepare clarifying questions, and provide feedback on the teacher’s performance during the observation event.</td>
</tr>
<tr>
<td><strong>During Post-observation Conference</strong></td>
<td><strong>During Post-observation Conference</strong></td>
</tr>
<tr>
<td>Participate in the conference. Discuss the lesson observed and present evidence related to that lesson. Be prepared to reflect on the effectiveness of his/her practice with administrator. Respond to Post-observation Conference questions. Discuss progress on Component Four and update Professional Responsibilities Form, as appropriate.</td>
<td>When possible, hold the conference in the teacher’s classroom. Discuss the lesson observed and review evidence related to that lesson. Be prepared to pose reflective questions. Discuss Post-observation Conference questions. Use evidence collected during the observation process to construct the Formative Feedback Form (including criteria-level ratings). Discuss progress on Component Four and update Professional Responsibilities Form, as appropriate. Discuss commendations (if appropriate), expectations, and recommendations.</td>
</tr>
</tbody>
</table>
III. DPAS II Process

Step 5: Level of Performance Ratings
Component One (Planning and Preparation)
Component Two (Classroom Environment)
Component Three (Instruction)
Component Four (Professional Responsibilities)

At the conclusion of the Post-observation Conference, the teacher and evaluator should have a common understanding of the teacher’s performance during the observation. Component and/or criterion rubrics are used to focus their discussion and determine accurate performance levels. During a formative observation it is required to document a level of performance for every criteria observed. It is not necessary to observe/rate each criterion in every observation. All criteria must be observed and rated during the summative cycle.

If the teacher and evaluator disagree about the teacher’s performance rating(s), the final determination is made by the evaluator. The teacher may address any differences through the Formative Feedback Documentation and/or Challenge processes.

Possible Prompts/Questions for Levels of Performance (during Post-observation Conference)

- Upon reflection, what were the strengths and weaknesses of the observed lesson?
- Which criteria/elements were observable during this lesson?
- Which criteria/elements were not observable during this lesson?
- How would you rate the observed lesson overall?
- Upon reflection, what improvements do you intend to make in future lessons?
### III. DPAS II Process

#### Level of Performance Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Highly Effective or Effective Rating</strong></td>
<td><strong>Highly Effective or Effective Rating</strong></td>
</tr>
<tr>
<td>Set personal goals for continuous learning and improvement.</td>
<td>Focus on unobserved criteria/elements in subsequent observations.</td>
</tr>
<tr>
<td>Demonstrate ratings of Effective and/or Highly Effective in subsequent evaluations.</td>
<td>If there is concern related to any criterion or element, record this on the Formative Feedback Form.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Needs Improvement Rating</th>
<th>Needs Improvement Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Discuss with the administrator those circumstances leading to the rating of Needs Improvement performance.</td>
<td>Discuss with the teacher evidence of Needs Improvement performance.</td>
</tr>
<tr>
<td>Discuss with the administrator the evidence used to determine the performance level.</td>
<td>Document evidence of Needs Improvement performance and specify the elements for improvement.</td>
</tr>
<tr>
<td>Review and provide input to expectations set by the administrator.</td>
<td>Assist teacher in understanding how to move to Effective rating level.</td>
</tr>
<tr>
<td>Accept resources and support provided by the administrator and work towards the Effective level.</td>
<td>Determine and document expectations for moving to Effective performance.</td>
</tr>
<tr>
<td>Acknowledge the specified time frame for improvement set by the administrator.</td>
<td>Identify resources and support to assist the teacher, as appropriate.</td>
</tr>
<tr>
<td>Acknowledge that a Formal Improvement Plan may be implemented if Effective performance is not reached by the end of the specified period.</td>
<td>Set a specified time frame for improvement and discuss with the teacher.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Ineffective Rating</th>
<th>Ineffective Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Discuss the evidence and provide additional information, if available.</td>
<td>Discuss with the teacher evidence of Unsatisfactory performance.</td>
</tr>
<tr>
<td>Review, sign, and return the Formative Feedback Form within five (5) working days of receipt of the form.</td>
<td>Document evidence of Ineffective performance and specify the elements for improvement.</td>
</tr>
<tr>
<td>Acknowledge that overall performance of &quot;Ineffective&quot; during a Formative Evaluation may initiate a formal Improvement Plan.</td>
<td>Indicate teacher’s Ineffective rating by writing/noting &quot;Performance requires an Improvement Plan&quot; and initializing the required form(s).</td>
</tr>
<tr>
<td></td>
<td>Determine if a formal Improvement Plan will be initiated.</td>
</tr>
</tbody>
</table>
III. DPAS II Process

Step 6: Formative Feedback Documentation

Component One (Planning and Preparation)  
Component Two (Classroom Environment)  
Component Three (Instruction)  
Component Four (Professional Responsibilities)

Documentation of the appraisal process will be completed utilizing the DPAS-II forms. This should be done via a state-approved online platform which adheres to the minimum requirements of the DPAS II appraisal cycle. The DPAS-II Guide includes forms that outline the required documentation. However, each state-approved online platform may capture the necessary information via a distinctive approach that still adheres to the spirit of the process.

The evaluator will collect evidence describing the performance of the teacher based on the criteria of the observed components, discussion related to the criteria, and artifacts related to Components One, Two, and Three. If a criterion was not observed during the observation, the evaluator will note that in the observation evidence. DPAS-II rubrics should be used to focus discussion around levels of performance and any commendations, recommendations, and expectations.

The Post-observation Conference is also an appropriate time to discuss the teacher’s progress related to Component Four. Discussion from the Post-observation Conference related to Component Four progress and/or the Professional Responsibilities Form may be documented in the Formative Evaluation and entered into the evaluation record.

The Formative Feedback Form’s content should be a verification and rating of what was observed during the lesson and discussed during the Post-observation Conference. If a teacher disagrees with any feedback on the Formative Feedback Form or wishes to add additional information to support any comment, he or she may provide information in writing to the evaluator within fifteen (15) working days of receipt of the form. The teacher may also request a second conference with the evaluator to discuss concerns. Additional information provided will become part of the appraisal record.

Commendations should be reserved for teachers with high levels of performance or in the case of novice teachers, those who have demonstrated substantial professional growth. Commendations are not intended for teachers showing “expected” levels of performance.

The Evaluator is encouraged to make Recommendations specifically designed to help the teacher improve his or her performance. Because DPAS II is designed to promote continuous improvement, Recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations are a suggested course of action that the teacher can consider.

Expectations are specific actions that must be carried out. If Expectations for improvement are included at any point in the appraisal process, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the Expectation.
Key Concepts - Formative Feedback Documentation

- The completed Formative Feedback documentation must be provided to the teacher within ten (10) working days of the post-conference.
- Formative Feedback documentation is required for both announced and unannounced observations.
- For an unannounced observation or for an observation that is part of the Improvement Plan process, feedback on some criteria may not be necessary.
- If a teacher’s overall performance related to the observation and discussion is deemed unsatisfactory, the evaluator may indicate this by noting “Performance Requires an Improvement Plan” on the required form(s)/documentation and signing the statement.
- The decision as to whether an Improvement Plan is deemed necessary following any formative observation is at the discretion of the Evaluator.
- The teacher must sign the Formative Feedback Form/Documentation and return it to the evaluator within five (5) working days. This documentation (with both signatures) will be provided to the teacher.

Formative Feedback Documentation Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Administrator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Review, sign, and return the Formative Feedback Form within five (5) working days of receipt. (Teacher’s signature does not indicate agreement, it only acknowledges receipt.)</td>
<td>Complete a Formative Feedback Form based on evidence collected during the formative process. Sign form and present it to teacher within 10 working days of the Post-observation Conference. Record Formative Feedback Documentation data into a state-approved online platform.</td>
</tr>
</tbody>
</table>
Step 7: Summative Evaluation Conference
Component One (Planning and Preparation)
Component Two (Classroom Environment)
Component Three (Instruction)
Component Four (Professional Responsibilities)
Component Five (Student Improvement)

The Summative Evaluation process occurs at the end of the evaluation cycle. It may be yearly or every other year depending upon the experience of the teacher and his/her evaluation status.

The first step is the Summative Evaluation Conference, followed by completion of the Summative Evaluation documentation. This process is the same for both novice and experienced teachers.

At the Summative Evaluation Conference, the evaluator shares overall impressions of a teacher’s practice based upon previously shared evidence, as well as a summary of the teacher’s performance as it relates to all five components. It is an opportunity for a rich conversation between the evaluator and the teacher, where clarification and additional information may be provided, and where the evaluator and the teacher may discuss future professional development goals that support continuous professional growth.

Component and/or criterion rubrics should be used to focus discussion around levels of performance, and any commendations, recommendations, and expectations.

Commendations should be reserved for teachers with high levels of performance or in the case of novice teachers, those who have demonstrated substantial professional growth. Teachers who perform above Expectations and/or who clearly excel in any criterion or element are eligible for a Commendation. Commendations are not intended for teachers showing “expected” levels of performance.

The evaluator is encouraged to make Recommendations specifically designed to help the teacher improve his or her performance. Because DPAS-II is designed to promote continuous improvement, Recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations are a suggested course of action that the teacher can consider.

Expectations are specific performances that must be carried out. If Expectations for improvement are included in the Summative Evaluation, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the Expectation.
III. DPAS II Process

Possible Prompts/Questions for Summative Evaluation Conference

- Reflect on the feedback from the formative evaluations during this appraisal cycle.
- How did you analyze and use student performance data to inform planning and instruction?
- Did you achieve your target(s)? Why or why not?
- How did you measure attainment of your target(s)?
- Did your target(s) lead to strengthened professional performance and improved student learning? To what extent? What evidence do you have to support your conclusions?
- What professional growth activities did you engage in this year? How did they impact your classroom practice? How did they impact student performance?
- As you reflect on your practice, what are your professional growth plans for the upcoming year?
### III. DPAS II Process

#### Summative Evaluation Conference Responsibilities (and/or Spring Conference*)

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Prior to Summative Evaluation Conference</strong></td>
<td><strong>Prior to Summative Evaluation Conference</strong></td>
</tr>
<tr>
<td>Review all documents for full cycle: Component Five Form/Online Tool, Professional Responsibilities Form(s), Formative Feedback Form(s), Short Form(s) and other relevant written communications from evaluator.</td>
<td>Review all documents for full cycle: Component Five Form/Online Tool, Professional Responsibilities Form(s), Formative Feedback Form(s), Short Form(s) and other relevant written communications from the teacher.</td>
</tr>
<tr>
<td>*Collect data, track, and analyze progress toward attaining Component V target(s)</td>
<td>*Analyze progress toward attaining Component V targets (whether Measure A, B, or C)</td>
</tr>
<tr>
<td>*Complete the Component Five Form/Online Tool</td>
<td>*Review the Component Five Form/Online Tool</td>
</tr>
<tr>
<td><strong>During Summative Evaluation Conference</strong></td>
<td><strong>During Summative Evaluation Conference</strong></td>
</tr>
<tr>
<td>Take an active part in the conference. Be prepared to discuss: Component One - <em>Planning and Preparation</em> Component Two – <em>Classroom Environment</em> Component Three - <em>Instruction</em> Component Four – <em>Professional Responsibilities</em> *Component Five – <em>Student Improvement</em></td>
<td>Complete Component Five Form/Online Tool with the teacher. Be prepared to share evidence related to: Component One - <em>Planning and Preparation</em> Component Two – <em>Classroom Environment</em> Component Three - <em>Instruction</em> Component Four – <em>Professional Responsibilities</em> *Component Five – <em>Student Improvement</em></td>
</tr>
<tr>
<td>*Be prepared to present and discuss evidence. Be prepared to present and discuss recommendations for improving practice and potential related professional growth opportunities.</td>
<td>*Be prepared to review evidence presented. Be prepared to present and discuss commendations, if appropriate.</td>
</tr>
<tr>
<td><strong>During Summative Evaluation Conference</strong></td>
<td><strong>During Summative Evaluation Conference</strong></td>
</tr>
<tr>
<td>*Be prepared to present and discuss recommendations and expectations for improved performance, as appropriate.</td>
<td>*Be prepared to present and discuss recommendations and expectations for improved performance, as appropriate.</td>
</tr>
</tbody>
</table>

*The Student Improvement Component (C-V) is required for all teachers each year.*
III. DPAS II Process

Step 8: Summative Evaluation Documentation
Component One (Planning and Preparation)
Component Two (Classroom Environment)
Component Three (Instruction)
Component Four (Professional Responsibilities)
Component Five (Student Improvement)

The Summative Evaluation documentation includes the evaluator's ratings of the teacher's performance in each component and an overall rating. Appraisal Criteria shall also be assigned an overall rating in a teacher's Summative Evaluation.

The evaluator uses data from Formative Feedback Documentation (including “Shorts”), observation evidence, and Post-observation Conference discussions to complete the Summative Evaluation documentation. A completed Summative Evaluation is required:
- Every year for novice teachers
- Every year for experienced teachers who have earned a rating of “Needs Improvement” or “Ineffective” on their previous summative evaluation
- Every two (2) years for experienced teachers who have earned a rating of “Highly Effective” or “Effective” on their previous summative evaluation

Within ten (10) working days of the Summative Evaluation Conference, the evaluator completes the Summative Evaluation documentation and provides it to the teacher. The Summative Evaluation documentation contains ratings and evidence about the performance of the teacher related to all five components of DPAS II. It is a written record of the conversation during the Summative Evaluation Conference.

The evaluator may create a narrative on the Summative Evaluation Form describing evidence of performance for each Component and Criterion. Evidence must be cited from previous observations. Evidence from Pre-observation and Post-observation Conferences should also be cited.

The Summative Evaluation Documentation content should be a verification of what was observed and discussed with the teacher throughout the evaluation cycle, including commendations, and/or recommendations, and/or expectations for improvement. The information can be used by teachers to reflect on their practice and plan future professional growth options.
III. DPAS II Process

Summative Evaluation Ratings

DPAS II Components One, Two, Three, and Four are each assigned a rating of “Highly Effective”, “Effective”, “Needs Improvement”, or “Ineffective” on the Summative Evaluation. A “Highly Effective” or “Effective” rating for Components One through Four means the teacher demonstrates acceptable performance by having no more than one unacceptable rating on the appraisal criteria specified in each of the components.

Component Five is assigned a rating of “Exceeds”, “Satisfactory”, or “Unsatisfactory.” Within Component Five, a “Satisfactory” rating means the teacher’s students on average achieve acceptable levels of student growth. A rating of “Exceeds” means that teacher’s students on average achieve high rates of student growth.

The Summative Evaluation also includes one of four overall ratings: “Highly Effective”, “Effective”, “Needs Improvement”, or “Ineffective.”

- “Highly Effective” means:
  - The teacher has earned an “Effective” or “Highly Effective” rating in the first four (4) appraisal components and an “Exceeds” rating in the Student Improvement Component.

- “Effective” means:
  - The teacher has earned an “Effective” or “Highly Effective” rating in at least three (3) of the first four (4) Appraisal Components with zero (0) “Ineffective” ratings and a “Satisfactory” or “Exceeds” rating in the Student Improvement Component. “Effective” may also mean, in accordance with procedures outlined in the DPAS II Guide for Teachers, that the teacher has earned a “Highly Effective” rating in at least two (2) of the first four (4) Appraisal Components with zero (0) “Ineffective” ratings and an “Unsatisfactory” rating in the Student Improvement Component.

- “Needs Improvement” means:
  - The teacher has earned “Effective” or “Highly Effective” ratings in one (1) or two (2) of the first four (4) Appraisal Components with zero (0), one (1) or two (2) “Ineffective” ratings and a “Satisfactory” or “Exceeds” rating in the Student Improvement Component, or
  - The teacher has earned “Effective” or “Highly Effective” ratings in three (3) or four (4) of the first four (4) Appraisal Components and an “Unsatisfactory” rating in the Student Improvement Component, or
  - The teacher has earned three (3) “Effective” or “Highly Effective” and one (1) “Ineffective” rating on the first four Appraisal Components, and a “Satisfactory” or “Exceeds” rating in the Student Improvement Component.

- “Ineffective” means:
  - The teacher has earned “Effective” or “Highly Effective” ratings in zero (0), one (1), or two (2) of the first four (4) Appraisal Components and an “Unsatisfactory” rating in the Student Improvement Component, or
  - The teacher has earned “Effective” or “Highly Effective” ratings in zero (0) of the first four (4) Appraisal Components and “Satisfactory” or “Exceeds” rating in the Student Improvement Component; or
  - The teacher has earned “Ineffective” ratings in three (3) or four (4) of the first four (4) Appraisal Components.
### SUMMATIVE RATINGS CHART

<table>
<thead>
<tr>
<th># of HE or E</th>
<th>C-V Rating</th>
<th>Summative Rating</th>
<th>If “Ineffective” in an Entire Component</th>
</tr>
</thead>
<tbody>
<tr>
<td>4/4</td>
<td>Exceeds</td>
<td>Highly Effective</td>
<td>N/A</td>
</tr>
<tr>
<td>4/4</td>
<td>Satisfactory</td>
<td>Effective</td>
<td>N/A</td>
</tr>
<tr>
<td>4/4</td>
<td>Unsatisfactory</td>
<td>Needs Improvement or Effective with Administrator Discretion if 2/4 HE *</td>
<td>N/A</td>
</tr>
<tr>
<td>3/4</td>
<td>Exceeds</td>
<td>Effective</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>3/4</td>
<td>Satisfactory</td>
<td>Effective</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>3/4</td>
<td>Unsatisfactory</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>2/4</td>
<td>Exceeds</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>2/4</td>
<td>Satisfactory</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>2/4</td>
<td>Unsatisfactory</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>1/4</td>
<td>Exceeds</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>1/4</td>
<td>Satisfactory</td>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>1/4</td>
<td>Unsatisfactory</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>0/4</td>
<td>Exceeds</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>0/4</td>
<td>Satisfactory</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
<tr>
<td>0/4</td>
<td>Unsatisfactory</td>
<td>Ineffective</td>
<td>Ineffective</td>
</tr>
</tbody>
</table>
III. DPAS II Process

Pattern of Ineffective Teaching

A “Pattern of Ineffective Teaching” is based on the teacher’s most recent Summative Evaluation ratings. Under state law, novice teachers are not eligible for a Continuing License unless they have earned at least two (2) satisfactory summative ratings. Beginning in 2014-2015, revised Regulation 106A no longer considers “Needs Improvement” as a satisfactory summative rating for a novice teacher.

The following chart shows the consecutive Summative Evaluation ratings that are considered a pattern of ineffective teaching:

<table>
<thead>
<tr>
<th>DPAS II Rating</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ineffective</td>
<td>Ineffective</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td></td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td></td>
</tr>
<tr>
<td>Ineffective</td>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td>Needs Improvement</td>
</tr>
<tr>
<td>Ineffective</td>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td></td>
</tr>
<tr>
<td>Needs Improvement</td>
<td>Ineffective</td>
<td>Ineffective</td>
<td></td>
</tr>
</tbody>
</table>
III. DPAS II Process

Key Concepts – Summative Evaluation Documentation

- Commendations should be reserved for teachers with high levels of performance. Teachers who perform above expectations and/or who clearly excel in any component, criterion, or element are eligible for a commendation. Commendations are not intended for teachers showing “expected” levels of performance.

- The evaluator is encouraged to make recommendations specifically designed to help the teacher improve his or her performance. Because DPAS II is designed to promote continuous improvement, recommendations may be made to teachers at any level of performance as long as they are relevant and meaningful. Recommendations are a suggested course of action that the teacher can consider.

- Expectations are specific performances that must be carried out. If expectations for improvement are included in the Summative Evaluation, they must be clear and specific and include a description of the evidence the teacher must exhibit/provide. There must also be clear timelines for when the teacher must show evidence of meeting the expectation.

If a teacher disagrees with any feedback on the Summative Evaluation Form or wishes to add additional information to support any comment, he or she may provide information in writing to the evaluator within fifteen (15) working days of receipt of the form. The teacher may request a second conference with the evaluator to discuss concerns. Additional information provided will become part of the appraisal record.

Summative Evaluation Documentation Responsibilities

<table>
<thead>
<tr>
<th>Teacher Responsibilities</th>
<th>Evaluator Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Review, sign, and return the Summative Evaluation documentation within five (5) working days of receipt. <em>(Teacher’s signature does not indicate agreement, it only acknowledges receipt.)</em></td>
<td>Draft the Summative Evaluation Document. The evaluation must be based on evidence collected during the formative process and the summative evaluation conference. Sign documentation and present it to teacher within ten (10) working days of the summative conference.</td>
</tr>
<tr>
<td>Record Summative Evaluation Documentation data into a state-approved online platform.</td>
<td></td>
</tr>
</tbody>
</table>
II. DPAS II Process

Improvement Plans
Improvement plans are developed to help teachers focus on area(s) where they need extra assistance to improve their practice.

An Improvement Plan **shall** be developed when:

- A teacher’s overall performance is rated as “Needs Improvement” on the Summative Evaluation; or
- A teacher’s overall performance is rated as “Ineffective” on the Summative Evaluation; or
- A teacher earns a rating of “Need Improvement”, “Ineffective”, or “Unsatisfactory” on any Appraisal Component on the Summative Evaluation regardless of the overall rating.

An Improvement Plan **may** be developed when:

A teacher’s overall performance during an observed lesson is unsatisfactory. In instances where an improvement plan is to be developed, the evaluator shall first have noted the unsatisfactory performance on the required forms by noting “Performance Requires an Improvement Plan” and initialing the statement.

Improvement Plan Requirements

All improvement plans must include:

1. Identification of the specific deficiencies and recommended area(s) for growth.
2. Measurable goals for improving the deficiencies to satisfactory levels.
3. Specific professional development or activities to accomplish the goals.
4. Specific resources necessary to implement the plan, including, but not limited to, opportunities for the teacher to work with curriculum specialists, subject area specialists, instructional specialists, or others with relevant expertise.
5. Procedures and evidence that must be collected to determine that the goals of the plan were met.
6. A timeline for the plan, including intermediate checkpoints, to determine progress.
7. Procedures for determining satisfactory improvement.
8. Multiple observations and opportunities for feedback provided by a Credentialed Observer, a mentor, a lead teacher, or an instructional coach.

Professional development that is completed during the time that the Improvement Plan is in effect must directly relate to areas identified as needing improvement.
III. DPAS II Process

Improvement Plan Conference

The teacher and evaluator should review the requirements of the Improvement Plan before the Improvement Plan Conference. This gives each time to prepare for discussion and generate ideas for the plan. At the Improvement Plan Conference, the teacher and evaluator develop a plan for improvement.

Delaware regulation requires that the teacher and evaluator develop the Improvement Plan cooperatively. However, if cooperative development of the plan is not possible or if the teacher and evaluator cannot come to agreement on the plan, regulation gives the evaluator the authority and responsibility to determine the plan.

Improvement Plan Implementation

Teachers, evaluators, and other professionals that may be named in the Improvement Plan are accountable for the implementation and completion of the plan. If amendments to the plan are necessary, all parties affected by the amendment must discuss the changes, document them in the appropriate space on the Improvement Plan. The teacher and evaluator, at a minimum, must also sign the amendment to indicate their agreement to the changes.

Upon completion of the plan, the evaluator and teacher shall sign the Improvement Plan, documenting the completion of the plan. If the teacher’s practice is not deemed satisfactory at the completion of the Improvement Plan, then the appropriate consequences, as detailed in the Improvement Plan, will be carried out.
III. DPAS II Process

The Challenge Process

Sometimes a teacher will disagree with his or her evaluator’s assessment. It is desirable to resolve the differences directly with the evaluator, if at all possible. Teachers are encouraged to discuss their concerns with the Evaluator and attempt to resolve the issues prior to submitting a formal challenge. Documents generated as part of this discussion shall be attached to the Summative Evaluation and become part of the appraisal record.

If resolution is not reached with the Evaluator, the teacher may submit a written challenge to the evaluator’s supervisor. Delaware allows a teacher to challenge according to the following guidelines:

1. Conclusions of a lesson observation if the statement "PERFORMANCE REQUIRES AN IMPROVEMENT PLAN" has been included on the required form(s)

2. Any rating on the Summative Evaluation, either a Component Rating or the Overall Summative Rating.

A teacher initiates the challenge by submitting information specific to the point of disagreement to the evaluator’s supervisor. This must be done in writing within fifteen (15) working days of the teacher’s receipt of the evaluation document.

If the evaluator’s supervisor is in the same building as the teacher, the challenge and appraisal record are submitted to a designated district or charter school-level Evaluator.

Within fifteen (15) working days of receiving the written challenge, the supervisor of the Evaluator or the designated district or charter school level Evaluator shall review the record which consists of all documents used in the appraisal process and the written challenge, meet with the teacher, and issue a written decision.

If the challenge is denied, the written decision shall state the reasons for denial.

The decision of the supervisor of the Evaluator or the designated district or charter school's level Evaluator shall be final.

While a challenge process is taking place, the Improvement Plan may be started by mutual agreement of teacher and evaluator. If agreement cannot be reached, the Evaluator’s decision will prevail.
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
COMPONENT FIVE FORM
FOR GROUP 1 Educators

Educator: ____________________________  Evaluator: ____________________________

School: ____________________________  Grade(s): _______  Subject Area(s): ___________________

**Part I: Roster Identification** *(recommended date: by October 31st)*

Class list(s) that will be used for each Measure A* attached:  □ Yes  □ No

I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.

I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy.

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

**Part II: Measure Selection** *(recommended date: by October 31st)*

**MUST** be completed and approved by administrator prior to using any Measure.  
**MUST** use Measure A* and at least one (1) Measure B.

Measure A*:

Measure B or C: _____________________________________________

Class(s) Tested: _____________________________________________

Measure Selection completed:  □ Yes  □ No

I hereby agree to use the above measure(s) as part of Component V of my DPAS II evaluation.

I hereby agree to the use of the above measure(s) as part of Component V for the aforementioned teacher’s DPAS II evaluation.

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

**PART III: (FALL Conference) – Set targets based on selected administrator approved measures.**

- Group 1 educators will set targets for Measure B/C assessments on the Component Five Form.
- Measure A* targets will be calculated and provided by the Department of Education.
### Data and Evidence Collection Procedures Chart

**Target Selection/Results**

<table>
<thead>
<tr>
<th>Measure:</th>
<th>☐ B</th>
<th>☐ C</th>
</tr>
</thead>
<tbody>
<tr>
<td>What is the goal?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data Procedures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Measure Used:</td>
</tr>
<tr>
<td>Class Tested:</td>
</tr>
<tr>
<td>Evidence</td>
</tr>
<tr>
<td>Baseline Data:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Target date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Satisfactory target:</td>
</tr>
<tr>
<td>Minimum that needs to be met to earn “Satisfactory” rating.*</td>
</tr>
<tr>
<td>Exceeds target:</td>
</tr>
<tr>
<td>Minimum that needs to be met to earn “Exceeds” rating.</td>
</tr>
</tbody>
</table>

*NOTE:* An Unsatisfactory rating will result if anything less than the Satisfactory target is achieved.

*The listed targets for Satisfactory and Exceeds have been agreed upon by the educator and evaluator. Final approval rests with the evaluator.*

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group 1: Measure A and 1 Measure B or C required (2 total)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group 2: 1 Measure B and 1 Measure B or C required (2 total)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group 3: 2 Measure C required (2 total)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
IV. DPAS II Forms for Teachers

PART IV: Spring Conference Preparation

Roster Verification:
The rosters for Measure A has been verified: ☐ Yes ☐ No

Class list that was used for Measure B/C is attached: ☐ Yes ☐ No

*I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.*

*The attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy.*

Educator Signature Date Evaluator Signature Date

Measure A* calculations are based on state assessment scores and student growth targets

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory (with administrator discretion)</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>65% or more of an educator’s student growth targets are met.</td>
<td>50%-64% of an educator’s student growth targets are met.</td>
<td>35%-49% of an educator’s student growth targets are met (conference between administrator and educator could provide option to upgrade to a “Satisfactory” rating).</td>
<td>Less than 35% of an educator’s student growth targets are met.</td>
</tr>
</tbody>
</table>

Measure A* ☐ Exceeds ☐ Satisfactory ☐ Unsatisfactory

Measure B/C calculations are based on the targets set during the fall conference.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

Measure B/C Rating: ☐ Exceeds ☐ Satisfactory ☐ Unsatisfactory

Measure A = 50% of Component V
Measure B or C = 50% of Component V

Possible Rating Combinations Overall Component V Rating

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds</td>
<td>Exceeds</td>
<td>Exceeds</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Satisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Unsatisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Satisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
<td>Unsatisfactory – evaluator can upgrade to “Satisfactory”</td>
</tr>
<tr>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>

Overall Component V Rating: ☐ Exceeds ☐ Satisfactory ☐ Unsatisfactory

Educator Signature Date Evaluator Signature Date
# IV. DPAS II Forms for Teachers

## Component Five Form for Group 2 Educators

<table>
<thead>
<tr>
<th>Educator: __________________________</th>
<th>Evaluator: __________________________</th>
</tr>
</thead>
<tbody>
<tr>
<td>School: __________________________</td>
<td>Grade(s): _______</td>
</tr>
</tbody>
</table>

### Part I: Roster Identification (recommended date: by October 31st)

Class list(s) that will be used for each measure attached: ☐ Yes ☐ No

<table>
<thead>
<tr>
<th>I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.</th>
<th>I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Educator Signature</td>
<td>Evaluator Signature</td>
</tr>
<tr>
<td>Date</td>
<td>Date</td>
</tr>
</tbody>
</table>

### Part II: Measure Selection (recommended date: by October 31st)

- **MUST** be completed and approved by administrator prior to using any Measure.
- **MUST** use a minimum of two (2) Measures.
- **MUST** use at least one (1) Measure B and one (1) Measure B or C.

Measure ☐ B ☐ C: __________________________ Class(s) Tested: ________________

Measure ☐ B ☐ C: __________________________ Class(s) Tested: ________________

| Measure Selection completed: ☐ Yes ☐ No |
|---|---|
| I hereby agree to use the above measure(s) as part of Component V of my DPAS II evaluation. | I hereby agree to the use of the above measure(s) as part of Component V for the aforementioned teacher’s DPAS II evaluation. |
| Educator Signature | Evaluator Signature |
| Date | Date |

### PART III: (FALL Conference) – Set targets based on selected administrator approved measures.

- Group 2 educators will set targets for Measure B and Measure C assessments on the Component Five Form/Online Tool. Final approval rests with the evaluator.
**IV. DPAS II Forms for Teachers**

**Component Five Form for Group 2**

### Data and Evidence Collection Procedures Chart

**Target Selection/Results**

<table>
<thead>
<tr>
<th>Measure: ☐ B ☐ C</th>
<th><strong>What is the goal?</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Data Procedures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Measure Used:</td>
</tr>
<tr>
<td>Class Tested:</td>
</tr>
</tbody>
</table>

### Baseline Data:

**Target date:**

- **Satisfactory target:** Minimum that needs to be met to earn “Satisfactory”
- **Exceeds target:** Minimum that needs to be met to earn “Exceed” rating.

<table>
<thead>
<tr>
<th>Measure: ☐ B ☐ C</th>
<th><strong>What is the goal?</strong></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Data Procedures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Measure Used:</td>
</tr>
<tr>
<td>Class Tested:</td>
</tr>
</tbody>
</table>

### Baseline Data:

**Target date:**

- **Satisfactory target:** Minimum that needs to be met to earn “Satisfactory” rating.*
- **Exceeds target:** Minimum that needs to be met to earn “Exceed” rating.

* **NOTE:** An unsatisfactory rating will result if anything less than the Satisfactory target is achieved.

**The listed targets for Satisfactory and Exceeds have been agreed upon by the educator and evaluator.**

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

- **Group 1:** Measure A and 1 Measure B or C required (2 total)
- **Group 2:** 1 Measure B and 1 Measure B or C required (2 total)
- **Group 3:** 2 Measure C required (2 total)
**IV. DPAS II Forms for Teachers**

**Component Five Form for Group 2**

**PART IV: Spring Conference Preparation**

**Roster Verification:**

| Class list(s) that was used for Measure B is attached: | ☐ Yes | ☐ No |
| *Class list(s) that was used for Measure C is attached: | ☐ Yes | ☐ No |

*I* if applicable

<table>
<thead>
<tr>
<th>I hereby verify that the attached class list represents exactly all of my students in accordance with the state’s Teacher of Record Policy.</th>
<th>I hereby verify that the attached class list represents exactly all of the students scheduled for the teacher noted above in accordance with the state’s Teacher of Record Policy.</th>
</tr>
</thead>
</table>

| Educator Signature | Date | Evaluator Signature | Date |

**Measure B & Measure C calculations** are based on the targets set during the fall conference.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

| Measure B Rating: | ☐ Exceeds | ☐ Satisfactory | ☐ Unsatisfactory |
| Measure B/C | ☐ Exceeds | ☐ Satisfactory | ☐ Unsatisfactory |

**Measure B = 50% of Component V**

<table>
<thead>
<tr>
<th>Possible Rating Combinations</th>
<th>Overall Component V Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeds</td>
<td>Exceeds</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Exceeds</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Unsatisfactory</td>
</tr>
<tr>
<td>Unsatisfactory</td>
<td>Unsatisfactory</td>
</tr>
</tbody>
</table>

**Overall Component V Rating:**

| ☐ Exceeds | ☐ Satisfactory | ☐ Unsatisfactory |

| Educator Signature | Date | Evaluator Signature | Date |
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
COMPONENT FIVE FORM
FOR GROUP 3 Educators

Educator: ___________________________ Evaluator: ___________________________

School: ____________________ Grade(s): _______ Subject Area(s): ___________________

**Part I: Measure Selection** *(recommended date: by October 31st)*

- **MUST** be completed and approved by administrator prior to using any Measure.
- **MUST** use a minimum of two (2) Measures.
- **MUST** use Measure C.

Measure C: ___________________________ Focus of Goal: ___________________________

Measure Selection completed: ☐ Yes ☐ No

I hereby agree to use the above measure(s) as part of Component V of my DPAS II evaluation.

Educator Signature Date

I hereby agree to the use of the above measure(s) as part of Component V for the aforementioned teacher’s DPAS II evaluation.

Evaluator Signature Date

**PART II: (FALL Conference) – Set targets based on selected administrator approved measures.**

- Group 3 educators will set targets for Measure C assessments on the Component Five Form/Online Tool
# Data and Evidence Collection Procedures Chart

## Target Selection/Results

<table>
<thead>
<tr>
<th>Measure: ☐C</th>
<th>What is the goal?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Data Procedures**

<table>
<thead>
<tr>
<th>Measure Used:</th>
<th></th>
<th>Baseline Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class Tested:</td>
<td>Evidence</td>
<td></td>
</tr>
</tbody>
</table>

**Baseline Data:**

**Target date:**

- **Satisfactory target:**
  *Minimum that needs to be met to earn “Satisfactory” rating.*

- **Exceeds target:**
  *Minimum that needs to be met to earn “Exceeds” rating.*

<table>
<thead>
<tr>
<th>Measure: ☐C</th>
<th>What is the goal?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Data Procedures**

<table>
<thead>
<tr>
<th>Measure Used:</th>
<th></th>
<th>Baseline Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class Tested:</td>
<td>Evidence</td>
<td></td>
</tr>
</tbody>
</table>

**Baseline Data:**

**Target date:**

- **Satisfactory target:**
  *Minimum that needs to be met to earn “Satisfactory” rating.*

- **Exceeds target:**
  *Minimum that needs to be met to earn “Exceeds” rating.*

---

*NOTE: An unsatisfactory rating will result if anything less than the Satisfactory target is achieved. The listed targets for Satisfactory and Exceeds have been agreed upon by the educator and evaluator.*

<table>
<thead>
<tr>
<th>Educator Signature</th>
<th>Date</th>
<th>Evaluator Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

- **Group 1:** Measure A and 1 Measure B or C required *(2 total)*
- **Group 2:** 1 Measure B and 1 Measure B or C required *(2 total)*
- **Group 3:** 2 Measure C required *(2 total)*
PART IV: Spring Conference Preparation

**Measure C calculations** are based on the targets set during the fall conference.

<table>
<thead>
<tr>
<th>Exceeds</th>
<th>Satisfactory</th>
<th>Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td>The agreed upon “exceeds” target is met or surpassed.</td>
<td>The agreed upon “satisfactory” target is met or surpassed, but the “exceeds” target is not met.</td>
<td>The agreed upon “satisfactory” target is not met.</td>
</tr>
</tbody>
</table>

Measure C Rating: ☐ Exceeds ☐ Satisfactory ☐ Unsatisfactory

Measure C = 100% of Component V

<table>
<thead>
<tr>
<th>Overall Component V Rating:</th>
<th>☐ Exceeds</th>
<th>☐ Satisfactory</th>
<th>☐ Unsatisfactory</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Educator Signature</td>
<td>Date</td>
<td>Evaluator Signature</td>
</tr>
</tbody>
</table>
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
PROFESSIONAL RESPONSIBILITIES FORM
FOR TEACHERS
(Component Four)

Teacher ___________________________ Evaluator ___________________________
School ___________________________ Date of Conference ______________________
Grade(s) ___________________________ Subject Area(s) _________________________

*Teachers must complete this form for the current school year and submit it to the evaluator no later than their first Observation Conference. Teachers on a two-year evaluation cycle must submit the form during the first year of the cycle. This form may be updated at any time during the evaluation cycle.*

---

Part I – Communicating with Family (4a):

How do you share instructional program information with families? How do you engage families in the instructional program?

In what ways do you ensure that families and appropriate staff are informed of student progress or needs?

---

Part II – Recording Data (4b):

How do you ensure student data is recorded accurately and kept up to date?
IV. DPAS II Forms for Teachers

Part III – Growing and Developing Professionally (4c):

Describe any professional learning activities in which you regularly participate. How do these activities enhance your professional practice?

Part IV – Additional Reflections:

Describe any extra-curricular activities in which you participate. How do these activities enhance your professional practice and/or engagement in the school community?

Part V – Professional Growth Goals:

Describe any professional growth goals you may have related to Parts I, II, and III in this form. Include information about any additional professional learning activities you would like to participate in this year. Also include any resources you may need to achieve your goal(s).

List any other information you would like to share with your evaluator.
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
COMPONENT ONE FORM
FOR TEACHERS

Educator: ___________________________  Evaluator: ___________________________

School: _______________________________  Date of Conference: _______________

Subject Area(s): __________________________  Grade(s): __________________________

The teacher should complete this form and provide a copy to the Credentialed
Observer before the Pre-observation Conference or upon request. A detailed lesson
plan, relevant assessments, and other relevant documents must be attached. The
teacher may reference such materials in the prompts below as evidence.

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content
standards, Common Core Standards, and/or the district or charter school's curricula. Goals are appropriate for the
learners and reflect high expectations for all students, consistent with State Assessment levels of performance where
applicable.

What are your goals for this lesson? How does this lesson fit into the overall goals of the unit?

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals
and support student learning. Instructional planning shows a structure and selection of materials and activities that
support student learning relative to the district or charter school's curricula.

Explain the rationale for the design of your lesson and instruction.

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and
how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that
deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

How will you differentiate instruction to meet the learning needs of a variety of students?

Where do you see your students struggling with the concepts of this lesson? How will you address these
struggles?
**IV. DPAS II Forms for Teachers**

**Component One Form**

1d. **Demonstrating Knowledge of Students**: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

Describe the students in your class in terms of ability, culture, and interests. How did you use this knowledge when planning your lesson?

1e. **Designing Student Assessments**: Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher’s students.

How will you determine student progress toward lesson and/or unit goals?

**Component One Overall:**

Provide any additional comments or information pertaining to Component One/Planning and Preparation.
Educator: __________________________  Evaluator: __________________________

Grade(s): __________________________  Subject Area(s): __________________________

Date & Time of Observation: _____________________________________________________

---

This form is optional

The teacher may choose to complete this form, in whole or in part, and bring it to the Post-observation Conference. The teacher has full discretion as to whether this form is completed and/or shared with the evaluator.

Reflect and comment on the different aspects of your instructional delivery for this particular lesson. To what extent was the lesson effective? What would you do differently to improve the lesson?

<table>
<thead>
<tr>
<th>aspect</th>
<th>Were the following aspects of your instructional delivery effective? Why or why not?</th>
<th>What would you do differently to improve the lesson?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instructional Strategies</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Grouping(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Materials, Resources, and Technology</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assessment Methods</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Classroom Management/Student Behavior</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Student Engagement/Interest</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
FORMATIVE FEEDBACK FORM (OBSERVATION FORM)
FOR TEACHERS
(Component One, Two, Three, and Four)

Teacher: ____________________________ Evaluator(s): ______________________________
School: ____________________________ Date of Post-Conference: ______________________
Grade(s): __________________________ Subject Area(s) Observed: ______________________

Observation Date and Time: __________________________________________________________

Observation Type: Announced [ ] Unannounced [ ]
Novice Teacher [ ] OR Experienced Teacher [ ]

COMPONENT 1: PLANNING AND PREPARATION

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content standards and the district or charter school's curricula. Goals are appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

Criterion 1a. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed
Evidence:

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals and support student learning. Instructional planning shows a structure and selection of materials and activities that support student learning relative to the district or charter school's curricula.

Criterion 1b. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed
Evidence:

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

Criterion 1c. Performance: [ ] Ineffective [ ] Needs Improvement [ ] Effective [ ] Highly Effective [ ] Not Observed
Evidence:
IV. DPAS II Forms for Teachers

1d. **Demonstrating Knowledge of Students**: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

**Criterion 1d. Performance**: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

1e. **Designing Student Assessments**: Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher’s students.

**Criterion 1e. Performance**: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

**COMPONENT 2: CLASSROOM ENVIRONMENT**

2a. **Managing Classroom Procedures**: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

**Criterion 2a. Performance**: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

2b. **Managing Student Behavior**: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

**Criterion 2b. Performance**: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

2c. **Creating an Environment to Support Learning**: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

**Criterion 2c. Performance**: □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:
IV. DPAS II Forms for Teachers

2d. **Organizing Physical Space:** Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

**Criterion 2d. Performance:** □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

**COMPONENT 3: INSTRUCTION**

3a. **Engaging Students in Learning:** Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school's curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

**Criterion 3a. Performance:** □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

3b. **Demonstrating Flexibility and Responsiveness:** Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

**Criterion 3b. Performance:** □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

3c. **Communicating Clearly and Accurately:** Verbal and written communication is clear and appropriate to students' ages, backgrounds, and levels of understanding.

**Criterion 3c. Performance:** □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:

3d. **Using Questioning and Discussion Techniques:** Questions are appropriate to the content and level of students' understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student led discussions.

**Criterion 3d. Performance:** □ Ineffective □ Needs Improvement □ Effective □ Highly Effective □ Not Observed

Evidence:
IV. DPAS II Forms for Teachers

3e. Using Assessment in Instruction: Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

Criterion 3e. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:

COMPONENT 4: PROFESSIONAL RESPONSIBILITIES

4a. Communicating with Families: Teacher shares information about the school’s educational program and expectations for student performance. Teacher develops a mechanism for two-way communication with families about student progress, behavior, and personal needs or concerns.

Criterion 4a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:

4b. Recording student data in a Student Record System: Teacher keeps records of attendance, disciplinary actions, emergency contact information, and personal information. Teacher shares relevant information with appropriate school personnel.

Criterion 4b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:

4c. Growing and Developing Professionally: Teacher chooses and participates in professional development that is aligned with his or her professional needs and aligned with the needs of the school, district or charter school, or students.

Criterion 4c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:

4d. Reflecting on Professional Practice: Teacher engages in reflective thinking as an individual, as a team participant, or as a school community member with the goal of improving instruction and learning for all students.

Criterion 4d. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:
IV. DPAS II Forms for Teachers

Formative Feedback Form (Observation Form)

**PERFORMANCE SUMMARY**
An Improvement Plan may be developed if a teacher’s overall performance during an observed lesson is unsatisfactory. In instances where an improvement plan is to be developed, the evaluator shall first have noted the unsatisfactory performance on the required forms by noting “Performance Requires an Improvement Plan” and initialing the statement.

**Summary of Commendations/Expectations/Recommended Area(s) of Growth:**

**Additional Evaluator Feedback:**

**SIGNATURES**
The teacher and evaluator shall sign the Formative feedback form to indicate that the lesson has been reviewed and discussed, not that the teacher necessarily agrees with the observation or comments on this form.

Teacher’s
Signature: ___________________________ Date: _______________________

Evaluator’s
Signature: ___________________________ Date: _______________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

A teacher may challenge the conclusions of a lesson observation if “Performance Requires an Improvement Plan” is written/noted on this form. This is accomplished by submitting additional information, specific to the point of disagreement, in writing within fifteen (15) working days of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
FORMATIVE FEEDBACK SHORT FORM
FOR TEACHERS
(Components Two and Three)

Teacher: ___________________________ Evaluator(s): ___________________________

School: ____________________________ Date of Post-Conference: ____________

Grade(s): __________________________ Subject Area(s) Observed: ________________

Observation Date and Time: ____________________________________________

Novice Teacher ☐ OR Experienced Teacher ☐

“Short Form” observations are generally unannounced, should be at least 10 minutes, can occur after one full observation in an appraisal cycle, and do not replace required observations.

COMPONENT 2: CLASSROOM ENVIRONMENT

2a. Managing Classroom Procedures: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

Criterion 2a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:

2b. Managing Student Behavior: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:

2c. Creating an Environment to Support Learning: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective ☐ Not Observed

Evidence:
2d. **Organizing Physical Space:** Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

**Criterion 2d. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective
- [ ] Not Observed

**Evidence:**

**COMPONENT 3: INSTRUCTION**

3a. **Engaging Students in Learning:** Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school’s curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

**Criterion 3a. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective
- [ ] Not Observed

**Evidence:**

3b. **Demonstrating Flexibility and Responsiveness:** Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

**Criterion 3b. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective
- [ ] Not Observed

**Evidence:**

3c. **Communicating Clearly and Accurately:** Verbal and written communication is clear and appropriate to students’ ages, backgrounds, and levels of understanding.

**Criterion 3c. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective
- [ ] Not Observed

**Evidence:**

3d. **Using Questioning and Discussion Techniques:** Questions are appropriate to the content and level of students’ understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student-led discussions.

**Criterion 3d. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective
- [ ] Not Observed

**Evidence:**

3e. **Using Assessment in Instruction:** Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

**Criterion 3e. Performance:**
- [ ] Ineffective
- [ ] Needs Improvement
- [ ] Effective
- [ ] Highly Effective
- [ ] Not Observed

**Evidence:**
IV. DPAS II Forms for Teachers

PERFORMANCE SUMMARY

A “Short” should be followed by a brief conference within 10 days of the observation. One “Short” observation standing alone cannot lead to an Improvement Plan mid-year.

Summary of Commendations/Expectations/Recommended Area(s) of Growth:

Additional Evaluator Feedback:

SIGNATURES

The teacher and evaluator shall sign the form to indicate that the lesson has been reviewed and discussed, not that the teacher necessarily agrees with the performance appraisal.

Teacher’s
Signature: _____________________________ Date: __________________

Evaluator’s
Signature: _____________________________ Date: __________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

A teacher may challenge the conclusions of a lesson observation if “Performance Requires an Improvement Plan” is written/noted on this form. This is accomplished by submitting additional information, specific to the point of disagreement, in writing within fifteen (15) working days of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
### IV. DPAS II Forms for Teachers

**Professional Responsibilities Reflection Sheet**

**DELAWARE PERFORMANCE APPRAISAL SYSTEM II**
**PROFESSIONAL RESPONSIBILITIES REFLECTION SHEET**
**FOR TEACHERS**

Teacher: ___________________________ Evaluator: ___________________________

Grade(s): __________________________ Subject Area(s): ________________________

Date & Time of Summative Conference: _______________________________________

---

**This form is optional**

The teacher may choose to complete this form, in whole or in part, and use it to complete the Professional Responsibilities form. The teacher may also bring this form to any evaluation conference. The teacher has full discretion as to whether this form is completed and/or shared with the evaluator.

Reflect and comment on the different aspects of your professional practice. To what extent are you effective? What are your professional practice goals? How will your goals support student learning? What opportunities or supports do you need to achieve your professional practice goals?

<table>
<thead>
<tr>
<th>Are the following aspects of your professional practice effective? Why or why not?</th>
<th>What are your professional practice goals? How will your goals support student learning?</th>
<th>What opportunities or supports do you need to achieve your professional practice goals?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Family Communications/Engagement</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Record Keeping</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Professional Growth and Development</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reflection on Professional Practice</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Reflections</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

[93] DPAS-II Guide Revised for Teachers, *Updated August 2016*
IV. DPAS II Forms for Teachers

DELWARE PERFORMANCE APPRAISAL SYSTEM II
SUMMATIVE FEEDBACK FORM
FOR TEACHERS

Teacher: ____________________________ Evaluator(s): ____________________________
School: ____________________________ Date of Conference: _______________________
Grade(s): __________________ Subject Area(s) Observed: _________________________
Observation Date(s): ________________________________

Novice Teacher ☐ OR Experienced Teacher ☐

COMPONENT 1: PLANNING AND PREPARATION

1a. Selecting Instructional Goals: Teacher selects instructional goals that are aligned with the Delaware content standards and the district or charter school's curricula. Goals are appropriate for the learners and reflect high expectations for all students, consistent with State Assessment levels of performance where applicable.

Criterion 1a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

1b. Designing Coherent Instruction: Teacher plans for learning activities that align with the instructional goals and support student learning. Instructional planning shows a structure and selection of materials and activities that support student learning relative to the district or charter school's curricula.

Criterion 1b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

1c. Demonstrating Knowledge of Content and Pedagogy: Teacher shows his or her knowledge of content and how to teach it to a variety of learners. The teacher's plans include natural connections among content areas that deepen student learning. The content that he or she teaches is aligned to the district or charter school's curricula.

Criterion 1c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

1d. Demonstrating Knowledge of Students: Teacher shows his or her knowledge of student developmental characteristics; approaches to learning, knowledge, and skills; interests; cultural heritage; and, where applicable, State Assessment performance levels.

Criterion 1d. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective
1e. **Designing Student Assessments:** Teacher creates and/or selects assessments that are congruent with instructional goals, criteria, and standards. The teacher plans for the use of formative and summative assessments of the teacher's students.

**Criterion 1e. Performance:**
- □ Ineffective
- □ Needs Improvement
- □ Effective
- □ Highly Effective

**Evidence:**

**COMPONENT 1 PERFORMANCE:**
- □ Highly Effective
- □ Effective
- □ Needs Improvement
- □ Ineffective
IV. DPAS II Forms for Teachers

COMPONENT 2: CLASSROOM ENVIRONMENT

2a. Managing Classroom Procedures: Teacher has clearly defined procedures for managing learning time, transitions between learning events, and routines that maximize learning time.

Criterion 2a. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2b. Managing Student Behavior: Teacher establishes behavioral expectations and consequences and monitors student conduct. Teacher responds to student behavior in appropriate and effective ways to minimize disruptions.

Criterion 2b. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2c. Creating an Environment to Support Learning: Teacher creates an atmosphere in which learning is valued. Teacher-to-student and student-to-student interactions show rapport that is grounded in mutual respect.

Criterion 2c. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

2d. Organizing Physical Space: Teacher organizes, allocates, and manages physical space to create a safe learning environment. Teacher uses physical resources to contribute to effective instruction and makes resources accessible to all students.

Criterion 2d. Performance: ☐ Ineffective ☐ Needs Improvement ☐ Effective ☐ Highly Effective

Evidence:

COMPONENT 2 PERFORMANCE:

☐ Highly Effective ☐ Effective ☐ Needs Improvement ☐ Ineffective
IV. DPAS II Forms for Teachers

COMPONENT 3: INSTRUCTION

3a. Engaging Students in Learning: Content is appropriate, clear, and linked to student knowledge and experience. Content is aligned with the district or charter school's curricula. Activities and assignments engage all students. Instructional materials are suitable to the instructional goals. The instruction is coherent and paced appropriately for all students.

Criterion 3a. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3b. Demonstrating Flexibility and Responsiveness: Teacher has a repertoire of instructional strategies and makes use of them to make modifications to lessons as needed. Teacher differentiates instruction based on learner characteristics and achievement data.

Criterion 3b. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3c. Communicating Clearly and Accurately: Verbal and written communication is clear and appropriate to students’ ages, backgrounds, and levels of understanding.

Criterion 3c. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3d. Using Questioning and Discussion Techniques: Questions are appropriate to the content and level of students' understanding. Teacher encourages students to pose their own questions and is responsive to student questions. Teacher facilitates student led discussions.

Criterion 3d. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

3e. Using Assessment in Instruction: Teacher makes the criteria of the assessment known to the students, monitors the students’ progress, provides descriptive feedback, promotes student self-assessment, and uses data to plan future instruction.

Criterion 3e. Performance: ☐ Ineffective  ☐ Needs Improvement  ☐ Effective  ☐ Highly Effective

Evidence:

COMPONENT 3 PERFORMANCE:

☐ Highly Effective  ☐ Effective  ☐ Needs Improvement  ☐ Ineffective
IV. DPAS II Forms for Teachers

COMPONENT 4: PROFESSIONAL RESPONSIBILITIES

4a. Communicating with Families: Teacher shares information about the school’s educational program and expectations for student performance. Teacher develops a mechanism for two-way communication with families about student progress, behavior, and personal needs or concerns.

Criterion 4a. Performance: ☐ Ineffective    ☐ Needs Improvement    ☐ Effective    ☐ Highly Effective

4b. Recording student data in a Student Record System: Teacher keeps records of attendance, disciplinary actions, emergency contact information, and personal information. Teacher shares relevant information with appropriate school personnel.

Criterion 4b. Performance: ☐ Ineffective    ☐ Needs Improvement    ☐ Effective    ☐ Highly Effective

4c. Growing and Developing Professionally: Teacher chooses and participates in professional development that is aligned with his or her professional needs and aligned with the needs of the school, district or charter school, or students.

Criterion 4c. Performance: ☐ Ineffective    ☐ Needs Improvement    ☐ Effective    ☐ Highly Effective

4d. Reflecting on Professional Practice: Teacher engages in reflective thinking as an individual, as a team participant, or as a school community member with the goal of improving instruction and learning for all students.

Criterion 4d. Performance: ☐ Ineffective    ☐ Needs Improvement    ☐ Effective    ☐ Highly Effective

Evidence:

COMPONENT 4 PERFORMANCE:

☐ Highly Effective    ☐ Effective    ☐ Needs Improvement    ☐ Ineffective

DPAS-II Guide Revised for Teachers, Updated August 2016
COMPONENT 5: STUDENT IMPROVEMENT

Measure A Growth Rating (if applicable):
☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory/Admin Discretion  ☐ Unsatisfactory

Measure B Growth Rating (if applicable):
☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

Measure C Growth Rating (if applicable):
☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory

COMPONENT 5 PERFORMANCE:  ☐ Exceeds  ☐ Satisfactory  ☐ Unsatisfactory
IV. DPAS II Forms for Teachers

Summative Feedback Form

PERFORMANCE SUMMARY

Summative performance rating:

☐ Highly Effective ☐ Effective ☐ Needs Improvement ☐ Ineffective

An Improvement Plan shall be developed for a teacher who receives an overall rating of "Needs Improvement" or "Ineffective" on the Summative Evaluation.

Summary of Commendations/Expectations/Recommended Area(s) of Growth:

Additional Evaluator Feedback:

Improvement Plan Required for

Component 1 ☐ Component 2 ☐ Component 3 ☐ Component 4 ☐ Component 5 ☐
IV. DPAS II Forms for Teachers

SIGNATURES

The teacher and evaluator shall sign the Summative Evaluation Form to indicate that it has been reviewed and discussed, not that the teacher necessarily agrees with comments on this form.

Teacher’s Signature: ___________________________ Date: ________________

Evaluator’s Signature: ___________________________ Date: ________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

The teacher may challenge any rating on the Summative Evaluation by submitting additional information specific to the point of disagreement in writing within fifteen (15) working days of the date of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
The teacher and evaluator will share preliminary recommendations at an Improvement Plan Conference. If consensus between the teacher and evaluator is not reached, the evaluator shall develop the Improvement Plan.

**Deficiencies**

Describe specific deficiencies in teacher performance related to DPAS II Components, Criteria, and/or Elements.

**Measurable Goals**

List the specific measurable goals to improve performance to a satisfactory level. Indicate how progress will be measured for each goal.
IV. DPAS II Forms for Teachers

Professional Learning Activities, Interventions, and/or Resources
Describe professional learning activities, interventions, or resources the teacher is expected to engage in to meet the goals of the Improvement Plan.

Evidence and Timelines for Goal Completion
Indicate types of evidence and timelines for completion of the Improvement Plan goals including, but not limited to: observation follow-up timelines, target dates for activity completion, and target dates for evidence submission, data sets, and Improvement Plan completion date.

Plan Completion
Describe how satisfactory or unsatisfactory completion of the plan will be determined. Indicate potential consequences of unsatisfactory completion of the plan.

Plan Agreement:
My signature below means that I have received the Improvement Plan, understand what is expected of me, and will work on the plan as described.

Teacher’s Signature: _____________________________ Date: _____________________________

My signature below means that I have carefully reviewed the Improvement Plan with the teacher and have clearly communicated what is expected of the teacher to complete this plan.

Evaluator’s Signature: _____________________________ Date: _____________________________
Amendments to the Plan:
Specify any changes to the Improvement Plan if it is amended during implementation.

Teacher’s Signature: ___________________________ Date: ___________________________

Evaluator’s Signature: ___________________________ Date: ___________________________

Improvement Plan Completion:
The teacher’s completion of the Improvement Plan is:

☐ Satisfactory ☐ Unsatisfactory

Teacher’s Signature: ___________________________ Date: ___________________________

Evaluator’s Signature: ___________________________ Date: ___________________________
IV. DPAS II Forms for Teachers

Improvement Plan – Student Improvement for Educators (Component V)

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
IMPROVEMENT PLAN
STUDENT IMPROVEMENT FOR EDUCATORS (COMPONENT V)

Educator: ____________________________  Evaluator: ____________________________

School: ______________________________  Date of Conference: ______________________

Grade(s): ____________________________  Subject Area(s): __________________________

Area(s) for Improvement: ________________________________________________________

The educator and evaluator will share preliminary recommendations at an Improvement Plan Conference. If consensus between the educator and evaluator is not reached, the evaluator shall develop the Improvement Plan.

Deficiencies and Student Achievement Analysis
Describe specific deficiencies in educator performance related to DPAS-II, Component V. As applicable, the educator should review state assessment benchmark reports, Measure B/Measure C assessments, and other state/district/school common assessments to identify trends or patterns related to specific weaknesses in student and educator performance. The educator should present this data/evidence in the Improvement Plan Conference (to be held following the Spring Conference and/or as part of the Fall Conference in the following year).

Linkage to other Components
The educator and evaluator will work to identify other DPAS-II Component areas that have the greatest potential to impact student achievement in the educator’s classroom. The identification of specific components and/or appraisal criteria will allow the evaluator and educator to develop strategies to link student outcomes to improved practices within the other performance areas.

Measurable Goals
List the specific measurable goals to improve performance to a satisfactory level. Indicate how progress will be measured for each goal at various points throughout the upcoming school year.
IV. DPAS II Forms for Teachers

Improvement Plan – Student Improvement for Educators (Component V)

Professional Learning Activities, Interventions, and/or Resources
Describe professional learning activities, interventions, or resources the educator is expected to access in order to help meet the goals of the Improvement Plan.

Evidence and Timelines for Goal Completion
Indicate types of evidence and timelines for completion of the Improvement Plan including, but not limited to: observation follow-up timelines, target dates for activity completion, target dates for evidence submission, student data sets, and Improvement Plan completion date (which should linked to the next time the educator/evaluator will conduct a Spring Conference/C-V Conference).

Plan Completion
Describe how satisfactory or unsatisfactory completion of the plan will be determined. Indicate potential consequences of unsatisfactory completion of the plan.

Plan Agreement:
My signature below means that I have received the Improvement Plan, understand what is expected of me, and will work on the plan as described.

Educator’s
Signature:  ___________________________ Date:  ___________________________

My signature below means that I have carefully reviewed the Improvement Plan with the educator and have clearly communicated what is expected of the educator to complete this plan.

Evaluator’s
Signature:  ___________________________ Date:  ___________________________
IV. DPAS II Forms for Teachers

Improvement Plan – Student Improvement for Educators (Component V)

Amendments to the Plan:
Specify any changes to the Improvement Plan if it is amended during implementation.

---

Educator's Signature: ___________________________ Date: ___________________________

Evaluator's Signature: ___________________________ Date: ___________________________

---

Improvement Plan Completion:
The educator’s completion of the Improvement Plan (based upon student achievement/performance data) is:

☐ Satisfactory ☐ Unsatisfactory

Educator’s Signature: ___________________________ Date: ___________________________

Evaluator’s Signature: ___________________________ ___________________________
IV. DPAS II Forms for Teachers

DELAWARE PERFORMANCE APPRAISAL SYSTEM II
EXPECTATIONS FOLLOW-UP FORM
(OPTIONAL)

Teacher: ___________________________  Evaluator: ___________________________
School: ___________________________  Date: ________________________________

TYPE OF EXPECTATIONS FOLLOW-UP (check and date one)

☐ Follow up for Formative Feedback Expectations
   Date of original Formative Documentation ________________________

☐ Follow up for Summative Evaluation Expectations
   Date of original Summative Documentation ________________________

EXPECTATIONS FOLLOW-UP DETAIL

Expectation: [Number expectations if more than one.]

Method of data collection: How was evidence of expectations progress collected?

Evidence collected: What specific evidence was collected on what dates? Attach copies of any documents or artifacts collected. [If more than one Expectation, number evidence collected by Expectation number.]
IV. DPAS II Forms for Teachers

EXPECTATIONS PERFORMANCE (check one)

☐ Evidence collected meets all Expectations. No further course of action is required.

☐ Evidence collected meets the following Expectations: [Number expectations if more than one.]

☐ Evidence collected does not meet the following Expectations: [Number expectations if more than one.]

Course of action: Complete this section if one or more Expectations were not met in the prescribed timeline.

Additional Notes:

SIGNATURES
The teacher and evaluator shall sign the Expectations Follow-Up Form to indicate that it has been reviewed and discussed, not that the teacher necessarily agrees with comments on this form.

Teacher’s Signature: ________________________________ Date: ________________________________

Evaluator’s Signature: ________________________________ Date: ________________________________

If the teacher disagrees with any feedback on this form, the teacher may provide information in writing to the evaluator within fifteen (15) working days of the receipt of this form. The teacher may request a second conference with the evaluator to discuss concerns. Any additional information will become part of the appraisal record.

The teacher may challenge any rating on the Summative Evaluation by submitting additional information specific to the point of disagreement in writing within fifteen (15) working days of the date of the teacher’s receipt of this form. The teacher submits the challenge and record to the supervisor of the evaluator unless the supervisor of the evaluator is also in the same building as the teacher. In this situation, the challenge, together with the record, is submitted to a designated district or charter school level evaluator. Any additional information will become part of the appraisal record.
### Component 1: Planning and Preparation

**Criterion 1a: Selecting Instructional Goals**

Elements: Value, sequence and alignment ▶ Clarity ▶ Balance ▶ Suitability for diverse learners

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value, sequence, and alignment</td>
<td>Outcomes represent low expectations for students and lack of rigor. They do not reflect important learning in the discipline or a connection to a sequence of learning.</td>
<td>Outcomes represent moderately high expectations and rigor. Some reflect important learning in the discipline and at least some connection to a sequence of learning.</td>
<td>Most outcomes represent high expectations and rigor and important learning in the discipline. They are connected to a sequence of learning.</td>
<td>All outcomes represent high expectation and rigor and important learning in the discipline. They are connected to a sequence of learning both in the discipline and in related disciplines.</td>
</tr>
<tr>
<td>Clarity</td>
<td>Outcomes are either not clear or are stated as activities, not as student learning. Outcomes do not permit viable methods of assessment.</td>
<td>Outcomes are only moderately clear or consist of a combination of outcomes and activities. Some outcomes do not permit viable methods of assessment.</td>
<td>All the instructional outcomes are clear, written in the form of student learning. Most suggest viable methods of assessment.</td>
<td>All the outcomes are clear, written in the form of student learning, and permit viable methods of assessment.</td>
</tr>
<tr>
<td>Balance</td>
<td>Outcomes reflect only one type of learning and only one discipline or strand.</td>
<td>Outcomes reflect several types of learning, but teacher has made no attempt at coordination or integration.</td>
<td>Outcomes reflect several different types of learning and opportunities for coordination.</td>
<td>Where appropriate, outcomes reflect several different types of learning and opportunities for both coordination and integration.</td>
</tr>
<tr>
<td>Suitability for diverse learners</td>
<td>Outcomes are not suitable for the class or are not based on any assessment of student needs.</td>
<td>Most of the outcomes are suitable for most of the students in the class based on global assessments of student learning.</td>
<td>Most of the outcomes are suitable for all students in the class and are based on evidence of student proficiency. However, the needs of some individual students may not be accommodated.</td>
<td>Outcomes are based on a comprehensive assessment of student learning and take into account the varying needs of individual students or groups.</td>
</tr>
</tbody>
</table>

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## Component 1: Planning and Preparation

**Criterion 1b: Designing Coherent Instruction**

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>LEARNING ACTIVITIES</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Learning activities</td>
<td>Learning activities are not suitable to students or to instructional outcomes and are not designed to engage students in active intellectual activity.</td>
<td>All of the learning activities are suitable to students or to the instructional outcomes, and most represent significant cognitive challenge, with some differentiation for different groups of students.</td>
<td>Learning activities are highly suitable to diverse learners and support the instructional outcomes. They are all designed to engage students in high-level cognitive activity and are differentiated, as appropriate, for individual learners.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instructional materials and resources</td>
<td>Materials and resources are not suitable for students and do not support the instructional outcomes or engage students in meaningful learning.</td>
<td>All of the materials and resources are suitable to students, support the instructional outcomes, and are designed to engage students in meaningful learning.</td>
<td>All of the materials and resources are suitable to students, support the instructional outcomes, and are designed to engage students in meaningful learning. There is evidence of appropriate use of technology and of student participation in selecting or adapting materials.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instructional groups</td>
<td>Instructional groups do not support the instructional outcomes and offer no variety.</td>
<td>Instructional groups are varied as appropriate to the students and the different instructional outcomes.</td>
<td>Instructional groups are varied as appropriate to the students and the different instructional outcomes. There is evidence of student choice in selecting the different patterns of instructional groups.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lesson and unit structure</td>
<td>The lesson or unit has no clearly defined structure, or the structure is chaotic. Activities do not follow an organized progression, and time allocations are unrealistic.</td>
<td>The lesson or unit has a recognizable structure, although the structure is not uniformly maintained throughout. Progression of activities is uneven, with most time allocations reasonable.</td>
<td>The lesson's or unit's structure is clear and allows for different pathways according to diverse student needs. The progression of activities is highly coherent.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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### Appendix A: DPAS II Component Rubrics

#### Component 1: Planning and Preparation

**Criterion 1c: Demonstrating Knowledge of Content and Pedagogy**

Elements: Knowledge of content and the structure of the discipline ♦ Knowledge of prerequisite relationships ♦ Knowledge of content-related pedagogy

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knowledge of content and the structure of the discipline</td>
<td>In planning and practice, teacher makes content errors or does not correct errors made by students.</td>
<td>Teacher is familiar with the important concepts in the discipline but may display lack of awareness of how these concepts relate to one another.</td>
<td>Teacher displays solid knowledge of the important concepts in the discipline and how these relate to one another.</td>
<td>Teacher displays extensive knowledge of the important concepts in the discipline and how these relate both to one another and to other disciplines.</td>
</tr>
<tr>
<td>Knowledge of prerequisite relationships</td>
<td>Teacher’s plans and practice display little understanding of prerequisite relationships important to student learning of the content.</td>
<td>Teacher’s plans and practice indicate some awareness of prerequisite relationships, although such knowledge may be inaccurate or incomplete.</td>
<td>Teacher’s plans and practice reflect accurate understanding of prerequisite relationships among topics and concepts.</td>
<td>Teacher’s plans and practices reflect understanding of prerequisite relationships among topics and concepts and a link to necessary cognitive structures by students to ensure understanding.</td>
</tr>
<tr>
<td>Knowledge of content-related pedagogy</td>
<td>Teacher displays little or no understanding of the range of pedagogical approaches suitable to student learning of the content.</td>
<td>Teacher’s plans and practice reflect a limited range of pedagogical approaches or some approaches that are not suitable to the discipline or to the students.</td>
<td>Teacher’s plans and practice reflect familiarity with a wide range of effective pedagogical approaches in the discipline.</td>
<td>Teacher’s plans and practice reflect familiarity with a wide range of effective pedagogical approaches in the discipline, anticipating student misconceptions.</td>
</tr>
</tbody>
</table>

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### Component 1: Planning and Preparation

#### Criterion 1d: Demonstrating Knowledge of Students

<table>
<thead>
<tr>
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<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knowledge of child and adolescent development</td>
<td>Teacher displays little or no knowledge of the developmental characteristics of the age group.</td>
<td>Teacher displays partial knowledge of the developmental characteristics of the age group.</td>
<td>Teacher displays accurate understanding of the typical developmental characteristics of the age group as well as exceptions to the general patterns.</td>
<td>In addition to accurate knowledge of the typical developmental characteristics of the age group and exceptions to the general patterns, teacher displays knowledge of the extent to which individual students follow the general pattern.</td>
</tr>
<tr>
<td>Knowledge of the learning process</td>
<td>Teacher sees no value in understanding how students learn and does not seek such information.</td>
<td>Teacher recognizes the value of knowing how students learn, but this knowledge is limited or outdated.</td>
<td>Teacher’s knowledge of how students learn is accurate and current. Teacher applies this knowledge to the class as a whole and to groups of students.</td>
<td>Teacher displays extensive and subtle understanding of how students learn and applies this knowledge to individual students.</td>
</tr>
<tr>
<td>Knowledge of students’ skills, knowledge, and language proficiency</td>
<td>Teacher displays little or no knowledge of students’ skills, knowledge, and language proficiency and does not indicate that such knowledge is valuable.</td>
<td>Teacher recognizes the value of understanding students’ skills, knowledge, and language proficiency but displays this knowledge only for the class as a whole.</td>
<td>Teacher recognizes the value of understanding students’ skills, knowledge, and language proficiency and displays this knowledge for groups of students.</td>
<td>Teacher displays understanding of individual students’ skills, knowledge, and language proficiency and has a strategy for maintaining such information.</td>
</tr>
<tr>
<td>Knowledge of students’ interests and cultural heritage</td>
<td>Teacher displays little or no knowledge of students’ interests or cultural heritage and does not indicate that such knowledge is valuable.</td>
<td>Teacher recognizes the value of understanding students’ interests and cultural heritage but displays this knowledge only for the class as a whole.</td>
<td>Teacher recognizes the value of understanding students’ interests and cultural heritage and displays this knowledge for groups of students.</td>
<td>Teacher recognizes the value of understanding students’ interests and cultural heritage and displays this knowledge for individual students.</td>
</tr>
<tr>
<td>Knowledge of students’ special needs</td>
<td>Teacher displays little or no understanding of students’ special learning or medical needs or why such knowledge is important.</td>
<td>Teacher displays awareness of the importance of knowing students’ special learning or medical needs but such knowledge may be incomplete or inaccurate.</td>
<td>Teacher is aware of students’ special learning and medical needs.</td>
<td>Teacher possesses information about each student’s learning and medical needs, collecting such information from a variety of sources.</td>
</tr>
</tbody>
</table>

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## Component 1: Planning and Preparation

### Criterion 1e: Designing Student Assessments

**Elements:** Congruence with instructional outcome ◇ Criteria and standards ◇ Design of formative assessments ◇ Use for planning

<table>
<thead>
<tr>
<th>ELEMENT</th>
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<th>NEEDS IMPROVEMENT</th>
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<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Congruence with instructional</td>
<td>Assessment procedures are not congruent with instructional outcomes.</td>
<td>Some of the instructional outcomes are assessed through the proposed approach, but many are not.</td>
<td>All the instructional outcomes are assessed through the approach to assessment; assessment methodologies may have been adapted for groups of students.</td>
<td>Proposed approach to assessment is fully aligned with the instructional outcomes in both content and process. Assessment methodologies have been adapted for individual students as needed.</td>
</tr>
<tr>
<td>outcome</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Criteria and standards</td>
<td>Proposed approach contains no criteria or standards.</td>
<td>Assessment criteria and standards have been developed, but they are not clear.</td>
<td>Assessment criteria and standards are clear.</td>
<td>Assessment criteria and standards are clear; there is evidence that the students contributed to their development.</td>
</tr>
<tr>
<td>Design of formative</td>
<td>Teacher has no plan to incorporate formative assessment in the lesson or</td>
<td>Approach to the use of formative assessment is rudimentary, including only some of the instructional outcomes.</td>
<td>Teacher has a well-developed strategy to using formative assessment and has designed particular approaches to be used.</td>
<td>Approach to using formative assessment is well designed and includes student as well as teacher use of the assessment information.</td>
</tr>
<tr>
<td>assessments</td>
<td>unit.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use for planning</td>
<td>Teacher has no plans to use assessment results in designing future instruction.</td>
<td>Teacher plans to use assessment results to plan for future instruction for the class as a whole.</td>
<td>Teacher plans to use assessment results to plan for future instruction for groups of students.</td>
<td>Teacher plans to use assessment results to plan for future instruction for individual students.</td>
</tr>
</tbody>
</table>

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## Component 2: Classroom Environment

**Criterion 2a: Managing Classroom Procedures**

Elements: Management of instructional groups • Management of transitions • Management of materials and supplies • Performance of non-instructional duties

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Management of instructional groups</td>
<td>Students not working with the teacher are not productively engaged in learning.</td>
<td>Students in only some groups are productively engaged in learning while unsupervised by the teacher.</td>
<td>Small-group work is well organized, and most students are productively engaged in learning while unsupervised by the teacher.</td>
<td>Small-group work is well organized, and students are productively engaged at all times, with students assuming responsibility for productivity.</td>
</tr>
<tr>
<td>Management of transitions</td>
<td>Transitions are chaotic, with much time lost between activities or lesson segments.</td>
<td>Only some transitions are efficient, resulting in some loss of instructional time.</td>
<td>Transitions occur smoothly, with little loss of instructional time.</td>
<td>Transitions are seamless, with students assuming responsibility in ensuring their efficient operation.</td>
</tr>
<tr>
<td>Management of materials and supplies</td>
<td>Materials and supplies are handled inefficiently, resulting in significant loss of instructional time.</td>
<td>Routines for handling materials and supplies function moderately well, but with some loss of instructional time.</td>
<td>Routines for handling materials and supplies occur smoothly with little loss of instructional time.</td>
<td>Routines for handling materials and supplies are seamless, with students assuming some responsibility for smooth operation.</td>
</tr>
<tr>
<td>Performance of non-instructional duties</td>
<td>Considerable instructional time is lost in performing non-instructional duties.</td>
<td>Systems for performing non-instructional duties are only fairly efficient, resulting in some loss of instructional time.</td>
<td>Efficient systems for performing non-instructional duties are in place, resulting in minimal loss of instructional time.</td>
<td>Systems for performing non-instructional duties are well established, with students assuming considerable responsibility for efficient operation.</td>
</tr>
</tbody>
</table>

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## Component 2: Classroom Environment

**Criterion 2b: Managing Student Behavior**

Elements: Expectations • Monitoring of student behavior • Response to student misbehavior

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>LEVEL OF PERFORMANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>INEFFECTIVE</td>
</tr>
<tr>
<td>Expectations</td>
<td>No standards of conduct appear to have been established, or students are confused as to what the standards are.</td>
</tr>
<tr>
<td>Monitoring of student behavior</td>
<td>Student behavior is not monitored, and teacher is unaware of what the students are doing.</td>
</tr>
<tr>
<td>Response to student misbehavior</td>
<td>Teacher does not respond to misbehavior or the response is inconsistent, is overly repressive, or does not respect the student’s dignity.</td>
</tr>
</tbody>
</table>

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### Component 2: Classroom Environment

**Criterion 2c: Establishing a Culture for Learning**

Elements: Teacher interaction with students • Student interaction with others • Importance of the content • Expectations for learning and achievement • Student pride in work

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teacher interaction with students</td>
<td>Teacher interaction with at least some students is negative, demeaning, sarcastic, or inappropriate to the age or culture of the students. Students exhibit disrespect for the teacher.</td>
<td>Teacher-student interactions are generally appropriate but may reflect occasional inconsistencies, favoritism, or disregard for students’ cultures. Students exhibit only minimal respect for the teacher.</td>
<td>Teacher-student interactions are friendly and demonstrate general caring and respect. Such interactions are appropriate to the age and cultures of the students. Students exhibit respect for the teacher.</td>
<td>Teacher interactions with students reflect genuine respect and caring for individuals as well as groups of students. Students appear to trust the teacher with sensitive information.</td>
</tr>
<tr>
<td>Student interactions with other students</td>
<td>Student interactions are characterized by conflict, sarcasm, or put-downs.</td>
<td>Students do not demonstrate disrespect for one another.</td>
<td>Student interactions are generally polite and respectful.</td>
<td>Students demonstrate genuine caring for one another and monitor one another’s treatment of peers, correcting classmates respectfully when needed.</td>
</tr>
<tr>
<td>Importance of the content</td>
<td>Teacher or students convey a negative attitude toward the content, suggesting that it is not important or has been mandated by others.</td>
<td>Teacher communicates importance of the work but with little conviction and only minimal apparent buy-in by the students.</td>
<td>Teacher conveys genuine enthusiasm for the content, and students demonstrate consistent commitment to its value.</td>
<td>Students demonstrate, through their active participation, curiosity and taking initiative that they value the importance of the content.</td>
</tr>
<tr>
<td>Expectations for learning and achievement</td>
<td>Instructional outcomes, activities and assignments, and classroom interactions convey low expectations for at least some students.</td>
<td>Instructional outcomes, activities and assignments, and classroom interactions convey only modest expectations for student learning and achievement.</td>
<td>Instructional outcomes, activities, assignments, and classroom interactions convey high expectations for most students.</td>
<td>Instructional outcomes, activities, assignments, and classroom interactions convey high expectations for all students. Students appear to have internalized these expectations.</td>
</tr>
<tr>
<td>Student pride in work</td>
<td>Students demonstrate little or no pride in their work. They seem to be motivated by the desire to complete a task rather than to do high-quality work.</td>
<td>Students minimally accept the responsibility to do good work but invest little of their energy into its quality.</td>
<td>Students accept the teacher’s insistence on work of high quality and demonstrate pride in that work.</td>
<td>Students demonstrate attention to detail and take obvious pride in their work, initiating improvements in it by, for example, revising drafts on their own or helping peers.</td>
</tr>
</tbody>
</table>
## Component 2: Classroom Environment

**Criterion 2d: Organizing Physical Space**

Elements: Safety and accessibility + Arrangement of furniture and use of physical resources

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>LEVEL OF PERFORMANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>INEFFECTIVE</strong></td>
<td><strong>NEEDS IMPROVEMENT</strong></td>
</tr>
<tr>
<td>Safety and accessibility</td>
<td>The classroom is unsafe, or learning is not accessible to some students.</td>
</tr>
<tr>
<td>Arrangement of furniture and use of physical resources</td>
<td>The furniture arrangement hinders the learning activities, or the teacher makes poor use of physical resources.</td>
</tr>
</tbody>
</table>

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## Component 3: Instruction

**Criterion 3a: Engaging Students in Learning**

Elements: Activities and assignments ♦ Grouping of students ♦ Instructional materials and resources ♦ Structure and pacing of the lesson

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Activities and assignments</td>
<td>Activities and assignments are inappropriate for students’ age or background. Students are not mentally engaged in them.</td>
<td>Activities and assignments are appropriate to some students and engage them mentally, but others are not engaged.</td>
<td>Most activities and assignments are appropriate to students, and almost all students are cognitively engaged in exploring content.</td>
<td>All students are cognitively engaged in the activities and assignments in their exploration of content. Students initiate or adapt activities and projects to enhance their understanding.</td>
</tr>
<tr>
<td>Grouping of students</td>
<td>Instructional groups are inappropriate to the students or to the instructional outcomes.</td>
<td>Instructional groups are only partially appropriate to the students or only moderately successful in advancing the instructional outcomes of the lesson.</td>
<td>Instructional groups are productive and fully appropriate to the students or to the instructional purposes of the lesson.</td>
<td>Instructional groups are productive and fully appropriate to the students or to the instructional purposes of the lesson. Students take the initiative to influence the formation or adjustment of instructional groups.</td>
</tr>
<tr>
<td>Instructional materials and resources</td>
<td>Instructional materials and resources are unsuitable to the instructional purposes or do not engage students mentally.</td>
<td>Instructional materials and resources are only partially suitable to the instructional purposes, or students are only partially mentally engaged with them.</td>
<td>Instructional materials and resources are suitable to the instructional purposes and engage students mentally.</td>
<td>Instructional materials and resources are suitable to the instructional purposes and engage students mentally. Students initiate the choice, adaptation, or creation of materials to enhance their learning.</td>
</tr>
<tr>
<td>Structure and pacing of the lesson</td>
<td>The lesson has no clearly defined structure, or the pace of the lesson is too slow or rushed, or both.</td>
<td>The lesson has a recognizable structure, although it is not uniformly maintained throughout the lesson. Pacing of the lesson is inconsistent.</td>
<td>The lesson has a clearly defined structure around which the activities are organized. Pacing of the lesson is generally appropriate.</td>
<td>The lesson's structure is highly coherent, allowing for reflection and closure. Pacing of the lesson is appropriate for all students.</td>
</tr>
</tbody>
</table>

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### Component 3: Instruction

**Criterion 3b: Demonstrating Flexibility and Responsiveness**

**Elements:** Lesson adjustment • Response to students • Persistence

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Lesson adjustment</td>
<td>Teacher adheres rigidly to an instructional plan, even when a change is clearly needed.</td>
<td>Teacher attempts to adjust a lesson when needed, with only partially successful results.</td>
<td>Teacher makes a minor adjustment to a lesson, and the adjustment occurs smoothly.</td>
<td>Teacher successfully makes a major adjustment to a lesson when needed.</td>
</tr>
<tr>
<td>Response to students</td>
<td>Teacher ignores or brushes aside students’ questions or interests.</td>
<td>Teacher attempts to accommodate students’ questions or interests, although the pacing of the lesson is disrupted.</td>
<td>Teacher successfully accommodates students’ questions or interests.</td>
<td>Teacher seizes a major opportunity to enhance learning, building on student interests or a spontaneous event.</td>
</tr>
<tr>
<td>Persistence</td>
<td>When a student has difficulty learning, the teacher either gives up or blames the student or the student’s home environment.</td>
<td>Teacher accepts responsibility for the success of all students but has only a limited repertoire of instructional strategies to draw on.</td>
<td>Teacher persists in seeking approaches for students who have difficulty learning, drawing on a broad repertoire of strategies.</td>
<td>Teacher persists in seeking effective approaches for students who need help, using an extensive repertoire of strategies and soliciting additional resources from the school.</td>
</tr>
</tbody>
</table>

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Component 3: Instruction
Criterion 3c: Communicating Clearly and Accurately
Elements: Expectations for learning ◆ Directions and procedures ◆ Explanations of content ◆ Use of oral and written language

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Expectations for learning</td>
<td>Teacher’s purpose in a lesson or unit is unclear to students.</td>
<td>Teacher attempts to explain the instructional purpose with limited success.</td>
<td>Teacher’s purpose for the lesson or unit is clear, including where it is situated within broader learning.</td>
<td></td>
</tr>
<tr>
<td>Directions and procedures</td>
<td>Teacher’s directions and procedures are confusing to students.</td>
<td>Teacher’s directions and procedures are clarified after initial student confusion.</td>
<td>Teacher’s directions and procedures are clear to students.</td>
<td>Teacher’s directions and procedures are clear to students and anticipate possible student misunderstanding.</td>
</tr>
<tr>
<td>Explanations of content</td>
<td>Teacher’s explanation of the content is unclear or confusing or uses inappropriate language.</td>
<td>Teacher’s explanation of the content is uneven; some is done skillfully, but other portions are difficult to follow.</td>
<td>Teacher’s explanation of content is appropriate and connects with students’ knowledge and experience.</td>
<td>Teacher’s explanation of content is imaginative and connects with students’ knowledge and experience. Students contribute to explaining concepts to their peers.</td>
</tr>
</tbody>
</table>

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### Component 3: Instruction
**3d: Using Questioning and Discussion Techniques**

Elements: Quality of questions ▶ Discussion techniques ▶ Student participation

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>Quality of questions</td>
<td>Teacher’s questions are virtually all of poor quality, with low cognitive</td>
<td>Teacher’s questions are a combination of low and high quality, posed in rapid</td>
<td>Most of the teacher’s questions are of high quality. Adequate time is provided for students to respond.</td>
<td>Teacher’s questions are of uniformly high quality, with adequate time for</td>
</tr>
<tr>
<td></td>
<td>challenge and single correct responses, and they are asked in rapid</td>
<td>succession. Only some invite a thoughtful response.</td>
<td></td>
<td>students to respond. Students formulate many questions. Teacher employs</td>
</tr>
<tr>
<td></td>
<td>succession.</td>
<td></td>
<td></td>
<td>cognitive coaching skills.</td>
</tr>
<tr>
<td>Discussion techniques</td>
<td>Interaction between teacher and students is predominantly recitation style,</td>
<td>Teacher makes some attempt to engage students in genuine discussion rather than</td>
<td>Teacher creates a genuine discussion among students, stepping aside when appropriate.</td>
<td>Students assume considerable responsibility for the success of the discussion,</td>
</tr>
<tr>
<td></td>
<td>with the teacher mediating all questions and answers.</td>
<td>recitation, with uneven results.</td>
<td></td>
<td>initiating topics and making unsolicited contributions.</td>
</tr>
<tr>
<td>Student participation</td>
<td>A few students dominate the discussion.</td>
<td>Teacher attempts to engage all students in the discussion, but with only limited</td>
<td>Teacher successfully engages all students in the discussion.</td>
<td>Students themselves ensure that all voices are heard in the discussion.</td>
</tr>
</tbody>
</table>

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### Component 3: Instruction

#### 3e: Using Assessment in Instruction

**Elements:** Assessment criteria ◆ Monitoring of student learning ◆ Feedback to students ◆ Student self-assessment and monitoring of progress

<table>
<thead>
<tr>
<th>ELEMENT</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Assessment criteria</td>
<td>Students are not aware of the criteria and performance standards by which their work will be evaluated.</td>
<td>Students know some of the criteria and performance standards by which their work will be evaluated.</td>
<td>Students are fully aware of the criteria and performance standards by which their work will be evaluated.</td>
<td>Students are fully aware of the criteria and performance standards by which their work will be evaluated and have contributed to the development of the criteria.</td>
</tr>
<tr>
<td>Monitoring of student learning</td>
<td>Teacher does not monitor student learning in the curriculum.</td>
<td>Teacher monitors the progress of the class as a whole but elicits no diagnostic information.</td>
<td>Teacher monitors the progress of groups of students in the curriculum, making limited use of diagnostic prompts to elicit information.</td>
<td>Teacher actively and systematically elicits diagnostic information from individual students regarding their understanding and monitors the progress of individual students.</td>
</tr>
<tr>
<td>Feedback to students</td>
<td>Teacher’s feedback to students is of poor quality and not provided in a timely manner.</td>
<td>Teacher’s feedback to students is uneven, and its timeliness is inconsistent.</td>
<td>Teacher’s feedback to students is timely and of consistently high quality.</td>
<td>Teacher’s feedback to students is timely and of consistently high quality, and students make use of the feedback in their learning.</td>
</tr>
<tr>
<td>Student self-assessment and monitoring of progress</td>
<td>Students do not engage in self-assessment or monitoring of progress.</td>
<td>Students occasionally assess the quality of their own work against the assessment criteria and performance standards.</td>
<td>Students frequently assess and monitor the quality of their own work against the assessment criteria and performance standards.</td>
<td>Students not only frequently assess and monitor the quality of their own work against the assessment criteria and performance standards but also make active use of that information in their learning.</td>
</tr>
</tbody>
</table>

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## Component 4: Professional Responsibilities
### 4a: Communicating with Families

**Elements:** Information about the instructional program • Information about individual students • Engagement of families in the instructional program

<table>
<thead>
<tr>
<th>ELEMENT</th>
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<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Information about the instructional program</td>
<td>The teacher provides families little or no information about the instructional program.</td>
<td>The teacher participates in the school’s activities for family communication but offers little additional information.</td>
<td>The teacher provides frequent information to families, as appropriate, about the instructional program.</td>
<td>The teacher provides frequent information to families, as appropriate, about the instructional program. Students participate in preparing materials for their families.</td>
</tr>
<tr>
<td>Information about individual students</td>
<td>The teacher provides minimal information about individual students to families, or the communication is inappropriate to the cultures of the families. The teacher does not respond, or responds insensitively, to family concerns about students.</td>
<td>The teacher adheres to the school’s required procedures for communicating with families. Responses to family concerns are minimal or may reflect occasional insensitivity to cultural norms.</td>
<td>The teacher communicates with families about students’ progress on a regular basis, respecting cultural norms, and is available as needed to respond to family concerns.</td>
<td>The teacher provides information to families frequently on student progress, with students contributing to the design of the system. Response to family concerns is handled with great professional and cultural sensitivity.</td>
</tr>
<tr>
<td>Engagement of families in the instructional program</td>
<td>The teacher makes no attempt to engage families in the instructional program, or such efforts are inappropriate.</td>
<td>The teacher makes modest and partially successful attempts to engage families in the instructional program.</td>
<td>The teacher’s efforts to engage families in the instructional program are frequent and successful.</td>
<td>The teacher’s efforts to engage families in the instructional program are frequent and successful. Students contribute ideas for projects that could be enhanced by family participation.</td>
</tr>
</tbody>
</table>

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## Component 4: Professional Responsibilities

### 4b: Recording data in a Student Record System

**Elements:** Student completion of assignments, Student progress in learning, Non-instructional records

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>INEFFECTIVE</th>
<th>NEEDS IMPROVEMENT</th>
<th>EFFECTIVE</th>
<th>HIGHLY EFFECTIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student completion of assignments</td>
<td>The teacher maintains inaccurate or incomplete student assignment records.</td>
<td>The teacher maintains accurate student assignment records but record keeping is inefficient or confusing.</td>
<td>The teacher accurately and efficiently maintains student assignment records.</td>
<td>The teacher accurately and efficiently maintains student assignment records. Data are always recorded in a timely manner and readily accessible for those who have permission to access them.</td>
</tr>
<tr>
<td>Student progress in learning</td>
<td>The teacher maintains inaccurate or incomplete records of student progress</td>
<td>The teacher maintains accurate records of student progress but record keeping is inefficient or confusing.</td>
<td>The teacher accurately and efficiently maintains records of student progress and records are accessible to students, when appropriate.</td>
<td>The teacher accurately and efficiently maintains records of student progress Students participate in maintaining these records, when appropriate.</td>
</tr>
<tr>
<td>Non-instructional records</td>
<td>The teacher maintains inaccurate or incomplete non-instructional records.</td>
<td>The teacher maintains accurate non-instructional records but record keeping is inefficient or confusing.</td>
<td>The teacher accurately and efficiently maintains non-instructional records.</td>
<td>The teacher accurately and efficiently maintains non-instructional records. Data are always recorded in a timely manner and readily accessible for those who have permission to access them.</td>
</tr>
</tbody>
</table>

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### Component 4: Professional Responsibilities

#### 4c: Growing and Developing Professionally

Elements: Student completion of assignments ♦ Student progress in learning ♦ Non-instructional records

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>LEVEL OF PERFORMANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>INEFFECTIVE</td>
</tr>
<tr>
<td>Enhancement of content knowledge and pedagogical skills</td>
<td>The teacher engages in no professional development activities to enhance knowledge or skill.</td>
</tr>
<tr>
<td>Receptivity to feedback from colleagues</td>
<td>The teacher resists feedback on teaching performance from either supervisors or more experienced colleagues.</td>
</tr>
<tr>
<td>Service to the profession</td>
<td>The teacher makes no effort to share knowledge with others or to assume professional responsibilities.</td>
</tr>
</tbody>
</table>

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## Component 4: Professional Responsibilities

### 4d: Reflecting on Professional Practice

**Elements: Accuracy ♦ Use in future teaching**

<table>
<thead>
<tr>
<th>ELEMENT</th>
<th>LEVEL OF PERFORMANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>INEFFECTIVE</td>
</tr>
<tr>
<td><strong>Accuracy</strong></td>
<td></td>
</tr>
<tr>
<td>The teacher does not know whether a lesson was effective or achieved its instructional outcomes, or teacher profoundly misjudges the success of a lesson.</td>
<td>The teacher has a generally accurate impression of a lesson’s effectiveness and the extent to which instructional outcomes were met.</td>
</tr>
<tr>
<td><strong>Use in future teaching</strong></td>
<td>The teacher has no suggestions for improving a lesson in the future.</td>
</tr>
</tbody>
</table>

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