DeSSA Test Security Manual

August 2021

NOTE: Modifications to last year’s manual are highlighted, found on pages noted below.

Page 3  Adds Grade 8 Social Studies FT to list of DeSSA assessments
Page 9  Sets current date for submission of LEA Test Security Plans
Page 15  Clarifies that remote administration of DeSSA assessments is prohibited
Page 24  Clarifies that homebound students receive paper/pencil assessments

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**DeSSA Vendor and Department of Education Help Desks**

If you have any questions regarding the *DeSSA Test Security Manual*, please contact the Delaware Department of Education, Office of Assessment, at (302) 857-3391. For questions or additional assistance to use the online testing system, please contact the vendor Helpdesk for each specific assessment.

<table>
<thead>
<tr>
<th>Cambium Assessment Helpdesk</th>
<th>DLM Helpdesk</th>
</tr>
</thead>
<tbody>
<tr>
<td>Smarter Balanced System (Interim, summative, Digital Library)</td>
<td>DeSSA Alt</td>
</tr>
<tr>
<td>Phone: 877-560-8331 Email: <a href="mailto:DeSSAHelpDesk@cambiumassessment.com">DeSSAHelpDesk@cambiumassessment.com</a></td>
<td>Phone: 855-277-9751 Email: <a href="mailto:dim-support@ku.edu">dim-support@ku.edu</a></td>
</tr>
<tr>
<td>Monday–Friday (except holidays) Hours: 6:30 a.m. to 6:30 p.m.</td>
<td>Monday–Friday (except holidays) Hours: 8:00 a.m. to 6:00 p.m.</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>Pearson Helpdesk</th>
<th>WIDA Access 2.0 Test</th>
</tr>
</thead>
<tbody>
<tr>
<td>DeSSA Social Studies DeSSA Science</td>
<td>Phone: 866-276-7735 Email: <a href="mailto:help@wida.us">help@wida.us</a></td>
</tr>
<tr>
<td>Phone: 888-827-1089 Email</td>
<td>Training Courses, Screener Test Materials and Training, WIDA eLearning</td>
</tr>
<tr>
<td>Monday–Friday (except holidays) Hours: 7 a.m. to 7 p.m.</td>
<td>DRC Helpdesk</td>
</tr>
<tr>
<td>Access 2.0 Assessment testing system related questions</td>
<td>Phone: 855-787-9615 Email: <a href="mailto:WIDA@datarecognitioncorp.com">WIDA@datarecognitioncorp.com</a></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Contact DOE Helpdesk through IMS (application required)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>• Technology issues</td>
<td>• Rosters</td>
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<tr>
<td>• Policies</td>
<td>• Student Data</td>
</tr>
<tr>
<td>• Login Access</td>
<td>• Security</td>
</tr>
<tr>
<td>• Training</td>
<td>• Accommodations</td>
</tr>
</tbody>
</table>

When contacting a Helpdesk, provide as much detail as possible about any issues encountered. This may include the following:

- Test Administrator and IT/network contact person and contact information;
- All specific assessment information including test name, or other identifying test information;
- Operating system and browser version information;
- Any error messages and codes that appeared, if applicable;
- Information about your network configuration;
- Secure browser installation (to individual machines or network);
- Wired or wireless Internet network setup.
Delaware System of Student Assessments (DeSSA)

Introduction
The Delaware System of Student Assessments (DeSSA) is a combination of national, state, and district level administered assessments. The measured outcomes of each assessment and the curriculum assessed vary. The assessments are utilized for a variety of purposes, including determining the strengths and needs of students as well as the instructional program.

This Test Security Manual has been approved by the Director of the Office of Assessment and will be reviewed and revised, as needed, on at least an annual basis. All District Test Coordinators (DTCs), School Test Coordinators (STCs), Test Administrators (TAs), and support staff involved in the testing process are required to review and adhere to this security manual, coordinated security training, and Delaware State Code and Regulations.

The Office of Assessment delivers the following assessments to Delaware students:

National-Level Assessment
- National Assessment of Educational Progress (NAEP) – Grades 4 and 8

State-Level Assessments
Test materials, security guidelines, training, and administration procedures are provided by the Delaware Department of Education (DDOE) for the following state-level assessments:
- ACCESS 2.0 for English Language Learners (ELs) – grades K–12
- Alternate ACCESS 2.0 for ELs – grades K–12
- DeSSA ALT – Reading and Mathematics, grades 3–8 and 11, and Science grades 5, 8, and 10
- DeSSA Science – grades 5, 8, and High School Biology
- DeSSA Social Studies – grades 4, 7, 8 (Field Test), and 11
- DPAS II Measure B Student Improvement Component V – grades K–12
- PSAT – grades 8-9 (optional)
- PSAT – grade 10
- SAT School Day – High School
- Smarter English Language Arts (ELA)/Literacy and Mathematics Summative – grades 3–8
- Smarter Interim Comprehensive Assessments (ICAs) and Interim Assessment Blocks (IABs) for ELA/Literacy and Mathematics – grades 3–10 (optional)

District-Level Assessments
Delaware public school districts and charter schools offer district- and charter-level assessments. These institutions shall follow security protocols in this document and as defined for each assessment. These assessments shall include the following:
- All Delaware Performance Appraisal System (DPAS) II Component V assessments approved for use as measurements for teacher accountability ratings.
Test Security Overview
Purpose of Manual
This Test Security Manual sets forth test security policies, procedures, and responsibilities for the DeSSA assessments. Among other things, the elements of this manual are intended to provide greater protection of DeSSA intellectual property, to reduce test fraud and theft, and to maintain high program standards and integrity. This manual may also be used to train staff and as a reference to structure security roles, responsibilities, and performance expectations.

All DeSSA items and test materials are secure and must be appropriately handled. Secure handling protects the integrity, validity, and confidentiality of assessment instruments, items, prompts, and student information. Any deviation in test administration must be reported as a test security incident, as described in this manual, to ensure the continued validity of the assessment results. Failure to honor security severely jeopardizes district and state accountability requirements and the accuracy of student data.

This publication contains guidelines prepared by the DDOE to help build a common understanding of what practices are appropriate and to ensure district personnel, principals, teachers, and other school personnel have the information required to uniformly administer DeSSA assessments in a secure manner.

Importance of Test Security
It is important to maintain the security and confidentiality of DeSSA assessments is critical for ensuring valid test scores and providing standard and equal testing opportunities for all students. Test security goals include:
  - To provide secure assessments that result in valid and reliable scores.
  - To adhere to high professional standards in all aspects of the assessment.
  - To maintain consistency across all testing occasions and sites.
  - To protect the investment of resources, time, and energy in the assessment.
  - To protect student information and maintain confidentiality.

Title 14 – Education, Subchapter IV, State Assessment Security and Violations, of the Delaware Code outlines the rules and regulations to ensure the security of the assessment administration, collection, and reporting of assessment data. Title 14, Subchapter IV, in its entirety is located in Appendix A of this Test Security Manual.

Test security incidents, such as improprieties, irregularities, and breaches, are behaviors prohibited during test administration (online and paper-and-pencil), because they may change the meaning of the assessment, give a student an unfair advantage, or compromise the secure administration of the assessment. Whether intentional or by accident, failure to comply with security rules, either by staff or students, constitutes a test security incident.
**Duty to Report**
Employees of a Delaware public school or district who have witnessed, been informed of, or suspect the possibility of a test security incident that could potentially affect the integrity of the tests are responsible for reporting these incidents to the School and/or District Test Coordinator.

**District/Charter and School Assessment Behavior Violations**
These assessment behavior violations summarize the categories of violations found in this Test Security Manual and in the multiple DeSSA Test Administration Manuals.

- Any practice that supports or assists others in conducting inappropriate preparation activities.
- Any practice that supports or assists any person in inappropriate practices during administration or scoring of an assessment.
- Any practice that corrects or alters any student’s response to an assessment either during or following the administration of an assessment.
- Any practice of excluding one or more students from an assessment because a student has not performed well or may not perform well on the assessment, or because the aggregate performance of a group may be affected by the student’s performance on the assessment.
- Any practice that results in a potential conflict of interest or exerts an undue influence on a person administering or scoring an assessment.
- Any practice that either makes or appears to make an assessment process unfair.
User Roles and Responsibilities
Every individual who interacts with the assessments, communicates test results, and/or receives testing information is responsible for test security. This includes but is not limited to:

- Delaware Department of Education Staff
- District Administrators and Heads of Charter Schools
- District Test Coordinators
- School Test Coordinators
- Test Administrators
- Certified and Non-certified Public School Staff
- Students, Parents, and the Community at Large

DDOE Office of Assessment will be responsible for:
2. Reviewing and resolving test security incidents as submitted by local education agencies (LEAs).
3. Reviewing, approving, or denying appeals for resets, invalidations, and/or exemptions.
4. Coordinating with test vendor or district where appropriate.

District Test Coordinator (DTC) and School Test Coordinator (STC) will each be responsible for:
1. Completing required DTC training.
2. Ensure all staff within the building who will have access to the testing environment complete DeSSA test security training by September 30th of each calendar year and have signed security forms.
3. Ensuring that all staff complete all required training each year for all assessments they will be administering. These need to be completed by the deadline set forth by the Office of Assessment.
4. Mitigating and reporting all test security incidents in a manner consistent with state and district policies.
5. Monitoring all test sessions within the building on all testing dates to ensure all security protocols are being followed.
6. Ensuring that all test administrators have completed the review of the test security video for students by specific data and have signed acknowledgment form of completion.
7. Working with technology staff to ensure that necessary student interfaces necessary for testing are installed and any other technical issues are resolved.
8. Monitoring testing progress during the testing window and ensuring that all students participate as appropriate.
9. Addressing testing problems as needed, and following the proper reporting procedures within established guidelines.
10. General oversight responsibilities for all administration activities and the TAs in their schools.

**District Test Coordinator (DTC)** will be responsible for:
- Reporting test security incidents to the state through the DOE Helpdesk.
- Submitting appeals for resets and invalidations; providing grace period extensions; reopening tests.
- Working with schools to provide access to students and identifying those students who should successfully test for each assessment.
- Establishing written procedures for investigating any complaint, allegation, or concern about an inappropriate practice. The procedures should ensure the protection of individuals’ rights, the integrity of an assessment, and the integrity of assessment results.
- Providing physical or digital copies of the most recent Test Security Manual to all school personnel.
- Submitting the local test security plan by specified date through the DOE Helpdesk.

**School Test Coordinator (STC)** will be responsible for:
- Coordinating with TAs so they administer tests in the appropriate grade(s) and content area(s) for their school.
- Creating or approving testing schedules and procedures for the school (consistent with state and district policies) for all relevant assessments and content areas.
- Verifying student information prior to testing to ensure that the correct student receives the proper test with the appropriate supports.
- Ensuring that all all students who are testing or will be tested have completed review of the test security video for students by specific date and have signed acknowledgment form of completion.

**School Test Coordinator (STC) and Test Administrators (TAs)** will be responsible for:
- Completing all training associated with the assessments they will be administering.
- Reviewing all assessment-related policy and administration documents prior to administering any DeSSA assessments.
- Verifying student information prior to testing to ensure that the correct student receives the proper test with the appropriate supports.
- Making students aware of the policy for electronic devices and the school/district consequences for violating the policy.
- Securely administering the state assessments for which they have been certified.
- Reporting all potential test security incidents to the STC and DTC in a manner consistent with state and district policies.
- Ensuring that all all students who are testing or will be tested have completed review of the test security video for students by specific date and have signed acknowledgment form of completion.
Test Security Plans
Each district and charter school shall adopt and enforce a plan setting forth procedures to ensure the security of all state assessments. This plan must encompass all public schools in the district, including district-sponsored charter schools. By October 11, 2021, the plan must be submitted to the DDOE test security coordinator, Dusty Shockley, through the DOE Helpdesk. Please do not email test security plans; use the DDOE Helpdesk.

To protect the security of the state assessments, each district and charter school must establish the plan to be consistent with the procedures outlined in this document, and it must address the following criteria:

- Identification and training of personnel authorized to have access to the tests or the testing system;
- Identification and training of personnel authorized to proctor assessments;
- Procedures for test administrators to follow when monitoring students during test sessions;
- Procedures for monitoring test materials before, during, and after testing;
- Procedures to verify the identity and eligibility of students taking an assessment;
- Procedures to report any alleged violation in test administration or test security;
- Procedures that set forth actions taken in response to a reported violation;
- Procedures for communication of test security procedures.

Test Security Expectations
Each staff member in a Delaware public school shall:

- Report any observed test security violation to the School and/or District Test Coordinators and the Office of Assessment, including missing materials, testing misadministration, copyright infringement, and other deviations from security requirements.
- Uphold the integrity and accuracy of testing by preventing any fraudulent behavior and promoting a fair and equitable testing environment.
- Ensure no one compromises test security or the accuracy of the test data score results by manipulating the test administration, demographic data, or the students’ answers or data.
- Ensure student test scores and test performance are not disclosed to any unauthorized person.
- Cooperate with DDOE in conducting an investigation of any alleged inappropriate assessment practice.
- Encourage the community to voice any concern about any practice they may consider inappropriate by contacting DDOE’s Office of Assessment.
- Prohibit the use of any assessment for purposes other than that for which it was intended.
Responding to Test Security Violations
The DOE Helpdesk is required for documentation of test security incidents and should be used by all districts and charter schools. Each District Test Coordinator should have access to this application. More serious incidents will be reported either immediately (breach) or by the end of the day (irregularities).

Refer to Appendix E: Test Security Chart for a list of test security incident levels and examples of types of issues.

Test security incidents, labeled as improprieties, irregularities, and breaches, are behaviors prohibited either because they give a student an unfair advantage or because they compromise the secure administration of the assessment. Whether intentional or by accident, failure to comply with security rules, either by staff or students, constitutes a test security incident. Improprieties, irregularities, and breaches need to be reported in accordance with the instructions in this section for each severity level.

All confirmed testing violations must be reported to the Office of Assessment through the DOE Helpdesk. The DTC is responsible for investigating all confirmed or alleged testing violations. Test Security incidents require an immediate investigation by the District in order to gather all necessary information. Districts must ensure all testing personnel are aware of their obligation to report testing violations and can easily access local reporting procedures.

Reporting requirements differ based on the severity of the confirmed or alleged violation. The contents of all reports submitted to the Office of Assessment must clearly lay out the sequence of events and include the District’s determination in the matter. Supporting documentation is required for all reported violations.

Test Security Required Action Steps
These graphics show the required actions for each test security incident.

Impropriety
An impropriety is an unusual circumstance that has a low impact on the individual or group of students who are testing and has a low risk of potentially affecting student performance on the test, test security, or test validity. These circumstances can be contained at the local level. An impropriety should be reported to the DTC and STC immediately and in the DOE Helpdesk within 24 hours of the discovery of the incident.
Improprieties are escalated in accordance with state policies and procedures, protocols, and/or guidelines within 24 hours of the discovery of the incident by individual districts into the DOE Helpdesk.

**Reporting Requirements for Improprieties**
Reports for improprieties must be submitted through the DOE Helpdesk within 24 hours of the DTC being made aware of the incident.

For improprieties, report as a security incident in the DOE Helpdesk.
1. Clearly outline the sequence of events.
2. Explain what happened and how it occurred.
3. Include information about how the problem was resolved or remedied.
4. Include the name of the individual who assisted you if the OOA was contacted.
5. Include a district determination in the matter.
6. Provide a plan of action the district will put into effect to ensure the incident does not reoccur.

**Irregularity**
An irregularity is an unusual circumstance that impacts an individual or group of students who are testing and may potentially affect student performance on the test, test security, or test validity. These circumstances can be contained at the local level. Example: disruptions during the test session such as a fire drill.

Irregularities must be escalated by the end of the school day on which the incident is discovered to the DOE Helpdesk.

**Breach**
A breach is a test administration event that poses a threat to the validity of the test. Breaches require immediate attention and escalation to the state level. Examples may include such situations as exposure of secure materials or a repeatable security/system risk. These circumstances have external implications. Example: administrators modifying student answers, or test items shared in social media.

A breach requires immediate notification/escalation by telephone to the state level by the DTC, followed by documentation in the DOE Helpdesk.
Reporting Requirements for Irregularities and Breaches

Districts must submit the required information for irregularities by the end of the school day on which they become aware of the alleged violation and immediately if a breach occurs. Depending on the nature and severity of the issue, the OOA may request the District take certain actions, such as interviewing students or interpreting test results, to ensure a thorough and complete investigation.

The following items must be completed and submitted by the District to fulfill OOA requirements for reporting test violations:

1. Identify the level and specific type of security incident (see Appendix E).
2. Complete a security incident report in the DOE Helpdesk following stated procedures and timelines.
3. Gather statements or interview all individuals who were involved in or may have information about the incident and document, in writing, and must be signed and dated. Include role and title of each party submitting a statement.
4. Complete a summary of events and timeline including who was involved, why the incident occurred, and how the irregularity was discovered. Statements should provide details about how the individual was involved with the reported irregularity. Individuals implicated in an irregularity report should respond to all allegations.
5. Address and resolve all discrepancies (if any) in the information provided by the individuals involved.
6. Note at what school the incident occurred and during which administration, including information such as date, assessment, grade level, and subject area.
7. If the OOA was contacted for guidance, document when the contact was made and to whom you spoke. If the district was granted permission to take a certain action or instructed on how to remedy an error, include that information in your report.
8. If the irregularity involved any examinees potentially gaining an unfair advantage on an assessment, include information regarding whether the district wants to invalidate the assessment or submit the test(s) to be scored.
9. Document the steps the district will take to ensure the irregularity does not reoccur, including whether the district has taken or will take disciplinary action.
DeSSA Test Actions and Appeals
The Office of Assessment may invalidate, reset, reopen, or restore individual student assessments. The actions result from test security incidents reported by District Test Coordinators through the DOE Helpdesk or through other communications to the Office of Assessment.

An appeal may be submitted by District Test Coordinators through the DOE Helpdesk to address incidents that are not security related, such as reopening an assessment for a student who becomes ill and is unable to resume testing until after testing has expired.

This table shows the DeSSA test updates and conditions that apply to each.

<table>
<thead>
<tr>
<th>Action</th>
<th>Conditions for Use</th>
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</thead>
<tbody>
<tr>
<td>Reset</td>
<td>Resetting a student’s test removes that test from the system and enables the student to start a new test.</td>
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<tr>
<td></td>
<td>The Office of Assessment may reset any online test if any of the following settings were incorrectly provided:</td>
</tr>
<tr>
<td></td>
<td>• American Sign Language</td>
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<tr>
<td></td>
<td>• Braille</td>
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<tr>
<td></td>
<td>• Closed captioning</td>
</tr>
<tr>
<td></td>
<td>• Translation-stacked (Spanish) Permissive Mode</td>
</tr>
<tr>
<td></td>
<td>• Translations (Glossaries)</td>
</tr>
<tr>
<td></td>
<td><strong>Testing Irregularity Policy for a student who has been provided accommodations for which he or she is not eligible OR a student has not been provided eligible accommodations:</strong></td>
</tr>
<tr>
<td></td>
<td><strong>CAT or Fixed Form</strong></td>
</tr>
<tr>
<td></td>
<td>• When a student has been presented with more than six items, submit a testing irregularity report through the DOE Helpdesk.</td>
</tr>
<tr>
<td></td>
<td>• When a student has been presented with six or fewer items, do not submit a testing irregularity report through the DOE Helpdesk.</td>
</tr>
<tr>
<td></td>
<td><strong>Performance Task (ELA or Math)</strong></td>
</tr>
<tr>
<td></td>
<td>• When a student has been presented with any number of items, submit a testing irregularity report through the DOE Helpdesk.</td>
</tr>
<tr>
<td>Invalidation</td>
<td>Invalidating a student’s test eliminates the test, the student does not receive a valid score for accountability purposes, and the student is counted as not invalid.</td>
</tr>
<tr>
<td></td>
<td>The Office of Assessment may invalidate any test under the following conditions:</td>
</tr>
<tr>
<td></td>
<td>• a test security breach;</td>
</tr>
<tr>
<td></td>
<td>• improper administration resulting in invalid or unreliable scores;</td>
</tr>
<tr>
<td></td>
<td>• student misconduct resulting in invalid or unreliable scores.</td>
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</tbody>
</table>
| Reopen | The Office of Assessment may reopen any test under the following conditions:  
- if a student is unable to complete a test due to a technological difficulty that results in the expiration of the test;  
- if a student is unable to complete the test before it expires due to an unanticipated excused absence (e.g. illness documented by a medical professional) or unanticipated school closure;  
- if a student starts a test unintentionally — for example, selects a mathematics PT instead of an ELA PT—and the student is unable to complete the test before it expires;  
- if a student unintentionally submits a test before he or she has completed it — for example, a student submits the ELA PT before completing Part 2.  
A test that is reopened following expiration will remain open for 10 calendar days from the date it was reopened. |
<table>
<thead>
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<tbody>
<tr>
<td>Restore</td>
<td>The Office of Assessment may only restore a test if it was inadvertently or inappropriately reset.</td>
</tr>
<tr>
<td>Grace Period Extension</td>
<td>The Office of Assessment may award a grace period extension if a student is required to pause a test without being given the chance to review answers. This may occur due to an emergency, a sudden illness, an unexpected technical event, or other occurrence not the fault of the student as approved by the Office of Assessment. Grace Period Extensions will be granted sparingly, and only with proper justification.</td>
</tr>
</tbody>
</table>
Training and Administration

The following is a compilation of appropriate assessment practices related to the training of school personnel and DeSSA administration. These practices should be used to determine whether or not a specific action related to the assessment is consistent with the principles of performing professional duties with integrity, honesty, and fairness to all. Adhering to these practices will also ensure the integrity of the assessment process and the reliability and validity of assessments and interpretation of results.

District and School Test Coordinators must ensure all staff members have training and knowledge of these appropriate assessment practices and must monitor the practices of all staff to ensure compliance.

Communication

- Communicate in writing (e.g. by email or through a Schoology group) multiple times during the school year what is an unethical or inappropriate practice to all staff administering the DeSSA assessments. See Appendix B for unethical and inappropriate practices in preparing, administering, and scoring assessments.
- Clearly define and communicate in writing (e.g. by email or through a Schoology group) multiple times during the school year how the unethical and inappropriate practices will be monitored, what sanctions will apply for any violations of the practices, and under what circumstances such sanctions will apply to all staff. See Appendix A for violations governed by State Code.
- Clearly define and communicate to all staff in writing (e.g. by email or through a Schoology group) multiple times during the school year all security procedures established by the District for a secure assessment.

Sharing DeSSA Scores with Students

Schools and districts shall not share scale scores in case any assessment needs to be rescored; the Performance Level will likely not be affected, but the scale score may be affected. **No scores should be written down or posted (such as in Home Access or Schoology).** Scores should only be shared **verbally** since the scores are embargoed and considered preliminary until mid-August.

Educators may use the following language to **VERBALLY** share DeSSA scores with students: 
*Congratulations, your preliminary Smarter results show you (met your goal, scored a 3 or 4). Your final scores will be mailed to you this summer. We are proud of you.*

Districts may create their own policy to embody the above language or further restrict the sharing of scores. Preliminary data can be shared with staff for internal purposes; e.g. a preliminary check of school/grade level targets, preliminary discussions about student placements.

No data should be printed and shared with the public until released by the Office of Assessment (typically in August after each assessment window). While it is less likely that an individual student score will change, school and district data could change during the data validation process, e.g. when exemptions are applied.
Training

- Require all personnel in a school environment to take the required test security training and complete the Test Security and Non-disclosure Agreements. See Appendix C for the DOE Test Security and Non-disclosure Agreement. Completed forms will be maintained by District or School Test Coordinators.
- Provide any other information and training necessary to ensure all appropriate staff have the knowledge and skills necessary to make knowledge-based decisions in preparing students for an assessment, to administer an assessment, and to interpret or use results of the assessment.
- Establish written procedures for reviewing what materials and practices should be used to prepare students for an assessment and clearly communicate in writing these procedures, materials, and practices at least once annually to all appropriate staff.
- Periodically review materials and practices related to preparing students for an assessment, administering an assessment, and interpreting or using assessment results in order to ensure the materials and practices are up to date and clearly communicate in writing any additions or changes at least once annually to all appropriate staff.
- Verify that all training includes the Office of Assessment and District policies regarding security incidents and possible outcomes or consequences for staff of failure to follow DeSSA policies.
- Prohibit any person without sufficient and appropriate knowledge, skills, or training from administering an assessment or accessing an assessment or testing environment.
- Ensure that all non-certified personnel (such as substitutes and student teachers), in order to be in the testing environment in a supportive role, shall take security training, complete the appropriate security and non-disclosure forms, and be supervised by a trained Test Administrator. Such non-certified individuals shall not be considered or trained as Test Administrators.

Administration

- Require students and Test Administrators to follow the testing guidelines in the Test Administration Manual (TAM) for each specific assessment. Provide students with only the references or tools specifically designated in the test.
- Administer tests only during the school day, in a school or district building, and during testing windows established by the Office of Assessment. Students must take DeSSA tests in a school or district building while supervised by a trained Test Administrator(s). **No remote or home administration of any DeSSA assessment is permitted** without direct (in the physical proximity) supervision of a trained Test Administrator(s).
- Ensure all Test Administrators create a positive testing environment. Supervise students at all times during testing sessions.
- Monitor students and TAs by walking around unobtrusively, to ensure appropriate test-taking procedures and test security measures are followed.
- Provide accommodations, as appropriate, for students with Individual Education Programs (IEPs), Section 504 Plans, or ELLs.
**Excessive Testing Time**

School and testing procedures which set the conditions for excessive testing time and thereby direct and guide a student response are violations of Delaware Title 14, Subchapter IV, §172 State Assessment System Security and Violations and the requirements of the DeSSA Test Security Manual. Such procedures undermine the validity and reliability of the assessment. Such procedures serve as preparation for the assessment that focuses primarily on the assessment instrument, including its format, rather than on the objectives being assessed.

Setting procedures which result in excessive testing time is an unethical and prohibited practice. Administration procedure which result in excessive time added to a test session – even for an untimed test – is not an allowable support.

The DeSSA Test Security Manual requires the administration of state assessments to follow the testing guidelines in the Test Administration Manual (TAM), which estimates 3.5 to 4 hours for completion of the Smarter ELA assessment.

An excessive time to test – well beyond the estimated time in the DeSSA Test Administration Manuals – is a form of coaching prohibited by state code. Examples of such coaching that lead to excessive testing time include:

- Directing student completion of a constructed response item or performance task by requiring every student prepare a rough draft before typing in a final version (students are allowed to prepare a rough draft if they choose but cannot be told or required to do so);
- Prohibiting students who are finished a section or set number of items from moving on in order to wait for other students to finish;
- Requiring all students or a subset of students to move through items at the same pace.

It is a violation of testing policy and practice for a Test Administrator or Coordinator to coach or provide any type of assistance to students that may affect their responses. This includes both verbal cues (e.g., interpreting, explaining, or paraphrasing the test items or prompts) and nonverbal cues (e.g., voice inflection, pointing, or nodding head) to the correct answer. This also includes leading students through instructional strategies, asking students to point to the correct answer or otherwise identify the source of their answer, or requiring students to show their work. This also includes setting testing procedures for a class of students that, for example,

- require all students to use the same strategy to complete a task or prompt;
- require all students to work at the same pace or pause at the same item number;
- requires that no student continue past a prescribed number of items before pausing the test for the entire group;
- requires all students to complete a handwritten draft of short constructed response items or the Performance Task as a prescribed testing strategy.

Providing or setting conditions that encourage excessive testing time – even on an untimed test – is not an allowable support.
Excessive testing time in a grade or school is defined as 40% or more of students in a specific grade taking more than 5 hours for any DeSSA content-area assessment. Uniform and stable administration is required to ensure a valid, reliable, and fair assessment system. Continued excessive testing times will result in action from the Office of Assessment.

- The school(s) will be placed on a one (1) year probationary period.
- The school(s) shall provide the testing procedures for all grades deemed to have excessive testing time in writing to the Director of Assessment, submitted through the DOE HelpDesk by the District Test Coordinator.
- The school(s) will be subject to annual site visits by Office of Assessment staff to verify valid, uniform testing conditions and a suitable testing environment for all staff and students.
**Testing Environment**
This table shows security requirements of the testing environment during various stages of testing. The test environment refers to all aspects of the testing situation while students are testing and includes what a student can see, hear, or access (including access via technology).

### Security Requirements of the Testing Environment

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Before Testing</strong></td>
<td></td>
</tr>
<tr>
<td>Instructional materials removed or covered</td>
<td>All instructional materials must be removed or covered, including but not limited to: Any content information displayed on bulletin boards, chalkboards, or dry-erase boards, Any charts such as wall charts that contain literary definitions, maps, mathematics formulas, etc. Other surfaces that might assist students in answering questions.</td>
</tr>
<tr>
<td>Student seating</td>
<td>Students must be seated so there is enough space between them to minimize opportunities to look at each other’s work, or they should be provided with tabletop partitions. A distance of 4 feet is generally considered reasonable.</td>
</tr>
<tr>
<td>Signage</td>
<td>Place a “Testing—Do Not Disturb” sign on the door and post signs in halls and entrances rerouting hallway traffic in order to promote optimum testing conditions.</td>
</tr>
<tr>
<td><strong>During Testing</strong></td>
<td></td>
</tr>
<tr>
<td>Quiet environment</td>
<td>Provide a quiet environment without distractions that might interfere with a student’s ability to concentrate or might compromise the testing situation.</td>
</tr>
<tr>
<td>Students supervised</td>
<td>Students are actively supervised during the entire administration process.</td>
</tr>
<tr>
<td>Student interface secure</td>
<td>Ensure that all test sessions are locked between each administration (specifically applies to DeSSA Science and Social Studies).</td>
</tr>
<tr>
<td>Access to electronic devices</td>
<td>Students are free from access to unauthorized electronic devices that allow availability to outside information, communication among students, or photographing or copying test content. This includes, but is not limited to, cell phones, personal digital assistants (PDAs), iPods, smart devices such as watches, cameras, and electronic translation devices.</td>
</tr>
<tr>
<td>Access to allowable resources only</td>
<td>Students must only have access to, and use of, those allowable resources identified in the testing directions and/or Test Administration Manual that are permitted for each specific assessment (or portion of an assessment).</td>
</tr>
<tr>
<td>Access to assessments</td>
<td>Only students who are testing can observe assessment items. Students who are not being tested or unauthorized staff must not be in the room where a test is being administered. Based on the item type (i.e., performance tasks), trained TAs may also have limited exposure to assessment items in the course of properly administering the assessment;</td>
</tr>
<tr>
<td><strong>No answer key may be developed</strong></td>
<td>No answer key may be developed for test items.</td>
</tr>
<tr>
<td>-----------------------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td><strong>Testing through student interface</strong></td>
<td>Administration of any online DeSSA Assessment is permitted only through the Student Interface via a secure browser or application.</td>
</tr>
</tbody>
</table>

**During and After Testing**

<table>
<thead>
<tr>
<th><strong>No access to responses</strong></th>
<th>TAs are not permitted to review student responses in the testing interface or students’ notes on scratch paper.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>No copies of test materials</strong></td>
<td>Unless needed as a print-on-demand or braille accommodation, no copies of the test items, stimuli, reading passages, or writing prompts may be made or otherwise retained.</td>
</tr>
<tr>
<td><strong>No access to digital, electronic, or manual devices</strong></td>
<td>No digital, electronic, or manual device may be used to record or retain an item, reading passage, or prompt. Similarly, these materials must not be discussed with or released to anyone via any media, including fax, email, social media websites, etc.</td>
</tr>
<tr>
<td><strong>No retaining, discussing, or releasing test materials</strong></td>
<td>Descriptions of test items, stimuli, printed reading passages, or writing prompts must not be retained, discussed, or released to anyone.</td>
</tr>
<tr>
<td><strong>No reviewing, discussing, or analyzing test materials</strong></td>
<td>Staff and TAs may not review, discuss, or analyze test items, stimuli, reading passages, or writing prompts at any time, including before, during, or after testing.</td>
</tr>
</tbody>
</table>

**After Testing**

<table>
<thead>
<tr>
<th><strong>No test materials used for instructions</strong></th>
<th>Test items, stimuli, reading passages, or writing prompts must not be used for instruction.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Secure storage of PT materials</strong></td>
<td>The only exception to the requirement governing the destruction of printed materials and scratch paper is when notes are used during the ELA and mathematics PTs. During the ELA PT, the notes on the embedded universal tool, Global Notes, are retained from Part 1 to Part 2 of the PT so the student may return to the notes even though the student is not able to go back to specific items in Part 1.</td>
</tr>
<tr>
<td><strong>Student interface secure</strong></td>
<td>Ensure that all test sessions are locked between each administration (specifically applies to DeSSA Science and Social Studies).</td>
</tr>
<tr>
<td><strong>Secure disposal of materials</strong></td>
<td>The destruction of printed materials and scratch paper is required after the completion of any test administration session. Please see below for a sole exception.</td>
</tr>
</tbody>
</table>
Destruction of Printed Materials and Scratch Paper
All test materials must remain secure at all times. Printed materials from the print-on-demand accommodation and scratch paper must be kept in a securely locked room or locked cabinet that can be opened only by staff that is responsible for test administration and who has signed a DOE Test Security and Non-disclosure Agreement. Printed test items/passages, including embossed braille printouts and scratch paper, must be collected and inventoried at the end of each test session and then immediately shredded. DO NOT keep printed test items/passages or scratch paper for future test sessions except as noted below for performance tasks.

Use of Scratch Paper on Performance Tasks (PTs)
The only exception to the requirement governing the destruction of printed materials and scratch paper is when notes are used during the ELA and Math Performance Tasks (PTs). During the ELA PT, the notes on the embedded universal tool, Global Notes, are retained from Part 1 to Part 2 so that the student may return to the notes, even though the student is not able to go back to specific items in Part 1.

While the embedded Global Notes are the preferred mode for note taking, students may use scratch paper to make notes. To ensure students using scratch paper for notes have the same allowance as students using the online notes, TAs should collect students’ scratch paper at the completion of Part 1 of the ELA PT and securely store it for students’ use during Part 2 of the ELA PT. Prior to collecting scratch paper for use in a subsequent session, TAs should ensure students have written their names (or some appropriate identifying information) on the notes so they can be redistributed securely.

Likewise, the Math PT may extend beyond one testing session. When this happens, TAs should collect the scratch paper and/or graph paper used in the first session and securely store it for students’ use in the subsequent testing session.
**Test Security**

Test security incidents, such as improprieties, irregularities, and breaches, are behaviors prohibited during test administration (online and paper-and-pencil), either because they may change the meaning of the assessment, give a student an unfair advantage, or they may compromise the secure administration of the assessment. Whether intentional or by accident, failure to comply with security rules, either by staff or students, constitutes a test security incident.

Conduct that violates the security and confidentiality of a test is defined as any departure from the test administration procedures established in this security manual and other test administration materials. Conduct of this nature may include, but is not limited to, the following acts and omissions:

- Changing or altering a response or answer of an examinee to a secure test item;
- Aiding or assisting an examinee with a response or answer to a secure test item, including setting procedures which result in excessive testing time;
- Providing, suggesting, or indicating to an examinee a response or answer to a secure test item;
- Duplicating secure examination materials;
- Disclosing the contents of any portion of a secure test;
- Preventing students from accessing electronic devices during the administration of a required state assessment;
- Failing to report to an appropriate authority that an individual has engaged in conduct outlined in the items listed above;
- Fraudulently exempting or preventing a student from the administration of a required state assessment; or
- Encouraging or assisting an individual to engage in the conduct described in the items above.

Copying, reproducing, paraphrasing, or directly using test materials in instructional activities represents a breach of test security and is a violation of federal copyright laws and Delaware Administrative Code.

**Overall Assessment Security**

DTCs and STCs are responsible for the following overall assessment security activities:

- Cooperate with DDOE in conducting an investigation of any alleged inappropriate assessment practice.
- Report any observed test security violation to the, Office of Assessment, including: missing materials, testing misadministration, copyright infringement, and other deviations from acceptable security requirements by completing the documentation in the DOE Helpdesk.
- Uphold the integrity and accuracy of the testing by preventing any dishonest or fraudulent behavior and promoting a fair and equitable testing environment.
- Ensure no one compromises test security or the accuracy of the test data score results by manipulating the test administration, demographic data, or the students’ answers or data.
- Ensure student test scores and test performance are not disclosed to any unauthorized person.
• Prohibit the transfer of any student’s Personally Identifiable Information (PII) by email, in any electronic device, or in any other documents, either internally or to outside agencies.
• Encourage the community to voice any concern about any practice they may consider inappropriate by contacting the Office of Assessment.
• Establish written procedures for investigating any complaint, allegation, or concern about an inappropriate practice. The procedures should ensure the protection of individuals’ rights, the integrity of an assessment, and the integrity of assessment results.
• Prohibit the use of any assessment for purposes other than that for which it was intended.
• Remove or cover all displays related to instructional content (including for content areas not being assessed) prior to the administration of a state test.
• Complete practice tests and training tests, as appropriate, and review security procedures.
• Prohibit staff from prompting or assisting students in any manner with their answers.
• Prohibit possession of any electronic devices, including cell phones and smart watches, by students while taking a state test.
• Prohibit Test Administrators from indicating answers, pointing out the rationale of an item, or prompting students in any manner.
• Prohibit any practice immediately preceding or during an assessment that assists a student in the assessment, including the use of a gesture, facial expression, body language, language, or any other action or sound that may guide a student’s response, and providing to a student any definition or clarification of the meaning of a word or term contained in an assessment, other than that specified in the TAM.
• Prohibit test administration procedures which result in excessive testing time.
• Refer to Appendix G: What to Do After Testing for requirements of after-testing policy.

Consequences of Test Security Violations
Administrators, certified and non-certified school staff, and students must adhere to appropriate testing practices. Consequences of violations may include the invalidation of student test results, limitations placed on Delaware professional licensure, and liability for copyright infringement.

Disciplinary measures for educators and school staff may be determined at the employment level based on a school board’s policy and the severity of the test security violations. Examples of such measures include a written reprimand, suspension, or termination of contract. The Delaware Department of Education may also pursue its own sanctions of department-licensed individuals for testing irregularities and breaches.

Any person who violates, assists in the violation of, or solicits another to violate or assist in the violation of test security or confidentiality as well as any person who fails to report such a violation is subject to the following penalties:
• Placement of restrictions on the issuance, renewal, or holding of a Delaware educator certificate, either indefinitely or for a set term;
• Issuance of an inscribed or non-inscribed reprimand;
• Suspension of a Delaware educator certificate for a set term; or
• Revocation or cancellation of a Delaware educator certificate without opportunity for reapplication either for a set term or permanently.
• In addition, any irregularities in test security or confidentiality may result in the invalidation of students’ assessments.

Physical Security (applies to all DeSSA tests, including Measure B Component V)
The DTC is responsible for any test booklets created or received by the school district. The DTC should maintain a record of the booklets sent to each school in accordance with the TAM.

Before each test administration, materials must be stored and distributed according to instructions provided with the test. Tests must be secured at all times during test administration, including all breaks in the testing sequence. All test booklets and answer sheets, if applicable, must be counted, reconciled, and returned to a centrally located, locked, and secured area immediately upon the completion of each daily testing session.

Secure all state test materials prior to, during, and following each test administration and prohibit unauthorized access to secure test questions at all times. Test materials should be stored in a locked and secured central location by the DTC or STC — not limited to, and including, scratch paper.
  • Prohibit any form of cheating.
  • Prohibit the creation, sharing, or distribution of answer keys to tests.
  • Prohibit students from having access to secure test questions or answer keys.
  • Prohibit the copying or reproducing of any portion of the secure test book and writing prompt.
  • Prohibit the reproduction of assessment materials regardless of the medium or purpose of the reproduction.
  • Ensure no secure test materials, questions, or student responses are retained, reproduced, paraphrased, or discussed in any manner.

Return all secured test materials to the publishing company following the procedures outlined in the TAM. Establish and implement procedures to ensure maximum test security and limit access of secure materials to authorized personnel only.

Accommodations
Secure Handling of Printed Materials is required for paper-pencil tests approved for student use as an accommodation.

Print requests must be approved and processed by a Test Administrator during test administration. The decision to allow students to use print-on-demand must be made on an individual student basis and is available only for students with an IEP or Section 504 Plan.

Once a student is approved to receive the printing of test items/passages, that student may send a print request to the Test Administrator during testing by clicking on the print icon on the screen. Before the Test Administrator approves the student’s request to print a test item, the Test Administrator must ensure that the printer is on and is monitored by staff that has
completed Test Administrator and Test Security training. This request needs to be individually made for each item by the student.

Students qualifying for and receiving homebound instruction for medical purposes as an accommodation shall be provided testing in a paper/pencil format and not with an online assessment. These students shall take the DeSSA assessment under direct (in the physical proximity) supervision of a trained Test Administrator(s).

Electronic Security
DTCs and STCs are responsible for the following electronic security activities:
- Ensure each authorized user accesses the electronic testing environment using a unique username and password. Staff is prohibited from sharing usernames and passwords for use in accessing the electronic testing environment.
- Ensure administration of online assessments is permitted only through the student interface via a secure browser in a controlled setting.
- Unless needed as a print-on-demand or Braille accommodation, no copies of the test items, stimuli, reading passages, writing prompts, or any test materials may be made or otherwise retained.
- Develop an electronic policy to include prohibiting usage of cell phones and other electronic devices in the testing area.
Appendix A

Title 14, Subchapter IV
State Assessment System Security and Violations

§ 170 Definitions.
For purposes of this subchapter only, the following terms shall have the meanings indicated:

(1) "Assessment administration" means the range of activities from the initial procurement of secure assessment materials including those delivered via the computer through testing and the return of secure assessment materials to the Department or its agents;

(2) "Assessment site" means the physical location of the assessment administration, including a computer lab, classroom, or other room;

(3) "Department" means the Delaware Department of Education;

(4) "Individual" means a student, teacher, administrator, local or state school board member, or other employee, agent or contractor employed by the Delaware public school system whether local or at the state level, and including an employee, agent or contractor of a charter school;

(5) "Log-in" means the process of accessing the assessment website;

(6) "School district" means any school district, special school or charter school created pursuant to the provisions of this title;

(7) "Secure browser" means the computer browser that prevents the student from accessing functions of the computer that are not allowed during assessment;

(8) "State Assessment System" means the assessment program established pursuant to subchapter III of this chapter, including the assessments administered pursuant thereto; and

(9) "Student identification number" means the unique identification number assigned to each student in the State under which his or her student records are maintained.

(73 Del. Laws, c. 81, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 53, §§ 23-25.)

§ 171 Security and data procedures.
(a) The Department shall promulgate rules and regulations to ensure the security of the assessment administration, training of personnel and collection and reporting of assessment data.

(b) The Department's rules and regulations shall provide for:

(1) The security of the printed materials during assessment administration and the storage under lock and key of all secure assessment materials, including answer documents, before and after assessment administration;

(2) Procedures to safeguard computer access information and use of the secure browser, including the printing of assessment content;

(3) The proper administration of assessments and the monitoring of assessment administrations by school district personnel; and

(4) Procedures for the accurate and timely collection, storage and retrieval of state assessment system materials and data.

(73 Del. Laws, c. 81, § 1; 78 Del. Laws, c. 53, §§ 26-30.)
§ 172 Assessment behavior violations.
It is an assessment security violation for an individual to fail to follow state assessment system administration procedures promulgated by the Department, and no individual shall:

(1) Give any examinee access to secure assessment items or materials except in the regular course of an authorized administration of the state assessment;
(2) Give unauthorized individuals or other persons access to secure assessment items or materials;
(3) Copy, reproduce, use, or otherwise disclose in any manner inconsistent with assessment security regulations and procedures any portion of the secure assessment materials;
(4) Provide answers during the assessment administration either orally, in writing, or by any other means to an examinee;
(5) Coach any examinee during assessment administration by giving the examinee answers to secure assessment questions or otherwise directing or guiding a response or by altering or interfering with the examinee's response in any way;
(6) Fail to follow security regulations and procedures for the storage, distribution, collection and return of secure assessment materials or fail to account for all secure assessment materials before, during and after assessment administration;
(7) Fail to properly monitor assessment administration, including permitting inappropriate collaboration between or among individuals; fail to remove or cover nonallowable resources from the assessment site during the assessment administration; or fail to destroy scratch paper used by students during the assessment administration;
(8) Fail to prohibit students from accessing or using electronic equipment (e.g., cellular phones, personal digital assistant devices, iPods, electronic translators), other than those authorized for use by the Department for the assessment administration;
(9) Fail to confirm proper identification of students being administered the assessment or intentionally give a student the wrong student identification number during the log-in, causing any student to log in and take the assessment under another student's records;
(10) Fail to collect and destroy any materials bearing student identification number(s) and student name(s) used to provide student(s) with this information during the assessment administration;
(11) Produce unauthorized copies of assessment content from the computer website; fail to properly destroy authorized copies; or allow copies to be taken outside the assessment site;
(12) Allow assessment administration by unauthorized personnel or personnel who have not received assessment administration certification;
(13) Administer secure assessments on dates other than those authorized by the Department;
(14) Participate in, direct, aid, counsel, assist, encourage or fail to report any of the acts prohibited in this subchapter; or
(15) Refuse to disclose to the Department information regarding assessment security violations; or
(16) Refuse to cooperate in the investigation of a suspected breach of assessment security, whether such investigation is conducted by a school district or the Department. The investigation shall include a review of mitigating circumstances, if applicable.

(73 Del. Laws, c. 81, § 1; 78 Del. Laws, c. 53, §§ 31-41.)
§ 173 Data reporting violations.
School districts and individuals shall not:
(1) Fail to report assessment scores, numbers of students administered the assessments any other data element required to be reported to the Department;
(2) Report incorrect or otherwise inaccurate assessment scores, numbers of students administered the assessments or any other data element required to be reported to the Department;
(3) Exclude a student from participation in the state assessment except in accordance with the regulations of the Department;
(4) Refuse to disclose to the Department information concerning a violation of the foregoing data reporting requirements; or
(5) Refuse to cooperate in the investigation of a suspected data reporting violation, whether such investigation is conducted by a school district or the Department. The investigation shall include a review of mitigating circumstances, if applicable.
(73 Del. Laws, c. 81, § 1; 78 Del. Laws, c. 53, §§ 42, 43.)

§ 174 Civil sanctions for violations
(a) A student who violates any of the provisions of § 172 of this title shall be subject to the following:
(1) At the discretion of the Department, the assessment score of such student may be invalidated and the student may be declared ineligible to retake the assessment until the next official testing opportunity; and
(2) Such disciplinary action as deemed appropriate by the student's school district.
(b) An individual other than a student who knowingly violates any of the provisions of this subchapter shall be subject to the following:
(1) Such personnel sanctions as might otherwise be imposed by the individual's employer for an act of misconduct;
(2) A hearing conducted by the Professional Standards Board to determine revocation of any license issued to such individual pursuant to the provisions of Chapter 12 of this title; and
(3) Payment of any costs incurred by the State or Department as a result of the violation.
(73 Del. Laws, c. 81, § 1; 78 Del. Laws, c. 53, § 44.)
Appendix B
Delaware Department of Education (DDOE) Educator Unethical Practices

Unethical Practices in Preparing Students for Assessments
When preparing students for assessments, staff members shall not engage in any:

- Preparation activity that undermines the reliability and/or validity of inference drawn from the assessment results;
- Practice that results solely in raising scores or performance levels on a specific assessment instrument, without simultaneously increasing the student’s achievement level as measured by other tasks and/or instruments designed to assess the same content domain;
- Practice involving the reproduction of actual assessment materials, through any medium, for use in preparing students for an assessment;
- Preparation activity that includes questions, tasks, graphs, charts, passages or other materials included in the assessment instrument or in a parallel form of the instrument, and/or materials that are paraphrases or highly similar in content to those in actual use;
- Preparation for the assessment that focuses primarily on the assessment instrument or a parallel form of the instrument, including its format, rather than on the objectives being assessed;
- Practice that supports or assists others in conducting unethical preparation activities.

Unethical Practices in Administering and Scoring Assessments
When administering and/or scoring assessments, staff members shall not:

- Use an assessment instrument for purposes other than that for which such instrument has been validated;
- Engage in a practice that results in a potential conflict of interest or exerts undue influence on those administering or scoring the assessment, which would make the assessment process unfair to some students;
- Modify the procedures for administering and/or scoring the assessment resulting in nonstandard and/or delimiting conditions for one or more students;
- Engage in a practice that allows people without sufficient and appropriate knowledge and skills to administer and/or score the assessment;
- Engage in an administration or scoring practice which produces results contaminated by factors not relevant to the purposes of the assessment;
- Exclude one or more students from an assessment solely because the student has not performed well, or may not perform well, on the assessment and/or because the aggregate performance of the group may be affected;
- Guide a student’s responses during an assessment through any type of gesture, facial expression, use of body language, comment, or any other action;
- Provide students with any definitions of words or terms contained in the actual assessment instrument either immediately preceding or during the administration of an assessment;
- Erase, darken, rewrite, or in any other way correct or alter student responses to an assessment task during or following the administration of an assessment; and
- Support or assist others in unethical practices during the administration and/or scoring of assessments.
Appendix C: DeSSA Test Security Manual
Delaware Department of Education Office of Assessment
Universal Test Security and Non-disclosure Agreement

Security Certification – Complete Prior to Test Administration
FOR USE WITH ALL DeSSA ASSESSMENTS

I do hereby certify that I have received, understand, and agree to abide by the DeSSA Test Security Manual governing the Assessment System supported by Subchapter IV, Chapter 1, Title 14 of the Delaware Code, which enumerates possible violations of test security and provides the sanctions for such violations.

I do hereby certify, warrant, and affirm that I will faithfully and fully comply with all requirements and practices concerning DeSSA test security and confidentiality:

SECURITY REQUIREMENTS AND PRACTICES

- That no person has in any way copied or reproduced any part of a secure test, including any part of a student response, without expressed written permission from the Delaware Department of Education or as explicitly specified in the instructions for the assessment.
- That I will not copy, reproduce, use, or otherwise disclose any portion of secure test/assessment materials in any manner inconsistent with test security regulations and procedures.
- That I will not disclose, describe, or discuss specific test/assessment questions verbally, in writing, or by any other means, including dissemination through social media, to any other person.
- That no person has revealed any of the contents of a secure test except where authorized by the procedures governing administration of such test.
- That no person has corrected, altered, or provided assistance with student responses to a secure test.
- That no unauthorized person has access to or inspected or viewed any part of a secure test.
- That I will take actions to prevent students from accessing electronic devices during the administration of a required state assessment.
- That I will report any suspected violations of test security or confidentiality to the District Test Coordinator, School Test Coordinator, or school administrator.

SECURITY ACKNOWLEDGEMENTS

- That I understand my obligations concerning the security and confidentiality of all DeSSA assessments.
- That I understand student information is confidential and I am obligated to maintain and preserve the confidentiality of this information.
- That I am aware of the range of penalties that may result from a departure from the documented test administration procedures for the state assessments, and I am
aware of the range of penalties that may result from a violation of test security and confidentiality.

- That, when proctoring any DeSSA assessment, I will ensure all students for which I am responsible have viewed the DeSSA Test Security Video for Students, found at the links below:
  - DOE Test Security for Students Elementary [https://youtu.be/UmMgazwnd7U](https://youtu.be/UmMgazwnd7U)
  - DOE Test Security for Students Elementary SPANISH [https://youtu.be/P-B-KCtiXvw](https://youtu.be/P-B-KCtiXvw)
  - DOE Test Security for Students Grades 6-12 [https://youtu.be/eolCnxYll2k](https://youtu.be/eolCnxYll2k)

By signing my name to this document, I am assuring the Delaware Department of Education, the local education agency (district and/or school), and its contractor(s) that I will abide by the above conditions and all such found in the DeSSA Test Security Manual and Subchapter IV, Chapter 1, Title 14 of the Delaware Code.

I hold the following roles within DeSSA administration (check all that apply):

- [ ] Department of Education staff
- [ ] Superintendent / Chief school officer
- [ ] District test coordinator
- [ ] School test coordinator
- [ ] Principal / Assistant Principal
- [ ] Test administrator
- [ ] Special education coordinator
- [ ] Support Staff
- [ ] Other _______________________

Signature __________________________ Printed Name __________________________

District/Charter _____________________________ School Name _____________________________

This form shall be retained at the district or school level by an authorized administrator.
Appendix D
Policy on Electronic Devices

Under direction of the Delaware Department of Education (DDOE), schools must enforce a strict electronic device policy during standardized testing to maintain test security. This policy applies to all national, state, and district-level DeSSA administered assessments that are identified in this document. If parents, guardians, or family members need to contact a student during testing days, they may call the school office.

No electronic device should disturb the testing environment, whether it belongs to students or staff. Test Administrators may keep any personal cell phones powered on but in silent mode, for use only in the event of an emergency. A Test Administrator shall not interrupt the testing environment through texting, speaking, or other cell phone use, except in the event of an emergency.

The term electronic device includes any personal, non-educational device with an on-off switch, with the exception of medical equipment, most commonly:

- Cell phones, Smart phones and watches
- MP3 players, iPods, or other music players
- iPads, tablets, laptops, or other computers

A student may not retain possession of an electronic device (e.g. iPad, laptop or desktop computer) on which he or she took a DeSSA assessment after testing is completed, while in the testing environment, and while other students remain testing.

Schools must clearly inform students that using or bringing an electronic device into the testing area violates school and state policy. Violation of this policy is grounds for confiscation and a search of the device.

The following procedures for students must be implemented when test materials are distributed or utilized:

1. Electronic devices must be turned completely off. They may not be on “silent” or “vibrate” modes.
2. Electronic devices may not be in a student’s possession, including in pockets or otherwise stored in clothing, or in the immediate proximity, such as underneath the desk.
3. Electronic devices must be stored in a secure location away from students.

If an electronic device is found on a student during or after testing, testing administrators and schools must adhere to the following procedures:

1. Confiscate the electronic device.
2. If the circumstances provide a reasonable basis to search it, the device will be checked for pictures, texts, transmissions by applications (such as Instagram or Snapchat), and any other recent use related to security of the test.
3. Contact the STC and the DTC.
4. Upon completion of the testing session, interview the student regarding use of the device.
5. Enforce school/district disciplinary action.
6. Report the test security incident to the state in the DOE Helpdesk.
**Appendix E**

**Test Security Chart**

This chart shows the test security incident levels and examples of types of issues.

<table>
<thead>
<tr>
<th>Incident Level</th>
<th>Types of Issues</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>LOW</strong> Impropriety</td>
<td>Student(s) making distracting gestures/sounds or talking during the test session that creates a disruption in the testing session for other students.</td>
</tr>
<tr>
<td></td>
<td>Student(s) leave the test room without authorization.</td>
</tr>
<tr>
<td></td>
<td>Administrator gives incorrect instructions that are not corrected prior to testing.</td>
</tr>
<tr>
<td><strong>MEDIUM</strong> Irregularity</td>
<td>Student cheating or providing answers to each other, including passing notes, giving help to other students during testing, or using hand-held electronic devices to exchange information.</td>
</tr>
<tr>
<td></td>
<td>Student(s) accessing the Internet or any unauthorized software or applications during a testing event.*</td>
</tr>
<tr>
<td></td>
<td>Student(s) accessing, possessing, or using unauthorized electronic equipment (e.g., cell phones, PDAs, iPods, or electronic translators) during testing.*</td>
</tr>
<tr>
<td></td>
<td>Disruptions to a testing session such as a fire drill, school-wide power outage, earthquake, or other acts.</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinators failing to ensure administration and supervision of the DeSSA assessment by qualified, trained personnel.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrators or Coordinators leaving any instructional materials on the walls in the testing room.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator giving out his/her username/password (via email or otherwise), including to other authorized users.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator coaching or providing any other type of assistance to students that may affect their responses.  This includes both verbal cues (e.g., interpreting, explaining, or paraphrasing the test items or prompts) and nonverbal cues (e.g., voice inflection, pointing, or nodding head) to the correct answer.  This also includes leading students through instructional strategies such as think-aloud, asking students to point to the correct answer or otherwise identify the source of their answer, requiring students to show their work, or setting procedures which result in excessive testing time.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator providing students with non-allowable materials or devices during test administration or allowing inappropriate designated supports and/or accommodations for which the student is not eligible during test administration.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator fails to provide students with allowable materials or devices during test administration or fails to provide</td>
</tr>
<tr>
<td>Incident Level</td>
<td>Types of Issues</td>
</tr>
<tr>
<td>-----------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>appropriate designated supports and/or accommodations for which the student is eligible during test administration.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator allowing anyone other than a student to log into the test unless prescribed as an allowable accommodation in the student’s IEP. This includes TAs or other staff using student information to log in or allowing a student to log in using another student’s information.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator providing a student access to another student’s work/ responses.*</td>
</tr>
<tr>
<td>HIGH BREACH</td>
<td>Test Administrator or Coordinator modifying student responses or records at any time.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator using the live Student Interface or TA Interface for student practice instead of the Training or Practice Tests.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator, Coordinator, or student posting items or test materials on social media (Twitter, Instagram, Snapchat, Facebook, etc.).*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator or Coordinator allowing students to take home test items, reading passages, writing prompts, or scratch paper that was used during the test or failing to otherwise securely store test materials.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator, Coordinator, or student copying, discussing, or otherwise retaining test items, reading passages, writing prompts, or answers for any reason. This includes the use of photocopiers or digital, electronic, or manual devices to record or communicate a test item. This also includes using secure test items, modified secure test items, reading passages, writing prompts, or answer keys for instructional purposes.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator, Coordinator, or student sharing secure test materials with news media (such as the writing prompts, test items, or reading passages) or news media is allowed to observe a secure test administration.*</td>
</tr>
<tr>
<td></td>
<td>Test Administrator, Coordinator, or student improperly removing secure testing materials such as test items, stimuli, reading passages, writing prompts, or scratch paper from the testing environment.*</td>
</tr>
</tbody>
</table>

* = may be referred by the Office of Assessment to DDOE investigators for action related to licensure
### Appendix F
#### What to Do When Guide

**Before Testing**

<table>
<thead>
<tr>
<th>Description</th>
<th>What to Do</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. A student is chronically truant.</td>
<td>Do not submit incident report. No exemptions will be granted.</td>
</tr>
<tr>
<td>2. A student is present at school but refuses to take the test any time during the testing window.</td>
<td>Do not submit incident report. No exemptions will be granted.</td>
</tr>
<tr>
<td>3. A student is being treated as in-patent in a non-DSCYF mental health facility during the testing window.</td>
<td>Submit a request for an exemption through the DOE Helpdesk. Keep letter from the doctor on file at the district office.</td>
</tr>
<tr>
<td>4. A student was recently released from a mental health facility, and his or her doctor believes the student should not test.</td>
<td>Submit a request for an exemption through the DOE Helpdesk. Keep letter from the doctor on file at the district office.</td>
</tr>
<tr>
<td>5. A student is not showing for the school in which he/she is currently enrolled.</td>
<td>Review attendance and student record applications. If the student is correct in these applications, submit a DOE Helpdesk ticket.</td>
</tr>
<tr>
<td>6. A student does not show correct accommodations in DeSSA platforms.</td>
<td>Review Assessment Accommodations in DeSSA testing platforms for accuracy. If the accommodations are correct, submit a DOE Helpdesk ticket.</td>
</tr>
<tr>
<td>7. A student is hospitalized or homebound with a serious or terminal illness.</td>
<td>Submit a request for an exemption through the DOE Helpdesk. Keep letter from the doctor on file at the district office.</td>
</tr>
<tr>
<td>8. There is a death in the family before or during the testing window.</td>
<td>Determine whether the student can be tested at the end of the testing window — if that will not be feasible, submit a request for an exemption through the DOE Helpdesk.</td>
</tr>
<tr>
<td>9. A student is in a DSCYF juvenile detention facility.</td>
<td>Do not submit an exemption. Ensure student has a home school record associated with your district and the student has been enrolled at detention facility.</td>
</tr>
<tr>
<td>10. A student is in a court-ordered private placement in-state or out-of-state.</td>
<td>Submit a request for an exemption through the DOE Helpdesk. Keep letter from facility on file in district office. If the student does return, he or she must be given the opportunity to test.</td>
</tr>
<tr>
<td>11. A student is in DAPI or Consortium Discipline Alternative Program (CDAP).</td>
<td>Student will be tested at current facility. Ensure student has a home school record and the CDAP facility has entered the student record for its facility.</td>
</tr>
</tbody>
</table>
### Description

<table>
<thead>
<tr>
<th>Description</th>
<th>What to Do</th>
</tr>
</thead>
<tbody>
<tr>
<td>12. A student is in an adult prison.</td>
<td>Submit a request for an exemption through the DOE Helpdesk.</td>
</tr>
<tr>
<td>13. A student delivers a baby shortly before or during testing.</td>
<td>Submit a request for an exemption through the DOE Helpdesk. Keep letter from doctor on file at the district office.</td>
</tr>
<tr>
<td>14. A student who wears glasses or takes medication on a daily basis is without them on testing day.</td>
<td>Postpone testing for student and have student take test at a later time in the testing window.</td>
</tr>
<tr>
<td>15. A student qualifies for English as a Second Language (ESL) services, but the student’s parent / guardian / caregiver has withdrawn him or her from ESL services.</td>
<td>If a student meets the criteria to be identified as an EL and receives ESL services, a student may receive EL accommodations even if parent/guardian/caregiver has withdrawn the student from ESL services. Please see the Guidelines for Inclusions for EL Students to determine whether a student can be excluded from the ELA/literacy assessment.</td>
</tr>
</tbody>
</table>

### During Testing

<table>
<thead>
<tr>
<th>Description</th>
<th>What to Do</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. A student becomes sick during testing.</td>
<td>Pause student test and allow student to return during make-up session(s) to finish test. DTC reports incident in the DOE Helpdesk as an impropriety.</td>
</tr>
<tr>
<td>2. A student is removed from test session due to student misbehavior:</td>
<td>Pause student test and report incident to the DTC. The DTC will report the incident to the Office of Assessment according to the procedures in the Security Manual, and submit an online incident report to the DOE Help Desk. Enforce district policies for student misconduct.</td>
</tr>
<tr>
<td>• A testing behavior violation occurs.</td>
<td></td>
</tr>
<tr>
<td>• A student is cheating.</td>
<td></td>
</tr>
<tr>
<td>3. A student is given a test accommodation for which he or she is not eligible.</td>
<td>DTC reports incident in the DOE Helpdesk as an irregularity. Indicate name(s) and ID numbers of students involved. Ensure all accommodations are correct in the DeSSA platform for specific student. The student test will be reset so the student is able to take the test with appropriate accommodations only if a student has taken fewer than six test questions.</td>
</tr>
<tr>
<td>A student starts a test without his/her accommodations available on the test.</td>
<td></td>
</tr>
<tr>
<td>A student who has test accommodations has not been provided one or more of these accommodations during a test session.</td>
<td></td>
</tr>
<tr>
<td>4. A student moves out of the school before all test sessions are completed.</td>
<td>Student can complete testing in new district if moving within the state — no action is necessary.</td>
</tr>
<tr>
<td>Description</td>
<td>What to Do</td>
</tr>
<tr>
<td>-----------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------</td>
</tr>
<tr>
<td>5. A student moves into the school in middle of testing window. He or she has completed part of the test in previous school.</td>
<td>Identify which test (content) sessions the student has or has not completed by reviewing the DeSSA platform participation reports. Test the student in make-up test sessions.</td>
</tr>
<tr>
<td>6. A student registers in school from out of state during testing window.</td>
<td>The student should be administered all tests that he or she can complete before the testing window has ended. Provide the student with any required accommodations.</td>
</tr>
<tr>
<td>7. The building experiences a fire drill, emergency situation, or extended power outage.</td>
<td>The TA should ensure student safety and pause the test if time permits. Testing should be continued when possible. DTC reports incident in the DOE Helpdesk as an irregularity. If the students were unable to access their tests before the pause rule expired, provide name(s) and ID numbers of students involved to request a reopen.</td>
</tr>
</tbody>
</table>
Appendix G
What to Do After Testing for Students

When administering an untimed test, students will finish at different times. This table provides guidance on activities for students when they complete a test. If you have questions about the appropriateness of an activity, contact Dusty Shockley in the Office of Assessment.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Status</th>
<th>Rationale</th>
</tr>
</thead>
<tbody>
<tr>
<td>Leave the testing room and report back to regularly scheduled activity</td>
<td>Recommended</td>
<td>Students are engaged</td>
</tr>
<tr>
<td>Leave the testing room and report to pre-designated, monitored holding area, with or without a scheduled activity</td>
<td>Recommended</td>
<td>Students are engaged</td>
</tr>
<tr>
<td>Sit quietly in their seats</td>
<td>Allowed</td>
<td>This will be conducive to a quiet testing environment for others but may be difficult for some students</td>
</tr>
<tr>
<td>Read a preapproved book or preassigned material</td>
<td>Allowed</td>
<td>Quiet, not a major security risk</td>
</tr>
<tr>
<td>Write or draw in a journal, diary, or on any paper</td>
<td>Prohibited</td>
<td>Security risk that students may transmit item content</td>
</tr>
<tr>
<td>Complete school assignments, even for content not related to the tested subject</td>
<td>Prohibited</td>
<td>Security risk with other students still testing</td>
</tr>
<tr>
<td>Use an electronic device for any reason</td>
<td>Prohibited</td>
<td>Electronic devices are not allowed in the testing room. Security risk that students may transmit item content</td>
</tr>
<tr>
<td>Talk or signal to other students</td>
<td>Prohibited</td>
<td>Too distracting to other students and security risk</td>
</tr>
<tr>
<td>Move about the testing room</td>
<td>Prohibited</td>
<td>Too distracting to other students</td>
</tr>
</tbody>
</table>
Appendix H
Educator Evaluation Measure B

Educators utilizing Measure B assessments as part of the state's Student Improvement Component (Component V) for educator evaluation are required to adhere to the DeSSA Test Security requirements. Districts and charter schools must keep a signed copy of the acknowledgement on file for each educator.